

# Hard-Core Model on Random Graphs

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## A Problem by David Aldous

- For  $r \geq 2$  and  $n \geq 3$ , let  $G(n, r)$  be a random graph selected uniformly at random from the set of all  $r$ -regular graphs on  $n$  vertices.

- **Conjecture of Aldous [2003] :**

Let  $I_n$  be a *maximum independent set* then

$$\frac{\mathbf{E}[|I_n|]}{n} \rightarrow \kappa \quad \text{as } n \rightarrow \infty,$$

where  $\kappa > 0$  is a constant which may depend on  $r$ .

- In combinatorics for a finite graph  $G$  the size of a maximum independent set is known as the *independence number* of  $G$ .

## An Approach Towards Resolving the Conjecture

- We will consider a probability model on the set of all independent sets of the random graph  $G$  such that

$$\mathbb{P}_\lambda(I) \propto \lambda^{|I|},$$

where  $I$  is an independent set of  $G(n, r)$ .

- It is easy to see that given  $G(n, r)$  the probability measures  $\mathbb{P}_\lambda$  concentrate on the *maximum* independent sets as  $\lambda \rightarrow \infty$ .
- So perhaps studying this model  $\mathbb{P}_\lambda$  on random graphs may help to resolve Aldous' conjecture.
- We will see what we can do ... !

## Hard-Core Model on a Finite Graph

Setup :

- Let  $G := (V, E)$  be a finite graph.
- We say a subset  $I \subseteq V$  is an *independent set* of  $G$ , if for any two vertices  $u, v \in I$  there is no edge between  $u$  and  $v$ .
- Let  $\mathcal{I}_G$  be the set of all independent sets of  $G$ .
- We would like to define a measure on  $\mathcal{I}_G$ .

## Description 1 :

- Fix  $\lambda > 0$ .
- *Hard-core model on  $G$  with activity  $\lambda$*  is a probability distribution on  $\mathcal{I}_G$  such that

$$\mathbb{P}_\lambda^G(I) \propto \lambda^{|I|}, \quad I \in \mathcal{I}_G.$$

- Thus

$$\mathbb{P}_\lambda^G(I) = \frac{\lambda^{|I|}}{Z_\lambda(G)}, \quad I \in \mathcal{I}_G$$

where  $Z_\lambda(G) := \sum_{I \in \mathcal{I}_G} \lambda^{|I|}$  is the proportionality constant, known as the *partition function*.

## Observations :

- If  $\lambda = 1$  then we get the uniform distribution on  $\mathcal{I}_G$  and  $Z_\lambda(G)$  is the size of  $\mathcal{I}_G$ .
- Also we have already noticed,  $\lambda \rightarrow \infty$  the measures  $\mathbb{P}_\lambda^G$  concentrate on maximal size independent sets.

## Description 2 :

- Fix  $\lambda > 0$  and let  $p := \frac{\lambda}{1+\lambda} \in (0, 1)$ .
- Suppose  $(C_v)_{v \in V}$  are i.i.d. Bernoulli ( $p$ ).
- Let  $I := \{v \in V \mid C_v = 1\}$ .
- The measure  $\mathbf{P}(\cdot \mid I \in \mathcal{I}_G)$  on  $\mathcal{I}_G$  is same as  $\mathbb{P}_\lambda^G$ .

## Remark :

- This gives a way to get exact samples from  $\mathbb{P}_\lambda^G$ .

## Hard-Core Model on an Infinite Graph

### Problems with the Two Previous Descriptions :

- For Description 1, we note that  $\mathcal{I}_G$  is infinite and hence the partition function  $Z_\lambda(G) = \infty$  !
- For Description 2, we end up with the (same type of) problem that the event  $[I \in \mathcal{I}_G]$  has *zero* probability under the i.i.d. coin tossing measure.

### An Observation on Finite Graph :

Fix any vertex  $v \in V$  and let  $\sigma$  be an independent set for the graph with vertex set  $V \setminus \{v\}$  then

$$\mathbb{P}_\lambda^G(v \in I \mid I \setminus \{v\} = \sigma) = \begin{cases} \frac{\lambda}{1+\lambda} & \text{if } \sigma \cup \{v\} \in \mathcal{I}_G \\ 0 & \text{otherwise} \end{cases}$$

where  $I \in \mathcal{I}_G$ .

## Statistical Physics Definition :

**Definition 1** Given a finite or countably infinite, but locally finite graph  $G = (V, E)$  and  $\lambda > 0$ , a probability measure  $\mathbb{P}_\lambda^G$  on  $\{0, 1\}^V$ , is said to be a Gibbs measure for the hard-core model on  $G$  with activity  $\lambda$ , if it admits conditional probabilities such that for all  $v \in V$  and for any  $\sigma \in \{0, 1\}^{V \setminus \{v\}}$ ,

$$\mathbb{P}_\lambda^G (I(v) = 1 \mid I(V \setminus \{v\}) = \sigma) = \begin{cases} \frac{\lambda}{1+\lambda} & \text{if } \sigma \vee \mathbf{1}_v \in \mathcal{I}_G \\ 0 & \text{otherwise} \end{cases}$$

where  $I$  is a  $\{0, 1\}^V$ -valued random variable with distribution  $\mathbb{P}_\lambda^G$ .

## Remarks :

- This is what is known as Dobrushin-Lanford-Ruelle (DLR) definition of infinite-volume Gibbs measure.
- Similar definitions are used for defining Ising model and  $q$ -Potts model on infinite graphs.



## Existence and Uniqueness

- In general a Gibbs measure exists by compactness argument.
- If  $G$  is finite then uniqueness holds trivially.
- It is not necessary that the uniqueness will hold when  $G$  is infinite.

**Definition 2** *For a fixed graph  $G$  we say that a phase transition occurs for hard-core model with activity  $\lambda > 0$ , if there are more than one Gibbs measures of the form  $\mathbb{P}_\lambda^G$ .*

**Note :** There is no phase transition if  $G$  is finite.

## What are Known ?

- First introduced by Dobrushin (1968) on  $\mathbb{Z}^d$  for model of lattice gas.
- Phase transition is well studied for  $\mathbb{Z}^d$ .
  - ▶ No phase transition for  $d = 1$ .
  - ▶ For  $d \geq 2$  no phase transition for small  $\lambda$ , but phase transition occurs for large  $\lambda$ .

**Not Known** : Is phase transition *monotone* ? In other words is there a critical value in  $\lambda$  ?

- Arguably the most well studied case is the model on regular trees,  $\mathbb{T}_r$  for  $r \geq 2$ . [Kelly, 1985]
  - ▶ For a  $r$ -regular tree  $\mathbb{T}_r$ , there exists a critical value  $\lambda_c(r)$  such that, no phase transition when  $\lambda \leq \lambda_c(r)$  and phase transition occurs when  $\lambda > \lambda_c(r)$ .
  - ▶  $\lambda_c(r) = \frac{(r-1)^{r-1}}{(r-2)^r}$ .
- It is also known that there are infinite trees for which phase transition is not *monotone* ! [Brightwell, Häggström, Winkler, 1998]

# Hard-Core Model on Random Graphs

## Setup :

- $\mathcal{G}$  be a set of graphs which are finite or countably infinite and are locally finite.
- Suppose  $\mathbf{P}$  is a probability on  $\mathcal{G}$ .
- Let  $\mathbf{G} \sim \mathbf{P}$ . We will write  $\mathbf{G}(\omega)$  for a realization of the random graph  $\mathbf{G}$ .
- Given  $\mathbf{G}(\omega)$  a hard-core model with activity  $\lambda > 0$  on  $\mathbf{G}(\omega)$  will be denoted by  $\mathbb{P}_\lambda^\omega$ .
- We will denote the joint measure as  $\mathbf{P}_\lambda$ .

## Remark :

- Note that there are two stages of randomness and there are two parameters :
  - ▶ One is the probability distribution  $\mathbf{P}$  on  $\mathcal{G}$  governing the randomness of the underlying graphical structure.
  - ▶ The other is  $\lambda$  which is governing the hard-core model given the graph.

## Phase Transition

**Definition 3** *Given a random graph model  $(\mathcal{G}, \mathbf{P})$ , we say that there is a phase transition for the hard-core model with activity  $\lambda > 0$  on a random graph  $\mathbf{G} \sim \mathbf{P}$  if*

$$\mathbf{P} \left( \exists \text{ multiple measures of the form } \mathbb{P}_\lambda^{\mathbf{G}} \right) > 0.$$

**Remark :**

- If the random graph model is such that  $\mathbf{G}$  is finite a.s. then there will be no phase transition for any activity  $\lambda > 0$ .
- It is possible to construct an example of  $(\mathcal{G}, \mathbf{P})$  such that phase transition occurs for every  $\lambda > 0$ .

## An Example

- Let  $\mathcal{G} := \{\mathbb{T}_r \mid r \geq 2\}$  and  $\mathbf{P}$  be given by  $\mathbf{P}(\mathbb{T}_r) = \frac{1}{2^{r-1}}$ .
- Recall that from Kelly's work (1985) it is known that for hard-core model on  $r$ -regular tree  $\mathbb{T}_r$ , phase transition occurs if and only if

$$\lambda > \lambda_c(r) = \frac{(r-1)^{r-1}}{(r-2)^r}.$$

- But  $\lambda_c(r) \rightarrow 0$  as  $r \rightarrow \infty$ .
- So for every  $\lambda > 0$  for large enough  $r$  we must have  $\lambda_c(r) < \lambda$  and thus a phase transition would occur for the random graph model  $(\mathcal{G}, \mathbf{P})$ .

### Remark :

- It is important to note that for the model  $(\mathcal{G}, \mathbf{P})$  we can have realizations having arbitrarily large degree with positive probability.
- It is known that for bounded degree (fixed) graphs there should be no phase transition for *small* values of  $\lambda$ . [van den Berg and Steif, 1994]

## Random Graph Models

- **GW-Tree** : Galton-Watson branching process tree with a given progeny distribution denoted by  $N$ .
  - ▶ The parameter here is the distribution of  $N$ .
- **Sparse Random Graphs** :
  - ▶ **Erdős and Rényi Random Graph** : A random graph on  $n \geq 1$  vertices labeled by  $[n] := \{1, 2, \dots, n\}$  where each pair of vertices are connected by an edge independently with probability  $\frac{c}{n}$ , where  $c > 0$ . This would be denoted by  $\mathcal{G}(n, \frac{c}{n})$ .
    - ▶ The parameter here is  $c > 0$ .
  - ▶ **Random  $r$ -regular Graph** : This is to select one graph at random from the set of all  $r$ -regular graphs with vertex set  $[n]$ . We will denote this model by  $\mathcal{G}_r(n)$ .

**Note** : In order for this model to make sense we will always assume that  $nr$  is even.

    - ▶ The parameter here is  $r \geq 2$ .

## Motivations

- Aldous' conjecture for the scaling of the independent number of a sparse random graph.
- Interesting from Statistical Physics point of view, well studied for non-random graphs. [Dobrushin 1970, Kelley 1985, van den Berg & Steif 1994, Brightwell, Häggström & Winkler 1998, Brightwell & Winkler 1999]
- Has applications in engineering fields, like in *multicast networking* problems. [Ramanan et al, 2002]

## Sparse Random Graphs and GW-Trees

- **Known** : If  $\mathcal{G}_n$  be a model for sparse random graph then for “large” enough  $n$  from the “view point” of a fixed vertex “locally it looks like” a (possibly random) rooted tree.
  - ▶ For  $\mathcal{G}(n, \frac{c}{n})$  it is a rooted Galton-Watson tree with Poisson( $c$ ) offspring distribution.
  - ▶ For  $\mathcal{G}(n, r)$  it is a rooted  $r$ -regular tree.
- **Conclusion** : So for computing “large”  $n$  limit of hard-core model on these kind of graphs we may need to consider the similar model on respective GW-trees.
- **Note** : For a  $r$ -regular tree, one slight annoyance is that it is not really a GW-tree ! But by removing one vertex (the root) it can be viewed as a collection of  $r$  GW-trees with progeny distribution  $N \equiv r - 1$ .



## Hard-Core Model on GW-Trees

**Proposition 1** *Fix  $\lambda > 0$  then the followings hold for a GW-tree with progeny distribution  $N$ .*

- (a) If  $\mathbf{E}[N] \leq 1$  then there is no phase transition.*
- (b) If  $\mathbf{E}[N] > 1$  then on the event of non-extinction phase transition occurs with probability 0 or 1.*

## Proof of Proposition 1 :

- Nothing to prove for part (a).
- For part (b) notice that the property that a (fixed) rooted tree  $\mathcal{T}$  has no phase transition implies that if  $v$  is a child of the root, and  $\mathcal{T}(v)$  is the sub-tree rooted at  $v$  consisting only of the descendants of  $v$ , then  $\mathcal{T}(v)$  also has no phase transition.
- Let  $\beta := \mathbf{P}_\lambda$  ( no phase transition in  $\mathcal{T}$  ) where  $\mathcal{T}$  is a GW-tree, and let  $\{v_1, v_2, \dots, v_N\}$  be the children of the root in  $\mathcal{T}$ . Then

$$\begin{aligned}\pi &\leq \mathbf{P}_\lambda \text{ ( no phase transition in } \mathcal{T}(v_j), \forall j) \\ &= \sum_{n=0}^{\infty} \mathbf{P} (N = n) \pi^n = f(\pi)\end{aligned}$$

where  $f$  is the generating function for  $N$ .

- Moreover  $\beta \geq q :=$  extinction probability, because  $[\text{extinction}] \subseteq [\text{no phase transition}]$
- Thus  $\beta \in \{q, 1\}$  and this completes the proof.

## Key Recursion on a Finite Tree

- Suppose  $\mathcal{T}$  be a finite (fixed) rooted tree and we consider the hard-core model on it with activity  $\lambda > 0$ .
- Suppose  $\emptyset$  be the root and it has  $n(\emptyset)$  many children which are denoted by  $1, 2, \dots, n(\emptyset)$ .
- Let  $I$  be a random independent set distributed according to the hard-core model with activity  $\lambda > 0$ . We define  $\eta_{\emptyset}^{\mathcal{T}} := \mathbb{P}_{\lambda}^{\mathcal{T}}(\emptyset \in I)$ .
- For a child  $j$ , let  $\mathcal{T}_j$  be the sub-tree rooted at  $j$  obtained by removing  $\emptyset$ . Suppose  $\eta_j^{\mathcal{T}_j}$  be defined similarly of  $\eta_{\emptyset}^{\mathcal{T}}$ .
- The following *key recursion* holds

$$\eta_{\emptyset}^{\mathcal{T}} = \frac{\lambda \prod_{j=1}^{n(\emptyset)} (1 - \eta_j^{\mathcal{T}_j})}{1 + \lambda \prod_{j=1}^{n(\emptyset)} (1 - \eta_j^{\mathcal{T}_j})}$$

## “Superscript Dropping Principle” Recursive Distributional Equation (RDE)

We consider the following distributional identity :

$$\eta \stackrel{d}{=} \frac{\lambda \prod_{j=1}^N (1 - \eta_j)}{1 + \lambda \prod_{j=1}^N (1 - \eta_j)} \quad \text{on } [0, 1],$$

where  $(\eta_j)$  are i.i.d. copies of  $\eta$  and are independent of  $N$ .

- We also define an operator  $T : \mathcal{P}([0, 1]) \rightarrow \mathcal{P}([0, 1])$  using the right-hand side of the above RDE, namely,

$$T(\mu) := \text{dist} \left( \frac{\lambda \prod_{j=1}^N (1 - \eta_j)}{1 + \lambda \prod_{j=1}^N (1 - \eta_j)} \right)$$

where  $(\eta_j)$  are i.i.d. with distribution  $\mu$  on  $[0, 1]$  and are independent of  $N$ .

- We put  $S = T^2$ .

## RDE Continued ...

### Properties of the RDE and the Operator $T$ :

- $T(\delta_0) = \delta_{\lambda/(1+\lambda)}$ .
- $\delta_0 \preceq T(\mu) \preceq \delta_{\lambda/(1+\lambda)}$ , for any probability  $\mu$  on  $[0, 1]$ .
- $T$  is anti-monotone  $\Rightarrow S$  is monotone.
- $T$  is continuous with respect to the weak convergence topology on  $\mathcal{P}([0, 1])$ .
- So there exist  $\mu_* \preceq \mu^*$  two fixed points of  $S$  such that  $S^n(\delta_0) \uparrow \mu_*$  and  $S^n(\delta_{\lambda/(1+\lambda)}) \downarrow \mu^*$ .
- $T(\mu_*) = \mu^*$  and  $T(\mu^*) = \mu_*$ .
- $S$  has unique fixed point if and only if  $\mu_* = \mu^*$ .
- $T$  is a strict contraction with respect to the Wasserstein metric when  $\lambda \mathbf{E}[N] < 1$ .

## Uniqueness Domain

**Definition 4** *We will say that we are in the uniqueness domain if  $\mu_* = \mu^*$ .*

## Characterization of Phase Transition for GW-Tree Model

**Theorem 2** *For GW-tree with progeny distribution  $N$ , there is no phase transition for the hard-core model with activity  $\lambda > 0$ , if and only if, we are in uniqueness domain for the associated RDE.*

## Specialization to $r$ -regular Tree

- Notice that if  $\mathbb{T}_r(\emptyset)$  denote a rooted  $r$ -regular tree, that is, a tree whose root  $\emptyset$  has degree  $r - 1$  and all other vertices have degree  $r$ , then it is a GW-tree with progeny distribution  $N \equiv r - 1$ .
- So for this model  $N$  is non random, that is the operator  $T$  has no random part in its definition.
- This then implies both  $\mu_*$  and  $\mu^*$  are degenerate measures.
- So basically we need to consider fixed point of a deterministic function  $s = t^2$  where  $t: [0, 1] \rightarrow [0, 1]$  given by

$$t(p) = \frac{\lambda (1 - p)^{r-1}}{1 + \lambda (1 - p)^{r-1}}, \quad p \in [0, 1].$$

- This is exactly what Kelly did in his 1985 paper and this leads to the critical value  $\lambda_c(r)$ .

## When Does Uniqueness Domain Hold ?

**Corollary 3** *For a GW-tree with progeny distribution  $N$ , there is no phase transition for the hard-core model with activity  $\lambda > 0$  if*

(a)  $\mathbf{E}[N] \leq 1$  or,

(b)  $\lambda \mathbf{E}[N] < 1$ .

### Remarks :

- In particular it shows that for any GW-tree (with  $\mathbf{E}[N] < \infty$ ) at least for sufficiently small  $\lambda$  there is no phase transition. Such result is expected. But note that we do not assume that the progeny distribution is bounded.
- In fact a better bound holds using *Van den Berg-Steif inequality*, namely  $\lambda (\mathbf{E}[N] - 1) < 1$ .



## Main Results for hard-Core Model on Sparse Random Graphs

**Theorem 4** *Suppose  $X_\lambda^\omega(n, c)$  be the size of a random independent set distributed according to the hard-core model with activity  $\lambda > 0$  on a Erdős-Rényi random graph  $\mathcal{G}(n, \frac{c}{n})$ . If the GW-tree with Poisson( $c$ ) progeny distribution has no phase transition then*

$$\lim_{n \rightarrow \infty} \frac{\mathbf{E}_\lambda [X_\lambda^\omega(n, c)]}{n} = \gamma_\lambda(c)$$

where  $\gamma_\lambda(c) := \mathbf{E}[\eta]$  and  $\eta$  is the unique solution of the RDE.

**Theorem 5** *Suppose  $X_\lambda^\omega(n, r)$  be the size of a random independent set distributed according to the hard-core model with activity  $\lambda > 0$  on a random regular graph  $\mathcal{G}_r(n)$ . If the  $r$ -regular tree has no phase transition, that is, if  $\lambda < \lambda_c(r) = (r-1)^{(r-1)}/(r-2)^r$ , then*

$$\lim_{n \rightarrow \infty} \frac{\mathbf{E}_\lambda [X_\lambda^\omega(n, r)]}{n} = \alpha_\lambda(r)$$

where  $\alpha_\lambda(r) = w/(1+2w)$  with  $w$  is the unique positive solution of the equation  $\lambda = w(1+w)^{r-1}$ .

## Back to Aldous' Conjecture

**Conjecture [Aldous, 2003]** : For a sparse random graph if  $I_n$  is a maximum independent set then

$$\lim_{n \rightarrow \infty} \frac{\mathbf{E}[|I_n|]}{n} = \kappa$$

for some constant  $\kappa > 0$  (explicitly computable ?).

- Our method fails ! This is because it seems (for the general GW-tree case) that the uniqueness domain does not hold for *large*  $\lambda$ .
- For example it is the case with  $r$ -regular trees and hence for the sparse random graph model  $\mathcal{G}_r(n)$ .
- In fact for  $\mathcal{G}_r(n)$  model it has been postulated (proved using non-rigorous methods) in physics literature that such asymptotic limit exists and has the same answer as Theorem 5 when  $\lambda$  is smaller than the so called "*extremality threshold*" (which is bigger than the "*uniqueness threshold*").
- Our Theorems 4 and 5 provides rigorous argument when  $\lambda$  is in the uniqueness domain (that is, under the *uniqueness threshold* for the  $\mathcal{G}_r(n)$  model).

## Background : Recursive Tree Process (RTP)

Consider the RDE

$$\eta \stackrel{d}{=} \frac{\lambda \prod_{j=1}^N (1 - \eta_j)}{1 + \lambda \prod_{j=1}^N (1 - \eta_j)} \quad \text{on } [0, 1],$$

where  $(\eta_j)$  are i.i.d. copies of  $\eta$  and are independent of  $N$ .

### Notations :

- Let  $\mu$  be a solution of the RDE.
- Let  $\mathbb{T}_\infty = (\mathcal{V}, \mathcal{E})$  be the canonical infinite tree with vertex set  $\mathcal{V} := \{\mathbf{i} \mid \mathbf{i} \in \mathbb{N}^d, d \geq 1\} \cup \{\emptyset\}$ . We will consider it as rooted at  $\emptyset$ .
- Suppose  $(N_{\mathbf{i}})_{\mathbf{i} \in \mathcal{V}}$  be i.i.d. copies of the progeny distribution  $N$ .

## Recursive Tree Process (RTP)

A collection of  $[0, 1]$ -valued random variables  $(\eta_{\mathbf{i}})_{\mathbf{i} \in \mathcal{V}}$  is called an invariant *Recursive Tree Process (RTP)* with marginal  $\mu$  if

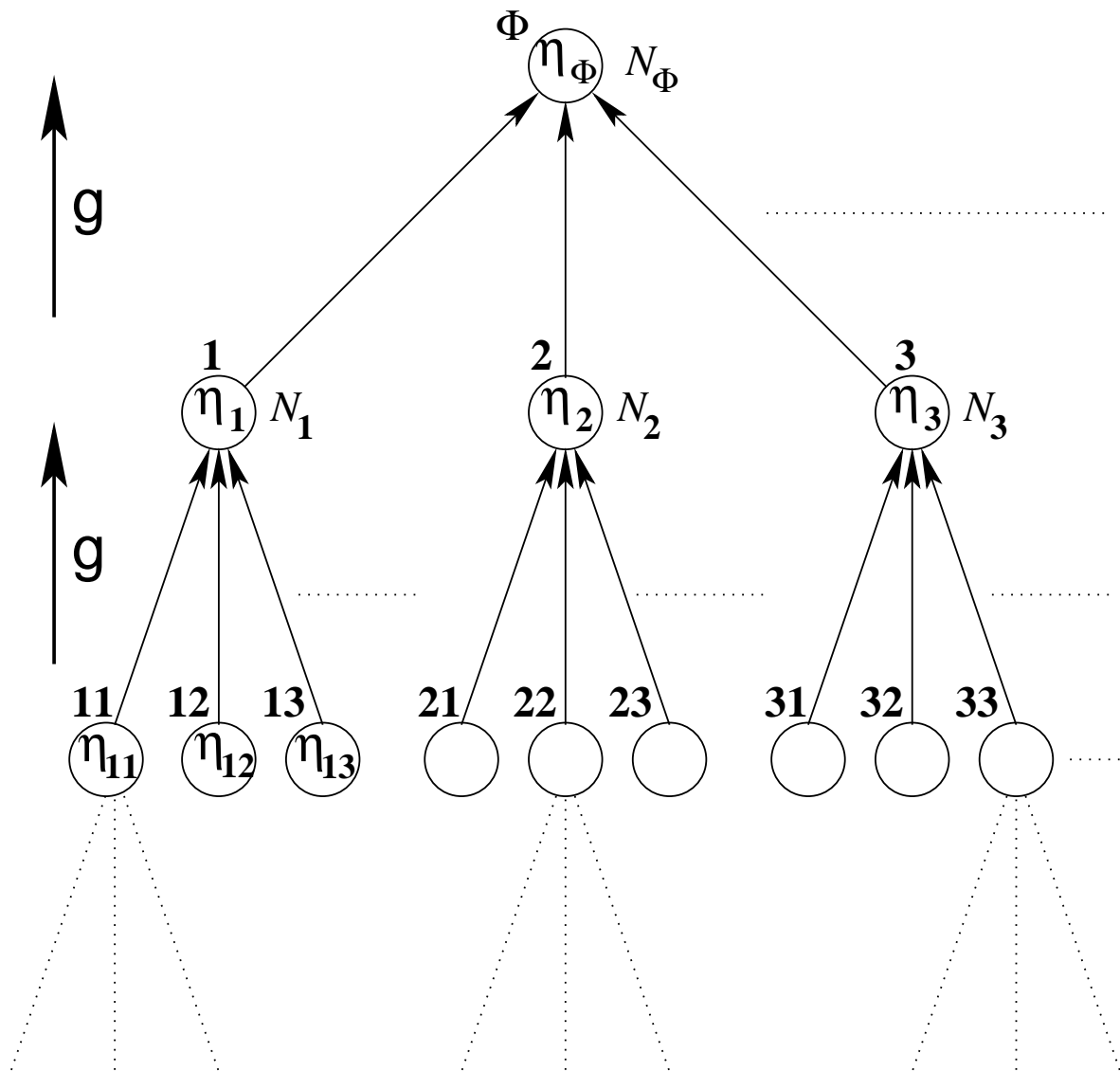
- $\eta_{\mathbf{i}} \sim \mu \quad \forall \mathbf{i} \in \mathcal{V}$ .
- Fix  $d \geq 0$  then  $(\eta_{\mathbf{i}})_{|\mathbf{i}|=d}$  are independent.

- $$\eta_{\mathbf{i}} = \frac{\lambda \prod_{j=1}^{N_{\mathbf{i}}} (1 - \eta_{\mathbf{i}_j})}{1 + \lambda \prod_{j=1}^{N_{\mathbf{i}}} (1 - \eta_{\mathbf{i}_j})} \quad \text{a.s. } \forall \mathbf{i} \in \mathcal{V}.$$

- $\eta_{\mathbf{i}}$  is independent of  $\{N_{\mathbf{i}'} \mid |\mathbf{i}'| < |\mathbf{i}|\}$   $\forall \mathbf{i} \in \mathcal{V}$ .

**Remark :** Using *Kolmogorov's consistency*, an invariant RTP with marginal  $\mu$  exists if and only if  $\mu$  is a solution of the RDE.

# Recursive Tree Process (RTP)



## Towards Proving Theorem 2

### Long Range Independence Property

- Fix  $d \geq 0$ .
- Write  $\mathbf{x}_d$  for a vector  $(x_i)_{|i|=d}$  where each  $x_i \in [0, 1]$ .
- Let  $\mathcal{T}$  be the realization of the GW-tree rooted at  $\emptyset$  obtained from the realizations of  $(N_i)_{i \in \mathcal{V}}$ .
- Let  $\left( \eta_i^{(d)}(\mathbf{x}_d) \right)_{|i| \leq d}$  be the  $d$ -depth RTP with values at level  $d$  given by  $\mathbf{x}_d$ .

**Lemma 6 (Long range independence)** *Suppose we are in the uniqueness domain, that is  $\mu_* = \mu^*$ , then*

$$\limsup_{d \rightarrow \infty} \sup_{\mathbf{x}_d} |\eta_{\emptyset}^{(d)}(\mathbf{x}_d) - \eta_{\emptyset}| = 0 \quad a.s.$$

**Remark :**

- The proof of Theorem 2 follows from this Lemma.

## Proof of Lemma 6 :

- For the vector  $\mathbf{x}_d$  if all the components are same as  $c$  the we will write the vector itself as  $c$ .
- $\eta_\emptyset^{(2d)}(\mathbf{0}) \uparrow \eta_\emptyset$ , a.s. and also  $\eta_\emptyset^{(2d+1)}(\mathbf{0}) \downarrow \eta_\emptyset$  a.s.
- $\eta_\emptyset^{(d)}\left(\frac{\lambda}{1+\lambda}\right) = \eta_\emptyset^{(d+1)}(\mathbf{0})$ , so  $\eta_\emptyset^{(d)}\left(\frac{\lambda}{1+\lambda}\right) \rightarrow \eta_\emptyset$  a.s.
- If  $0 \leq x_i \leq \frac{\lambda}{1+\lambda}$  for all  $i \in \mathcal{V}$  then

$$\eta_\emptyset^{(2d)}(\mathbf{0}) \leq \eta_\emptyset^{(2d)}(\mathbf{x}_{2d}) \leq \eta_\emptyset^{(2d)}\left(\frac{\lambda}{1+\lambda}\right), \text{ and}$$

$$\eta_\emptyset^{(2d+1)}\left(\frac{\lambda}{1+\lambda}\right) \leq \eta_\emptyset^{(2d+1)}(\mathbf{x}_{2d+1}) \leq \eta_\emptyset^{(2d+1)}(\mathbf{0}).$$

So  $\eta_\emptyset^{(d)}(\mathbf{x}_d) \rightarrow \eta_\emptyset$  a.s. as  $d \rightarrow \infty$ .

- Now notice that  $\eta_\emptyset^{(d)}(\mathbf{1}) = \eta_\emptyset^{(d-1)}(\mathbf{x}_{d-1})$  where each  $x_i \in \{0, \lambda/(1+\lambda)\}$ . So  $\eta_\emptyset^{(d)}(\mathbf{1}) \rightarrow \eta_\emptyset$  a.s.
- Finally, if  $0 \leq x_i \leq 1$  for all  $i \in \mathcal{V}$  then

$$\eta_\emptyset^{(2d)}(\mathbf{0}) \leq \eta_\emptyset^{(2d)}(\mathbf{x}_{2d}) \leq \eta_\emptyset^{(2d)}(\mathbf{1}), \text{ and}$$

$$\eta_\emptyset^{(2d+1)}(\mathbf{1}) \leq \eta_\emptyset^{(2d+1)}(\mathbf{x}_{2d+1}) \leq \eta_\emptyset^{(2d+1)}(\mathbf{0}).$$

So  $\eta_\emptyset^{(d)}(\mathbf{x}_d) \rightarrow \eta_\emptyset$  uniformly a.s. as  $d \rightarrow \infty$ , proving the lemma.

## Remarks on the Proofs of Theorem 4 and Theorem 5

- First thing to note is

$$\frac{1}{n} \mathbf{E}_\lambda [X_\lambda^\omega] = \mathbf{P}_\lambda (v_0 \in I_\lambda^\omega),$$

where  $I_\lambda^\omega$  is the random independent set selected according to the (random) distribution  $\mathbb{P}_\lambda^\omega$ , and  $v_0$  is a fixed vertex.

- For any fixed  $d > 0$  the distribution of the  $d$ -depth neighborhood of  $v_0$  converges to the distribution of a  $d$ -depth Poisson( $c$ ) GW-tree for  $\mathcal{G}(n, \frac{c}{n})$  model, and to a  $d$ -depth  $r$ -regular tree for  $\mathcal{G}_r(n)$  model.
- We can then apply the *local weak convergence* technique of Aldous and Steele (2004) using the (strong) *long range independence* property which holds under the uniqueness domain. These will give the stated results after a little more careful probability computations !



## Open Problems/Questions

- We know that for  $r$ -regular tree the phase transition is a *monotone* property in  $\lambda$ , and the critical value  $\lambda_c(r)$  is explicitly known.

Is phase transition monotone (in  $\lambda$ ) for a general GW-tree ?

**Comment :** Most possibly not ! But is it at least the case for GW-tree with Poisson progeny distribution ?

- For GW-tree with Poisson( $c$ ) progeny distribution is phase transition monotone in  $c$  ? That is for every fixed  $\lambda > 0$  if  $c > c'$  and we have no phase transition for Poisson( $c$ ) GW-tree then can we say that we have no phase transition for Poisson( $c'$ ) GW-tree ?

**Comment :** We know this is true if  $\lambda \times c < 1$ .

- If the answer to above question is yes (which is most possibly the case) can we also get the critical value for  $c$  ? (explicitly or bounds ?)