On algebraic connectivity of graphs with at most two points of articulation in each block

R. B. Bapat^{a**}, A. K. Lal^{b ϕ ††‡}and S. Pati^{c ϕ}

^a Stat-Math Unit, Indian Statistical Institute Delhi, 7-SJSS Marg, New Delhi, India.

^bDepartment of Mathematics & Statistics, Indian Institute of Technology Kanpur, India. ^cDepartment of Mathematics, Indian Institute of Technology Guwahati, India.

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Abstract

Let G be a connected graph and let L(G) be its Laplacian matrix. We show that given a graph G with a point of articulation u, and a spanning tree T, there is a way to give weights to the edges of G, so that u is the characteristic vertex and the monotonicity property holds on T. A restricted graph is a graph with a restriction that each block can have at most two points of articulation. We supply the structure of a restricted graph G whose algebraic connectivity is extremized among all restricted graphs with the same blocks as those of G. Further results are supplied when each block of G is complete. A path bundle is a graph that consists of internally vertex disjoint paths of the same length with common end vertices. Results pertaining to extremizing the algebraic connectivity of restricted graphs whose blocks are path bundles are supplied. As an application, a comparison of the algebraic connectivities of the sunflower graphs is provided.

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1 Introduction

Let G = (V(G), E(G)), in short G, denote a simple graph and unless specified otherwise, $V(G) = \{1, \ldots, n\}$. An edge between vertices u and v is denoted by uv. We write $u \sim v$ to mean that $uv \in E(G)$. The adjacency matrix $A(G) = [a_{ij}]$ of G is a matrix with $a_{ij} = 1$ if $i \sim j$

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 $^{^{\}ddagger\dagger}$ Corresponding author. Email: arlal@iitk.ac.in.

and $a_{ij} = 0$ if $i \approx j$. The Laplacian matrix L(G) is defined as D(G) - A(G), where D(G) is the diagonal degree matrix of G. We use $\tau(M)$ to denote the smallest eigenvalue and a(M) to denote the second smallest eigenvalue of a matrix M with real eigenvalues. When M = L(G), we use $\tau(G)$ and a(G) instead of $\tau(L(G))$ and a(L(G)), respectively. For any graph G, the matrix L(G) is positive semi-definite and $\tau(G) = 0$ with a corresponding eigenvector $\mathbb{1}$ of all ones. It is well known that a(G) > 0 if and only if G is connected. Fiedler [6] termed a(G)as the algebraic connectivity of G viewing it as an algebraic measure of the connectivity of G. The eigenvectors of L(G) corresponding to a(G) are popularly known as the Fiedler vectors of G. Throughout this paper, \mathbf{y} will denote a Fiedler vector of L(G).

Many researchers have studied different properties and applications of L(G). We refer the reader to the survey article by Merris [12] and references therein for a general background. In the past few years extremizing algebraic connectivity under different graph theoretic constraints have drawn a good amount of attention, see for example [2, 4, 5, 8, 9, 11, 13, 14]. Motivated by these works we carry on the study for graphs whose blocks have at most two points of articulation. We refer the reader to [3] for some basics on the theory of graphs.

2 Fiedler vectors

Recall that a *point of articulation* in a graph G is a vertex whose deletion increases the number of components. A *block* of G is a maximal induced connected subgraph not containing a point of articulation. The following result is well known.

Proposition 2.1 [6] Let \mathbf{y} be a Fiedler vector of a connected graph G. Then the subgraph induced by $\{v \in V(G) \mid \mathbf{y}(v) \ge 0\}$ is connected. Similarly, the subgraph induced by $\{v \in V(G) \mid \mathbf{y}(v) \le 0\}$ is connected.

A vertex v is called *positive*, *negative*, or *zero* with respect to \mathbf{y} if $\mathbf{y}(v) > 0$, $\mathbf{y}(v) < 0$ or $\mathbf{y}(v) = 0$, respectively. A subgraph H of G is called *positive*, *negative* or *zero* if each vertex in H is positive, negative or zero, respectively. A vertex v is called a *characteristic vertex* of G with respect to \mathbf{y} if it is a zero vertex having a nonzero neighbor. An edge uv is called a *characteristic edge* of G with respect to \mathbf{y} if $\mathbf{y}(u)\mathbf{y}(v) < 0$. The set $\mathcal{C}(G, \mathbf{y})$ of all characteristic vertices and edges of G is called the *characteristic set* of G with respect to \mathbf{y} . Following [7], a path is said to be *pure* if it contains at most two points of articulation of each block. One of the striking results due to Fiedler about the Laplacian for which the subject received lots of attention is the 'monotonicity theorem'.

Proposition 2.2 (Fiedler's monotonicity theorem) [7] Let \mathbf{y} be a Fiedler vector of a connected graph G. Then exactly one of the following cases occurs.

Case A: There is exactly one block C in G which contains both positive and negative vertices. Every other block is either a positive block, or a negative block, or a zero block. Let P be a pure path which starts at a vertex k of C and contains no other vertex of C. Then the valuations at the points of articulation contained in P form either an increasing, or a decreasing, or a zero sequence along P according to whether $\mathbf{y}(k) > 0$, $\mathbf{y}(k) < 0$ or $\mathbf{y}(k) = 0$; in the last case P is a zero path.

Case B: No block of G contains both positive and negative vertices. In this case, there exists a unique characteristic vertex z. This vertex z is a point of articulation. Each block (with the exception of z) is either a positive block, or a negative block, or a zero block. Let P be a pure path which starts at z. Then the valuations at the points of articulation (with the exception of z) either increase and remain positive, or decrease and remain negative, or are zero; in the last case P is a zero path. Every path containing both positive and negative vertices passes through z.



Figure 1: Case A of Proposition 2.2. The bold path is a pure path and the larger dots are the points of articulation on it.

A natural question is the following: Can we have 'monotonicity along the path' instead of 'monotonicity along the points of articulation on the path'? The answer to this is 'No, not for every path.' For example, the pure path shown in the top graph of Figure 2 does not have monotonicity.



Figure 2: Top: A pure path which does not have monotonicity. Bottom: a pure path along which monotonicity holds.

In view of Proposition 2.2, $\mathcal{C}(G, \mathbf{y})$ is either a singleton vertex or is contained in a unique block of G. This block is called the *characteristic block* of G. Let $\mathcal{C}^*(\mathbf{y})$ denote the characteristic set if Case B of Proposition 2.2 holds and the characteristic block if Case A of Proposition 2.2 holds. Let v be any positive vertex outside $\mathcal{C}^*(\mathbf{y})$. Then there is a pure path from a vertex of $\mathcal{C}^*(\mathbf{y})$ to v. As observed in the top picture in Figure 2, there may not be monotonicity along this path, though by Proposition 2.2, there is monotonicity along the points of articulation on this path. So a natural question is *does there exist a path from a vertex of* $C^*(y)$ *to v along which monotonicity holds*? For example, the bottom picture in Figure 2 gives one such path. We are interested in a path along which strict monotonicity holds.

Let W be a nonempty subset of V(G). A branch at W is a connected component of G - W. Note that answers to the following questions are already known (see for example [1]).

- a) Can it happen that for one Fiedler vector Case A of Proposition 2.2 holds and for another Fiedler vector Case B holds? No.
- b) Suppose that Case A holds for each Fiedler vector. Do we get the same characteristic block? Yes.
- c) Suppose that Case B holds for each Fiedler vector. Do we get the same characteristic vertex? Yes.

Lemma 2.3 Let \mathbf{y} be a Fiedler vector of a connected graph G and let $k \in \mathcal{C}^*(\mathbf{y})$. Suppose B is a branch at k not containing any vertex of $\mathcal{C}^*(\mathbf{y})$ and u is a positive vertex in B. Then there exists a path $P = [k, v_1, \ldots, v_s = u]$ with $v_i \in B$ such that $\mathbf{y}(k) < \mathbf{y}(v_1) < \cdots < \mathbf{y}(u)$.

Proof. A proof follows by repeated application of Corollary 3.10 of [7].

Theorem 2.4 Let \mathbf{y} be a Fiedler vector of a connected graph G. Then there is a spanning subgraph H of G containing $\mathcal{C}^*(\mathbf{y})$ such that $H - \mathcal{C}^*(\mathbf{y})$ is a forest. Furthermore, each path P in H which starts from a vertex $k \in \mathcal{C}^*(\mathbf{y})$ and does not contain any other vertex of $\mathcal{C}^*(\mathbf{y})$ satisfies exactly one of the following properties.

- (a) The valuation along P is strictly increasing.
- (b) The valuation along P is strictly decreasing.
- (c) The valuation along P is identically zero.

Proof. The proof is based on creating the subgraph H. Start with $H = \mathcal{C}^*(\mathbf{y})$. Let $k \in \mathcal{C}^*(\mathbf{y})$ and let B be a branch of G at k not containing any vertex of $\mathcal{C}^*(\mathbf{y})$.

Suppose B is a positive branch and $u \in B$. Then by Lemma 2.3, there is a strictly decreasing path from u to k. We add this path to H. If there is a vertex $w \in B - H$, then again by Lemma 2.3, there is a strictly decreasing path P from w to k. While traversing from w, select the part of P from w to the first vertex of H. We add this part to H. We repeat the process till each vertex of B is in H.

A similar argument holds if B is either a negative branch or a zero branch.

We apply this technique at other branches to obtain a spanning subgraph H. Note that H has the desired property at any stage of the construction.

Remark 2.5 Note that G has the following property: if u is a positive (negative or zero) vertex outside $C^*(\mathbf{y})$, then there is at least one strictly decreasing (strictly increasing or zero) path from u to a vertex of $C^*(\mathbf{y})$ in the graph. The graph H in Theorem 2.4 may also be constructed by deleting sequentially a few edges of G that are outside $C^*(\mathbf{y})$, ensuring that the resulting graph at each stage has the specified property.

Let G be the graph in Figure 3. The valuations with respect to a Fiedler vector (obtained using the mathematical package MATLAB) are written near each vertex. By deleting the 'dashed edges' we get a graph H satisfying Theorem 2.4.



Figure 3: Deleting the dashed edges gives a spanning subgraph satisfying the monotonicity property of Theorem 2.4.

Remark 2.6 In general, there may be many subgraphs H satisfying Theorem 2.4. So it is natural to seek a family of graphs for which there is exactly one such subgraph. Note that the graph in Figure 1 on page 3 is an example that has essentially one subgraph H, in the sense that all other choices of H are isomorphic copies. Two special properties of that graph are the following.

(a) Each of its blocks has at most two points of articulation, thus generalizing a tree. A graph with this restriction on the blocks will be called a restricted graph.

(b) Each of its blocks is made up of internally vertex disjoint paths of the same length with common end vertices. Such blocks will be called path bundles.

Remark 2.7 We observe that Lemma 2.3, Theorem 2.4 are valid for the edge weighted Laplacian matrices $L(G) = [l_{ij}]$, where

$$l_{ij} = \begin{cases} \sum_{k \sim i} w_{ik}, & \text{if } i = j, \\ -w_{ij}, & \text{if } i \sim j, \\ 0, & \text{oherwise,} \end{cases}$$

and w_{ij} is the weight of the edge ij in G.

Let W be a nonempty subset of V(G) and let B be a branch of G at W. The principal submatrix of L(G) corresponding to B is denoted $\hat{L}(B)$. It is well known (see [9]) that $\hat{L}(B)^{-1}$ is entrywise positive and by the Perron-Frobenius theory, the spectral radius, denoted $\rho(\hat{L}(B)^{-1})$, is a simple eigenvalue and it is afforded by an entrywise positive eigenvector. We call $\rho(\hat{L}(B)^{-1})$ the Perron value of the branch B. Note that $\tau(\hat{L}(B)) = 1/\rho(\hat{L}(B)^{-1})$. B is called a Perron branch if $\tau(L(B)) \leq a(G)$. It is known (see [1, Lemma 6]) that if $W = \{u\}$, then a Perron branch B at u is nothing but a branch of maximum Perron value.

Theorem 2.8 Let k be a point of articulation of a connected graph G and let T be a spanning tree of G. Then there is an assignment of weights to the edges of G such that k is the characteristic vertex of G and T is the spanning tree as mentioned in Theorem 2.4.

Proof. First assume that B_1 and B_2 are the only branches of G at k. Let \tilde{G} be obtained from G by assigning a weight α (value of α shall be specified later) to each edge in $E(G) \setminus E(T)$. Let \tilde{B}_1 and \tilde{B}_2 be the corresponding branches of \tilde{G} at k. Assume that $\tau(\hat{L}(\tilde{B}_1)) \leq \tau(\hat{L}(\tilde{B}_2))$ and put $\beta = \tau(\hat{L}(\tilde{B}_1))/\tau(\hat{L}(\tilde{B}_2))$. Now multiply each edge of the induced subgraph of \tilde{G} on the vertices $V(B_2) \cup \{k\}$ by β .

Let G' be the new weighted graph. Let B'_1 and B'_2 be the corresponding branches of G' at k. Notice that $\tau(\hat{L}(B'_1)) = \tau(\hat{L}(B'_2))$. Thus, it follows that (see for example [11]) the vertex k is still the characteristic vertex of G' and a(G') is simple.



Figure 4: The graph G'. Here solid lines are edges of T and G'_1 is the subgraph induced by the vertices in B'_1 and k.

Let y be a Fiedler vector of G'. In view of -y, let B'_1 be the positive branch. Let $r \in B_1$ and consider the path P = [r, s, ..., k] in T. We shall show that $\mathbf{y}(s) < \mathbf{y}(r)$. Note that T - rshas two components, say T_r and T_s , containing r and s, respectively. Define the vector **x** by $\mathbf{x}(i) = 1$ if $i \in T_r$ and $\mathbf{x}(i) = 0$, otherwise. Then, the entries of $\mathbf{x}^T L(G')$ are given as follows:

- For $u \neq r, u \in T_r$, we have $[\mathbf{x}^T L(G')]_u = \sum_{v \sim u, v \in T_s} \alpha.$
- For u = r, we have $[\mathbf{x}^T L(G')]_u = 1 + \sum_{v \sim u, v \in T_s, v \neq s} \alpha.$

• For $u \neq s, u \in T_s$, we have $[\mathbf{x}^T L(G')]_u = \sum_{v \sim u, v \in T_r} -\alpha$. • For u = s, we have $[\mathbf{x}^T L(G')]_u = -1 + \sum_{v \sim u, v \in T_r, v \neq r} -\alpha$. Considering only those edges that have one end in T_s and the other in T_r , we have

$$\begin{aligned} a(G') \sum_{u \in T_r} \mathbf{y}(u) &= \mathbf{x}^T L(G') \mathbf{y} \\ &= \mathbf{y}(r) - \mathbf{y}(s) + \sum_{u \sim v, \ u \in T_r, \ v \in T_s, \ uv \neq rs} \alpha[\mathbf{y}(u) - \mathbf{y}(v)] \\ &\leq \mathbf{y}(r) - \mathbf{y}(s) + n\alpha \sum_{u \in T_r} \mathbf{y}(u) \end{aligned}$$

as no vertex of B'_2 is adjacent to a vertex of T_r and vertices in B'_1 are nonnegative.

As the left hand side term is positive, one has $\mathbf{y}(s) < \mathbf{y}(r)$ if there is a choice of α satisfying $n\alpha < a(G')$. Towards this, let T_1, \ldots, T_p be the branches of T at k which are contained in B'_1 . Assume that $\tau(\hat{L}(T_1)) \leq \tau(\hat{L}(T_i))$ for $i = 2, \ldots, p$. Here $\hat{L}(T_i)$'s are viewed as principal submatrices of L(T), where T is the weighted subgraph of G'.

Now imagine removing the non-tree edges from B'_1 . In this process, the smallest eigenvalue $\tau(\hat{L}(B'_1)) = a(G')$ can only decrease but remain positive. Hence

$$a(G') = \tau(\hat{L}(B'_1)) \ge \tau(\hat{L}(T_1)),$$

where $\tau(\hat{L}(T_1))$ is independent of α and β as α was assigned to each edge of $E(G) \setminus E(T)$ and β was multiplied to the weight of each edge in the induced subgraph $V(B_2) \cup \{k\}$ of \tilde{G} . Hence our selection of α is possible.

Now to see that the monotonicity holds in B'_2 , multiply the whole matrix by $\frac{1}{\beta} > 1$. Then the corresponding graph, say G'', will have $a(G'') = \frac{1}{\beta}a(G') \ge a(G') > n\alpha$. The branch B''_2 of G'' corresponding to B'_2 has a weight 1 for the tree-edges and a weight α for the non-tree edges.

The argument for the general case when there are more than two branches at k can be handled with a slight modification and hence is omitted.

3 Minimizing algebraic connectivity

It is well known that among all trees on n vertices the path has the minimum algebraic connectivity. In this section, we shall supply a similar result for a more general case, namely for the connected restricted graphs. Let G be a connected restricted graph with blocks B_1, \ldots, B_k . Replace the block B_i by a path $P_i = [u_i, v_i]$ on two vertices such that B_i, B_j have a common vertex if and only if P_i, P_j have a common vertex'. The resulting graph is a tree which we call the *block structure* of G (see Figure 5 for a restricted graph and its block structure). Thus the block structure of a tree is itself.



Figure 5: A restricted graph (left) and its block structure (right).

In the subsequent part of this section, we address the following question: suppose one is not allowed to change the blocks but is allowed to change the structure, keeping in mind that each block still has at most two points of articulation. Can one say that the algebraic connectivity will be minimized for a graph whose block structure is a path?

The answer is affirmative. Let us recall some known results. We denote the all ones matrix by J.

Lemma 3.1 (Replacement) [4, 5] Let v be a point of articulation of a connected graph G with branches C_1, \ldots, C_k at v. Let C_{i_1}, \ldots, C_{i_j} be any collection of branches at v such that the vertex set of $C = \bigcup_{l=1}^{j} C_{i_l}$ does not contain the vertex set of every Perron branch at v. Form a new graph \tilde{G} by replacing C with a single connected component \tilde{C} at v. If $\hat{L}(\tilde{C})^{-1} \geq \hat{L}(C)^{-1}$ then $a(\tilde{G}) \leq a(G)$.

Remark 3.2 We can add one more line to the replacement lemma whose verification is similar. "Form a new graph \tilde{G} by replacing C with a graph \tilde{C} at v. If $\hat{L}(\tilde{C})^{-1} \leq \hat{L}(C)^{-1}$ then $a(\tilde{G}) \geq a(G)$." This holds as the Perron branches at v which were not contained in C will remain as Perron branches at v for \tilde{C} and we apply the replacement lemma to \tilde{G} .

We use A(:,i) to mean the *i*th column and A(i,:) to mean the *i*th row of A. Also, $A \ll B$ means that B - A is a nonnegative matrix with at least one positive entry.

Proposition 3.3 [5] Let G_1, G_2 be connected graphs on $0, 1, \ldots, n$ and $n, n+1, \ldots, k$, respectively. Put $\delta = \hat{L}(G_1 - 0)^{-1}(n, n)$. Then

$$\hat{L}(G_1 \cup G_2 - 0)^{-1} = \left[\begin{array}{c|c} \hat{L}(G_1 - 0)^{-1} & \hat{L}(G_1 - 0)^{-1}(:, n) \mathbb{1}^T \\ \hline \mathbb{1}\hat{L}(G_1 - 0)^{-1}(n, :) & \delta J + \hat{L}(G_2 - n)^{-1} \end{array} \right].$$

Proposition 3.4 [4] Let G = [1, 2, ..., g, 1] be a cycle of girth g. Then the (i, j)th entry of $\hat{L}(G-g)^{-1}$ is i(g-j)/g if $i \leq j$.

Lemma 3.5 (Shifting) Let G_0, G_1, G_2 and F be connected graphs on vertices $0, 1, \ldots, n$; $n, n + 1, \ldots, k$; $k, k + 1, \ldots, r$; and $n, r + 1, r + 2, \ldots, s$, respectively. Let F^* be the graph obtained from F by renaming the vertex n to k (see Figure 6). Then



Figure 6: The graphs F and F^* .

Proof. By Proposition 3.3, one needs to compare the entries corresponding to $u \in F - n$. Put $G = G_0 \cup G_1 \cup G_2$. Then using Proposition 3.3, we obtain the following inequalities.

• Let $w \in F - n$. Then M(u, w) < N(u, w) as $\hat{L}(G - 0)^{-1}(n, n) < \hat{L}(G - 0)^{-1}(k, k)$.

• If $w \in G_0 - 0$ then M(w, u) = M(w, n) = N(w, k) = N(w, u) and

• if $w \in G_1 \cup G_2$ then $M(w, u) = M(n, n) \le N(w, k) = N(w, u)$. Thus, using the above inequalities, the proof of the lemma is over.

Remark 3.6 Lemma 3.5 is also valid when we move the branch from 0 to n or from k to r. The proof is similar in nature. Roughly the shifting lemma says that if we move a block away from the root (vertex 0), the Perron value of the branch increases.

We now give our main result of this section.

Theorem 3.7 Let G be a connected restricted graph. Then there is a restricted graph H having the same blocks as that of G and whose block structure is a path such that $a(H) \leq a(G)$.

Proof. If G has at most two blocks, then the block structure is a path and there is noting to prove. Assume that the block structure of G is not a path. That is, G has at least three blocks. Suppose that Case A of Proposition 2.2 holds. Let C be the characteristic block and v be a point of articulation of C. Let B_1, \ldots, B_j be the branches at v which do not contain any vertex of C. Applying shifting lemma repeatedly, all these branches can be replaced by a single branch B with the same blocks such that the block structure is a path. As $\hat{L}(B)^{-1} \geq \hat{L}(\cup B_i)^{-1}$, using the replacement lemma, it follows that the resulting graph F satisfies $a(F) \leq a(G)$.

Consider G again. If C does not have another point of articulation our proof is over. Assume that C has one more point of articulation, say u. By using Proposition 1.4 of [5] (see also [9]), the branch at u which contains other elements of C is the Perron branch. Therefore, the branch at u of F that contains other elements of C is also the Perron branch. Let D_1, \ldots, D_k be the branches at u of F which do not contain any vertex of C. We replace these branches by a single branch D with the same blocks such that the block structure is a path. Let the resulting graph be H. By shifting lemma, $\hat{L}(D)^{-1} \geq \hat{L}(\cup D_i)^{-1}$. Thus, by replacement lemma, $a(H) \leq a(F)$. This completes the proof of the theorem for Case A.

The argument for Case B of Proposition 2.2 is similar and omitted.

Next, we consider maximization of algebraic connectivity. Using repeatedly Remark 3.2 on page 8, we get the following result.

Theorem 3.8 Let G be a connected restricted graph with the block structure a tree of diameter at least four. Then there is a graph H having the same blocks as that of G and with the block structure a tree of diameter three such that $a(H) \ge a(G)$.

4 Block graphs

Recall that a *block graph* is a graph each of whose blocks is complete. Let G be a block graph. Consider all restricted graphs whose blocks are precisely the blocks of G. By Theorem 3.7, the algebraic connectivity will be minimized by a graph H whose block structure is a path. In this section we provide some further information about H. We require the following easily verifiable observations.

Proposition 4.1 Let $G = K_n$ be the complete graph on n vertices. Then the (i, j)-th entry of $\hat{L}(G-n)^{-1}$ is 1/n if $i \neq j$ and 2/n if i = j.

Proposition 4.2 Let G be a block graph and $B = K_n$ be a block in G. Take two points u, v in B that are not points of articulation. Let \mathbf{y} be the vector with $\mathbf{y}(u) = 1$, $\mathbf{y}(v) = -1$ and the remaining entries 0. Then $L(G)\mathbf{y} = n\mathbf{y}$.

Let G_1, G_2, \ldots, G_k be complete graphs with vertex sets $\{0, \ldots, n_1\}$, $\{n_1, n_1 + 1, \ldots, n_2\}$, ..., $\{n_{k-1}, n_{k-1} + 1, \ldots, n_k\}$, respectively. Take a connected graph H on vertices $n_k, n_k + 1, \ldots, n_k$ and put $G = G_1 \cup G_2 \cdots \cup G_k \cup H$. We shall refer to this graph as $G_{s_1, s_2, \ldots, s_k, H}$, where $s_i = |G_i|$. We assume that each $s_i \geq 2$. By $G_{s_1, s_2, \ldots, s_k}$ we shall mean the graph $G_{s_1, s_2, \ldots, s_{k-1}, H}$, where H is a complete graph of size s_k . For example, see Figure 7 on page 10 for the block graph $G_{3, 2, 2, 4}$.



Figure 7: The block graph $G_{3,2,2,4}$.

Proposition 4.3 Let $s_2 > s_1 > 0$. Then $\hat{L}(G_{s_1,s_2,H} - 0)^{-1} \gg \hat{L}(G_{s_2,s_1,H} - 0)^{-1}$.

Proof. Rename the vertices of $G_{s_1,s_2,H}$ and $G_{s_2,s_1,H}$ so that they look as shown in Figure 8. Also, let us write $M = \hat{L}(G_{s_1,s_2,H} - 0)^{-1}$ and $N = \hat{L}(G_{s_2,s_1,H} - 0)^{-1}$.



Figure 8: The graphs $G_{s_1,s_2,H}$ and $G_{s_2,s_1,H}$.

Then by Propositions 3.3 and 4.1, the matrix M is given by

As $s_2 > s_1$, the following statements can be easily verified.

• Let $1 \le i < s_1 - 1$. Then for j = i, $m_{ii} = \frac{2}{s_1} > \frac{2}{s_2} = n_{ii}$ and for j > i, $m_{ij} = \frac{1}{s_1} > \frac{1}{s_2} = n_{ij}$. • If $i = s_1 - 1$ and $j \ge i$ then $m_{ij} = \frac{2}{s_1} > \frac{2}{s_2} \ge n_{ij}$.

• Now suppose that $s_1 \leq i < s_1 + s_2 - 2$. Then for j > i, $m_{ij} = \frac{2}{s_1} + \frac{1}{s_2} > \frac{2}{s_2} + \frac{1}{s_1} \geq n_{ij}$ and furthermore $m_{ii} = \frac{2}{s_1} + \frac{2}{s_2} \geq n_{ii}$.

• Finally, if
$$s_1 + s_2 - 2 \leq i$$
 and $j \geq i$ then $m_{ij} = n_{ij}$.

Hence, the proof is completed by noting that M and N are symmetric.

Theorem 4.4 Let k > 2 and fix positive integers s_1, \ldots, s_k . Let G be a connected restricted graph with blocks K_{s_1}, \ldots, K_{s_k} . Then among all such graphs the algebraic connectivity is minimized for a graph H whose block structure is a path. Furthermore, the sizes of the blocks in H increase as we move away from the characteristic set. The maximum algebraic connectivity among all such graphs is 1 and it is attained by the graphs whose block structure is a star.

Proof. First let G be a graph whose block structure is a star. Now suppose that v is the point of articulation of G and let B be any branch at v. Then $\hat{L}(B) = I + L(B)$. So $\tau(\hat{L}(B)) = 1$. As there are k > 2 branches, by interlacing, we see that a(G) = 1 with multiplicity at least k-1. In fact we can say a little more. Using Proposition 4.2, it can be easily checked that the eigenvalues of G are as follows.

Eigenvalues: 0 1 $s_1 \cdots s_k$ $1-k+\sum s_i$. Multiplicities: 1 k-1 s_1-2 \cdots s_k-2 1.

Now, the proof of the minimization part is considered. In view of Theorem 3.7, let $H = G_{p_1,\ldots,p_k}$, where p_1,\ldots,p_k is an arrangement of s_1,\ldots,s_k . We claim that an end block of H cannot be the characteristic block. To see this, let n_1 be the point of articulation of K_{p_1} and K_{p_2} and let B_1 and B_2 be the branches at n_1 containing points from K_{p_1} and K_{p_2} , respectively. As $\tau(\hat{L}(B_1)) = 1$ and $\tau(\hat{L}(B_2)) < 1$, B_2 is the only Perron branch at n_1 . So, by Corollary 1.1

of [9], the characteristic block of H cannot be K_{p_1} . Similarly, K_{p_k} cannot be the characteristic block of H.

Now, suppose that Case B of Proposition 2.2 holds and let v be the characteristic vertex of H. Then H - v has two components, say B (see Figure 9, where the positive integers $m_1, m_2, \ldots, m_r \in \{s_1, s_2, \ldots, s_k\}$) and C.



Figure 9: The graph B with the vertex v included.

Apply Proposition 4.3 repeatedly to replace B by a branch B' with the same blocks such that the block structure remains a path and the block sizes increase as we move away from v. As $\hat{L}(B)^{-1} \leq \hat{L}(B')^{-1}$, by Lemma 3.1, the resulting graph H' satisfies $a(H') \leq a(H)$. It follows that B' is a Perron branch of H' at v. We again apply Proposition 4.3 repeatedly to replace C by a branch C' with the same blocks such that the block structure remains a path and the block sizes increase as we move away from v. As $\hat{L}(C)^{-1} \leq \hat{L}(C')^{-1}$, by Lemma 3.1, the graph H'' obtained by replacing C by C' in H' satisfies $a(H'') \leq a(H)$. This completes the proof for Case B.

The proof for Case A of Proposition 2.2 being similar is omitted.

5 Restricted graphs whose blocks are path bundles

Consider the graphs G_1 and G_2 in Figure 10 on page 12. Here all the circles are of even girth and points of articulation are diametrically opposite points. The central dots are not part of the graphs. Let us ask the following question: which of the two graphs in Figure 10 has a smaller connectivity?



Figure 10: G_1 : smaller cycles are closer to the central (characteristic) cycle. G_2 : larger cycles are closer to the central (characteristic) cycle.

Imagine rotating the graphs about their centers. We feel that the graph G_1 will break sooner than the graph G_2 . This is appropriately captured by their algebraic connectivities. In this section, we prove that $a(G_1) \leq a(G_2)$. Throughout this section, by $P_{l,k}$ we understand a graph made of k internally vertex disjoint paths of length l with the same end vertices. We keep the understanding that k = 1 if and only if l = 1. Thus $P_{3,3}$ is the graph in Figure 11.



Figure 11: $P_{3,3}$

Let $G = G_{l_1,k_1;l_2,k_2;\dots;l_s,k_s}$ denote the restricted graph with the properties a)-c) given below.

- a) The block structure of G is a path.
- b) Blocks of G are $B_i = P_{l_i,k_i}$, $i = 1, \ldots, s$.
- c) For $1 \le i \le s 1$, v_i 's are the points of articulation, where v_i is the endpoint common to B_i and B_{i+1} .

Note that the end vertex of B_1 (is always labeled with 0) and the end vertex of B_s are not points of articulation. A path between them is called a *top path* in G. Thus the graph $G = G_{3,2;1,1;3,3;1,1}$ in Figure 12 has P = [0, 1, 2, ..., 7, 8] as a top path. In the following, the labeling shown in Figure 12 will be used.



Figure 12: $G_{3,2;1,1;3,3;1,1}$ and a top path

Remark 5.1 (Analyzing Figure 10) Consider the graphs in Figure 10. Imagine that the smaller cycles have girth 4, the medium sized cycles have girth 6 and the large ones have girth 8. Then G_1 has 4 copies of $G_{2,2;3,2;4,2}$ attached to the central cycle at vertices, say v_1, v_2, v_3, v_4 . The graph G_2 is obtained from G_1 by replacing each copy of $G_{2,2;3,2;4,2}$ with $G_{4,2;3,2;2,2}$. The fact that the central cycle is the characteristic block follows easily by noticing that the only Perron component of G_1 (resp. G_2) at v_1 is the one which contains more copies of $G_{2,2;3,2;4,2}$ (resp. $G_{4,2;3,2;2,2}$). So the task of comparing the algebraic connectivities of G_1 and G_2 reduces to comparing the Perron values of the bottleneck matrices $\hat{L}(G_{2,2;3,2;4,2} - 0)^{-1}$ and $\hat{L}(G_{4,2;3,2;2,2} - 0)^{-1}$.

Proposition 5.2 Let $2 \leq l_1 < l_2$. Consider the matrices $A = \hat{L}(G_{l_1,2;l_2,2} - 0)^{-1}$ and $B = \hat{L}(G_{l_2,2;l_1,2} - 0)^{-1}$. Then there is no permutation matrix P such that one of matrices A and P^TBP entrywise dominates the other.

Proof. Using Proposition 3.4, $a_{11} = 1 - \frac{1}{2l_1} < b_{ii}$ for each *i*. So, the only possibility can be $A \ll P^T B P$ for some permutation matrix P.

To proceed further, let us say 'the vertex *i* of *G* dominates the vertex *j* of *H*' if $a_{ii} \ge b_{jj}$. Put $m = a_{l_1l_1}$ and let *k* be a vertex in *H* farthest from 0 such that $b_{kk} \le m$ (see Figure 13). By Propositions 3.3 and 3.4, $k \ge l_2$. That is, *k* is on the larger cycle of *H* and $k \ne l_2$. By symmetry there is another vertex k' in *H* farthest from 0 such that $b_{k'k'} \le m$.



Figure 13: The graphs $G_{l_1,2;l_2,2} - 0$ and $G_{l_2,2;l_1,2} - 0$

Put $S = \{i \in V(G) \mid a_{ii} \leq m\}$ and $T = \{i \in V(H) \mid b_{ii} \leq m\}$. Note that $|S| = 2l_1 - 1$ and |T| is even. By Proposition 3.3, each vertex in T is strictly dominated by every vertex in S^c (of G). Hence, the vertices in T can only dominate the vertices in S. Thus, $|T| \geq |S|$. But as |T| is even and |S| is odd, we see that $|T| \geq |S| + 1$. That is, if we consider the complements, then the number of vertices i in H with $b_{ii} > m$ is less than the number of vertices i in G with $a_{ii} > m$. Hence, A cannot be dominated by $P^T B P$ as $P^T B P$ corresponds to a renaming of the vertices of H.

Remark 5.3 Let us write \hat{L}_{12} and \hat{L}_{21} for $\hat{L}(G_{l_1,k_1;l_2,k_2;l_3,k_3;...;l_s,k_s}-0)$ and $\hat{L}(G_{l_2,k_2;l_1,k_1;l_3,k_3;...;l_s,k_s}-0)$, respectively. In general, one needs to compare $\rho(\hat{L}_{12}^{-1})$ and $\rho(\hat{L}_{21}^{-1})$. Though, there is no domination between \hat{L}_{12}^{-1} and \hat{L}_{21}^{-1} , we shall use the facts that they are positive and positive definite to compare $\tau_{12} := \tau(\hat{L}_{12})$ and $\tau_{21} := \tau(\hat{L}_{21})$. Let \mathbf{x} be a Perron vector of \hat{L}_{12}^{-1} . Then $\hat{L}_{12}\mathbf{x} = \tau_{12}\mathbf{x}$. As the Perron value is simple, the symmetry in the path bundles implies that τ_{12} is an eigenvalue of the compressed tridiagonal matrix A_{12} that appears on Page 15. Indeed, taking \mathbf{y} to be the subvector of \mathbf{x} corresponding to the entries $1, \ldots, \sum l_i$, we have $A_{12}\mathbf{y} = \tau_{12}\mathbf{y}$. Also, $[-\mathbf{x}^T \quad \mathbf{x}^T]^T$ is the Fiedler vector of the graph G obtained by merging two copies of $G_{l_1,k_1;\ldots,l_s,k_s}$ at the vertex 0. Hence by Lemma 2.3, $\mathbf{y}(1) < \mathbf{y}(2) < \cdots < \mathbf{y}(\sum l_i)$.



Note that if $l_1 = 1$, then A_{12} is the matrix shown in the dotted box. Put $E_p := \mathbf{e}_p + \mathbf{e}_{p+1} + \dots + \mathbf{e}_n$, where \mathbf{e}_i is the vector with *i*th entry 1 and 0, otherwise. Thus $E_1 = \mathbb{1}$.

Proposition 5.4 Put $n = \sum l_i$. Then $B = A_{12}^{-1}$, where $B(:, 1) = E_1$ and for p > 1

$$B(:,p) = \begin{cases} \frac{B(:,p-1) + E_p}{k_i}, & \text{if } p = l_1 + \dots + l_i \text{ for some } i, \\ k_{i+1}B(:,p-1) + E_p, & \text{if } p = l_1 + \dots + l_i + 1 \text{ for some } i, \ l_{i+1} > 1, \\ B(:,p-1) + E_p, & \text{otherwise.} \end{cases}$$

Proof. It is clear that $[A_{12}B]_{11} = 1$. The fact that $[A_{12}B]_{12} = 0$ can be seen by considering the four cases a) $l_1 = 1, l_2 = 1$, b) $l_1 = 1, l_2 > 1$, c) $l_1 = 2$ and d) $l_1 > 2$. For j > 2, the first two entries of B(:, j) are scalar multiples of those of B(:, 2) and therefore $[A_{12}B]_{1j} = 0$.

Now let p > 1. Observe that B(:, p) is constant from the *p*th coordinate onwards and the sum of the entries in the *p*th row, $A_{12}(p,:)$, of A_{12} equals 0. Hence, for all j < p, A(p,:)B(:, j) = 0. We now use four cases to show that A(p,:)B(:, j) = 0 for all j > p and A(p,:)B(:, p) = 1.

CASE I: Let $p = l_1 + \cdots + l_i$ for some i < s. Then

$$A_{12}(p,:) = [0, \cdots, -k_i, k_i + k_{i+1}, -k_{i+1}, \cdots, 0].$$

So, $[A_{12}B]_{p,p-1} = 0$, $[A_{12}B]_{p,p} = A_{12}(p,:) \left[\frac{B(:,p-1)+E_p}{k_i} \right] = A_{12}(p,:) \frac{E_p}{k_i} = 1$ and $[A_{12}B]_{p,p+1} = A_{12}(p,:)[k_{i+1}B(:,p) + E_{p+1}] = k_{i+1} + A_{12}(p,:)E_{p+1} = 0$. Also, for j > p+1, the first p+1

entries of B(:, j) are scalar multiples of those of B(:, p+1). Hence $[A_{12}B]_{p,j} = 0$ for j > p+1.

CASE II: $p = l_1 + \cdots + l_s$. Argument is similar to CASE I.

CASE III: $p = l_1 + \cdots + l_i + 1$ for some i < s. In this case,

$$A_{12}(p,:) = [0, \cdots, -1, 2, -1, \cdots, 0].$$

So, $[A_{12}B]_{p,p-1} = 0$, $[A_{12}B]_{p,p} = A_{12}(p,:)[k_{i+1}B(:,p-1) + E_p] = 1$ and $[A_{12}B]_{p,p+1} = A_{12}(p,:)[B(:,p) + E_{p+1}] = 1 + A_{12}(p,:)E_{p+1} = 0$. Also, $[A_{12}B]_{p,j} = 0$ for j > p + 1.

CASE IV: p is not as in the previous three cases. Here

$$A_{12}(p,:) = [0, \cdots, -1, 2, -1, \cdots, 0].$$

So, $[A_{12}B]_{p,p-1} = 0$, $[A_{12}B]_{p,p} = A_{12}(p,:)[B(:,p-1) + E_p] = 1$ and $[A_{12}B]_{p,p+1} = A_{12}(p,:)[B(:,p) + E_{p+1}](\text{or}A_{12}(p,:)\frac{B(:,p) + E_{p+1}}{k_i}) = 1 + A_{12}(p,:)E_{p+1} = 0$. Also, $[A_{12}B]_{p,j} = 0$ for j > p + 1.

Let $A_{l_1,k_1;l_2,k_2;...;l_s,k_s}$ denote the compressed tridiagonal matrix for $\hat{L}(G_{l_1,k_1;l_2,k_2;...;l_s,k_s} - 0)$, $\rho_{l_1,k_1;...;l_s,k_s} := \rho(\hat{L}(G_{l_1,k_1;l_2,k_2;...;l_s,k_s} - 0)^{-1})$ and $\tau_{l_1,k_1;...;l_s,k_s} := \tau(\hat{L}(G_{l_1,k_1;l_2,k_2;...;l_s,k_s} - 0))$. Then, in view of Proposition 5.4 and Remark 5.3 on page 14, $A_{l_1,k_1;...;l_s,k_s}^{-1} \mathbf{y} = \rho_{l_1,k_1;...;l_s,k_s} \mathbf{y}$.

Theorem 5.5 Let $2 \leq l_i, k_i$. Then

- a) $\rho_{l_1,k_1;l_2,k_2;l_3,k_3;\ldots;l_s,k_s} \ge \rho_{l_1+1,k_1;l_2-1,k_2;l_3,k_3;\ldots;l_s,k_s}$ if $l_1 < l_2$ and $k_1 = k_2$.
- b) $\rho_{l_1,k_1;l_2,k_2;l_3,k_3;\ldots;l_s,k_s} \ge \rho_{l_1,k_2;l_2,k_1;l_3,k_3;\ldots;l_s,k_s}$ if $l_1 = l_2$ and $k_1 < k_2$.

Proof. Part a) Put $C = A_{l_1,k_1;l_2,k_2;l_3,k_3;...;l_s,k_s}^{-1} - A_{l_1+1,k_1;l_2-1,k_2;l_3,k_3;...;l_s,k_s}^{-1}$. Note that for $i < l_1$, C(:,i) = 0. Put $F_k := E_1 + \cdots + E_k$. Then

1.
$$C(:, l_1) = \frac{F_{l_1}}{k_1} - F_{l_1} \le \frac{k_2 F_{l_1}}{k_1} + E_{l_1+1} - \frac{F_{l_1+1}}{k_1} = C(:, l_1+1);$$

2. $C(:, l_1+2) = \frac{k_2 F_{l_1}}{k_1} + E_{l_1+1} + E_{l_1+2} - \frac{k_2 F_{l_1+1}}{k_1} - E_{l_1+2} = 0$ and

hence, $C(:, l_1 + i) = 0$, for all i.

Let \mathbf{y} be the Perron vector of $A_{l_1+1,k_1;l_2-1,k_2;l_3,k_3;...;l_s,k_s}^{-1}$. From Remark 5.3 on page 14, $\mathbf{y}(l_1) < \mathbf{y}(l_1+1)$. Hence $C\mathbf{y} \gg 0$. Taking \mathbf{z}^T to be the left Perron vector of $A_{l_1,k_1;l_2,k_1;l_3,k_3;...;l_s,k_s}^{-1}$, we see that $\mathbf{z}^T C\mathbf{y} > 0$. But then

$$\mathbf{z}^{T}C\mathbf{y} = [\rho_{l_{1},k_{1};l_{2},k_{1};l_{3},k_{3};...;l_{s},k_{s}} - \rho_{l_{1}+1,k_{1};l_{2}-1,k_{2};l_{3},k_{3};...;l_{s},k_{s}}]\mathbf{z}^{T}\mathbf{y}.$$

Thus, the proof of the first part is completed using the fact that $\mathbf{z}^T \mathbf{y} > 0$.

Part b) Put $C = A_{l_1,k_1;l_2,k_2;l_3,k_3;\ldots;l_s,k_s}^{-1} - A_{l_1,k_2;l_2,k_1;l_3,k_3;\ldots;l_s,k_s}^{-1}$. Note that for $i < l_1$, C(:,i) = 0. Also

1.
$$C(:, l_1) = \left[\frac{1}{k_1} - \frac{1}{k_2}\right] F_{l_1},$$

2. $C(:, l_1 + 1) = \left[\frac{k_2}{k_1} - \frac{k_1}{k_2}\right] F_{l_1} = C(:, l_1 + 2) = \dots = C(:, l_1 + l_2 - 1) \text{ and}$
3. $C(:, l_1 + l_2) = \left[\frac{1}{k_1} - \frac{1}{k_2}\right] (F_{l_1} - F_{l_1 + l_2} + F_{l_1}) = \left[\frac{1}{k_1} - \frac{1}{k_2}\right] (2F_{l_1} - F_{l_1 + l_2}) = \left[\frac{1}{k_1} - \frac{1}{k_2}\right] \left(\sum_{i=1}^{l_1} i\mathbf{e}_i + \sum_{j=1}^{l_2} [l_1 - j]\mathbf{e}_{l_1 + j}\right) \ge 0.$

Hence, $C(:, l_1 + l_2 + i) \ge 0$, for all *i*. Thus, by Perron-Frobenius theory, we get the required result.

Example 5.6 This is an application of Theorem 5.5 (a). Consider the graphs G and H in Figure 14. By Theorem 5.5 (a), $\rho(\hat{L}(G-0)) \leq \rho(\hat{L}(H-0))$. Thus G^* has larger algebraic connectivity than H^* .



Example 5.7 As an application of Theorem 5.5 (b), we see that the graph G' in Figure 15 has larger algebraic connectivity than H'.



Figure 15: $a(G') \ge a(H')$

6 The sunflowers

In this section, we answer the question asked at the beginning of Section 5. Fix a cycle C and vertices v_1, \ldots, v_i on C, $i \geq 2$. To each v_l attach $n_l \geq 1$ copies of $G = G_{l_1,2;\ldots;l_s,2}$ by identifying vertex 0 of each copy with v_l . Let us call the resulting graph a sunflower $S[C; v_1, n_1; \ldots; v_i, n_i; G]$. We allow an exception when instead of a cycle C we take a single vertex u and attach $k \geq 2$ copies of G to it. We also call it a sunflower S[u; k; G].

Example 6.1 Two sunflowers.



Figure 16: The sunflowers S[u; 2; G] and $S[C_{10}; 1, 1; 3, 1; ...; 10, 1; G]$, where $G = G_{3,3;2,3}$.

Kirkland and Neumann [10, Corollary 1] did some investigation on the location of the characteristic set. A more general investigation is stated below.

Proposition 6.2 Let G and H be connected graphs on vertices g_1, g_2, \ldots, g_n and h_1, h_2, \ldots, h_m , respectively. Form a graph G^* by attaching copies of H at $g_{i_1}, g_{i_2}, \ldots, g_{i_k}, k > 1$, by identifying them with h_1 . Then the characteristic set of G^* (with respect to any Fiedler vector) is always located in G.

Proof. If G has only one vertex then G^* has a characteristic vertex, namely g_1 . So assume that G has at least two vertices. Let G_1 be a branch of G^* at g_1 isomorphic to $H - h_1$ and G_2 be another branch at g_1 which contains at least one vertex of G and the other copies of H. Then $\hat{L}(G_1)^{-1}$ is dominated by a principal submatrix of $\hat{L}(G_2)^{-1}$. Hence $\rho(\hat{L}(G_1)^{-1}) \leq \rho(\hat{L}(G_2)^{-1})$. Using Corollary 15 of [1], G_1 neither contains a characteristic vertex nor an end vertex of a characteristic edge.

The following is an immediate consequence.

Corollary 6.3 The sunflower $S[C; v_1, n_1; ...; v_s, n_s; G]$ has the characteristic block C. The sunflower S[u; k; G] has the characteristic set $\{u\}$.

Note that both the graphs shown in Example 6.1 are sunflowers. Assume that we are not to change the block sizes of G. We can still generate many sunflowers by choosing different arrangements of l_1, \ldots, l_s . By Theorem 5.5 the sunflower for which $l_1 \leq l_2 \leq \cdots \leq l_s$ has the smallest algebraic connectivity and the sunflower for which $l_1 \geq l_2 \geq \cdots \geq l_s$ has the largest algebraic connectivity among all these sunflowers. In particular, in Figure 10, the graph G_1 has smaller algebraic connectivity than that of G_2 .

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