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# Multiplicity of Summands in the Random Partitions of an Integer

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# Multiplicity of Summands in the Random Partitions of an Integer

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## **Abstract**

In this paper, we prove a conjecture of Yakubovich regarding limit shapes of “slices” of two-dimensional (2D) integer partitions and compositions of  $n$  when the number of summands  $m \sim An^\alpha$  for some  $A > 0$  and  $\alpha < \frac{1}{2}$ . We prove that the probability that there is a summand of multiplicity  $j$  in any randomly chosen partition or composition of an integer  $n$  goes to zero asymptotically with  $n$  provided  $j$  is larger than a critical value. As a corollary, we strengthen a result due to Erdős and Lehner [4] that concerns the relation between the number of integer partitions and compositions when  $\alpha = \frac{1}{3}$ .

**Key words:** Yakubovich conjecture, repeated summands, slices of Young diagrams.

**Subj-class:** GM, PR, CO.

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# 1 Introduction

## 1.1 Integer Partitions

Let  $n \geq 1$  be any integer and let  $n = a_1 + a_2 + \dots + a_m$  for some  $m \geq 1$  and some positive integers  $\{a_i\}_{i=1}^m$ . We define the set  $\{a_1, \dots, a_m\}$  to be a *partition* of  $n$  into  $m$  summands. Let  $p(n)$  denote the total number of partitions of  $n$  without any restriction on the number of summands. By the Hardy-Ramanujan asymptotic formula [1] for  $p(n)$ , we have that

$$p(n) \sim (4n\sqrt{3})^{-1} e^{\frac{2\pi}{\sqrt{3}}\sqrt{n}}. \quad (1.1)$$

Throughout the paper, we write  $a_n \sim b_n$  for two sequences  $a_n$  and  $b_n$  if  $\lim_{n \rightarrow \infty} \frac{a_n}{b_n} = 1$ . Analogous formulas have been derived in [5] for the number of partitions  $p_m(n)$  of an integer  $n$  into  $m$  summands where  $m = m_{A,\alpha}$  is related to  $n$  as

$$m \sim An^\alpha \quad (1.2)$$

for some positive constant  $A$  and  $0 < \alpha < \frac{1}{2}$ . Henceforth, unless otherwise mentioned, the integer  $m$  will always be related to  $n$  as in (1.2). The notion of randomness of an integer partition was first introduced in [4] to study of the multiplicity of summands of a given partition. Suppose we define the probability space  $(\Omega, \mathcal{F}, \mathbb{P}_{n,m})$  where  $\Omega$  denotes the set of all partitions of  $n$  into  $m$  summands,  $\mathcal{F}$  is the collection of all subsets of  $\Omega$  and for  $\omega \in \Omega$ , we let  $\mathbb{P}_{n,m}(\omega) = \frac{1}{p_m(n)}$ . If  $B(n, m)$  denotes the event that there is a repeated summand in any such randomly chosen partition, then the main result in [4] states that that  $\mathbb{P}_{n,m}(B(n, m)) \rightarrow 0$  as  $n \rightarrow \infty$  for  $\alpha = \frac{1}{3}$ . In other words, the probability that there is a summand of multiplicity two or larger in any randomly chosen partition of  $n$  into  $m$  summands is very small if  $m \sim An^{\frac{1}{3}}$ .

In [6] the above result has been generalized by considering limit shapes of slices of integer partitions. More precisely, let  $q_k = q_{k,m,n}$  denote the number of summands of value  $k$  in any integer partition of  $n$  into  $m$  summands. For a positive integer  $j$  and  $t \geq 0$ , we define

$$\phi_j(t) = \sum_{k>t} \mathbf{1}(q_k = j) \quad (1.3)$$

where  $\mathbf{1}(E)$  denotes the indicator function of the event  $E$ . Thus  $\phi_j(t)$  denotes the number of summands larger than  $t$  that have multiplicity  $j$ . Our definition of  $\phi_j(\cdot)$  differs from [6] by a factor of  $j$ . In (1.2), we let  $\alpha \geq \frac{1}{3}$  be such that

$$j_\alpha = \frac{1 - \alpha}{1 - 2\alpha} \quad (1.4)$$

is an integer. We have the following result which is the second part of Theorem 2 of [6].

**Theorem.** [6] Let  $\epsilon > 0$  be fixed. For  $1 \leq j < j_\alpha$ , we have

$$\mathbb{P}_{n,m} \left( \left| \frac{n^{j-1}}{m^{2j-1}} \phi_j \left( \frac{nt}{m} \right) - \frac{e^{-jt}}{j} \right| > \epsilon \right) \rightarrow 0$$

as  $n \rightarrow \infty$ . For  $j > \frac{2-\alpha}{1-2\alpha}$  we have that

$$\mathbb{P}_{n,m} (\phi_j(t) > \epsilon) \rightarrow 0$$

as  $n \rightarrow \infty$ .

For the range  $j_\alpha \leq j \leq \frac{2-\alpha}{1-2\alpha}$ , the limiting behaviour is stated as a conjecture which we prove as the following theorem.

**Theorem 1.** Let  $j \geq 1$  and  $l \geq 0$  be fixed integers.

(a) If  $j = j_\alpha$  and  $s = \frac{A^{2j-1}e^{-jt}}{j}$ , then

$$\mathbb{P}_{n,m} \left\{ \phi_j \left( \frac{nt}{m} \right) = l \right\} \rightarrow \frac{s^l}{l!} e^{-s}$$

as  $n \rightarrow \infty$ .

(b) If  $j \geq j_\alpha + 1$ , then for  $\epsilon > 0$ , we have

$$\mathbb{P}_{n,m} (\phi_j(t) > \epsilon) \rightarrow 0$$

as  $n \rightarrow \infty$ .

## 1.2 Integer Compositions

Let  $n \geq 1$  be any integer and let  $n = a_1 + a_2 + \dots + a_m$  for some  $m \geq 1$  and some positive integers  $\{a_i\}_{i=1}^m$ . We define the  $m$ -tuple  $(a_1, \dots, a_m)$  to be a *composition* of  $n$  into  $m$  summands. Thus  $(1, 1, 3)$  and  $(3, 1, 1)$  are distinct compositions of the integer 5 into 3 summands. We define random compositions on the probability space  $(\tilde{\Omega}, \tilde{\mathcal{F}}, \tilde{\mathbb{P}}_{n,m})$  where  $\tilde{\Omega}$  denotes the set of all compositions of  $n$  into  $m$  summands,  $\tilde{\mathcal{F}}$  is the collection of all subsets of  $\tilde{\Omega}$  and  $\tilde{\mathbb{P}}_{n,m}(A)$  denotes the probability of occurrence of event  $A$  in the set of all compositions of  $n$  into  $m$  summands assuming each composition is equally likely. Analogous to  $\phi_j(t)$  in (1.3), we define

$$\tilde{\phi}_j(t) = \sum_{k>t} \mathbf{1}(\tilde{q}_k = j)$$

with  $\tilde{q}_k$  denoting the number of summands of value  $k$  in any composition of  $n$  into  $m$  summands. Letting  $j_\alpha$  be as defined in (1.4), we have the following result which is Theorem 3 of [6].

**Theorem.** [6] Let  $\epsilon > 0$  be fixed. For  $1 \leq j < j_\alpha$ , we have

$$\tilde{\mathbb{P}}_{n,m} \left( \left| \frac{n^{j-1}}{m^{2j-1}} \tilde{\phi}_j \left( \frac{nt}{m} \right) - \frac{e^{-jt}}{j!j} \right| > \epsilon \right) \longrightarrow 0$$

as  $n \rightarrow \infty$ . For  $j > \frac{2-\alpha}{1-2\alpha}$  we have that

$$\tilde{\mathbb{P}}_{n,m} \left( \tilde{\phi}_j(t) > \epsilon \right) \longrightarrow 0$$

as  $n \rightarrow \infty$ .

For the range  $j_\alpha \leq j \leq \frac{2-\alpha}{1-2\alpha}$ , the limiting behaviour is stated as a conjecture which we prove as the following theorem.

**Theorem 2.** Let  $j \geq 1$  and  $l \geq 0$  be fixed integers.

(a) If  $j = j_\alpha$  and  $\tilde{s} = \frac{A^{2j-1}e^{-jt}}{j!j}$ , then

$$\tilde{\mathbb{P}}_{n,m} \left\{ \tilde{\phi}_j \left( \frac{nt}{m} \right) = l \right\} \longrightarrow e^{-\tilde{s}} \frac{\tilde{s}^l}{l!}$$

as  $n \rightarrow \infty$ .

(b) If  $j \geq j_\alpha + 1$

$$\tilde{\mathbb{P}}_{n,m} \left( \tilde{\phi}_j(t) > \epsilon \right) \longrightarrow 0$$

as  $n \rightarrow \infty$  for every  $\epsilon > 0$ .

The paper is organized as follows: In Section 2 we prove Theorem 1 and in Section 3 we prove Theorem 2. Finally, in Section 4, we present our conclusion.

## 2 Proof of Theorem 1

In what follows,  $\mathbb{Z}$  denotes the set of integers. For positive integers  $r$  and  $j$ , define  $C_{r,j}$  to be the event that the number  $r$  occurs exactly  $j$  times in the partition of  $n$  into  $m$  summands. For any fixed integer  $k \geq 1$  and a real number  $t \geq 0$  we define  $t_n = \frac{nt}{m}$ ,

$$\mathcal{A}(q) = \mathcal{A}_{n,k}(q) = \{(r_1, r_2, \dots, r_k) \in \mathbb{Z}^k : t_n < r_1 < r_2 < \dots < r_k \leq q\},$$

and

$$\tilde{\mathcal{A}}(q) = \tilde{\mathcal{A}}_{n,k}(q) = \{(r_1, r_2, \dots, r_k) \in \mathbb{Z}^k : t_n < r_1, r_2, \dots, r_k \leq q\}.$$

Let

$$S_{k,j} = S_{k,j}(t; n) = \sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l, j}). \quad (2.1)$$

To prove Theorem 1, it suffices to prove the following Proposition.

**Proposition 1.** *For  $j \geq j_\alpha + 1$ , we have that*

$$S_{1,j}(0; n) \longrightarrow 0 \quad (2.2)$$

as  $n \rightarrow \infty$ . For  $j = j_\alpha$  and for any fixed integer  $k \geq 1$ , we have that

$$S_{k,j_\alpha}(t; n) \longrightarrow \frac{s^k}{k!} \quad (2.3)$$

as  $n \rightarrow \infty$ , where  $s$  is as in Theorem 1.

Before we prove Theorem 1, we need the following result. The proof is analogous to the proof of Corollary 3 (pp. 34) of [3].

Let  $A_1, \dots, A_n$  be any sequence of events. For a fixed  $k \geq 1$ , let

$$T_k = \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \Pr(A_{i_1} A_{i_2} \dots A_{i_k}).$$

For any fixed integers  $l, l' \geq 1$ , we have that

$$\begin{aligned} \sum_{i=l}^{2l'+l-1} (-1)^{i-l} \binom{i}{l} T_i &\leq \Pr(\text{exactly } l \text{ of } A_1, \dots, A_n \text{ occur}) \\ &\leq \sum_{i=l}^{2l'+l} (-1)^{i-l} \binom{i}{l} T_i. \end{aligned} \quad (2.4)$$

*Proof of Theorem 1* (assuming Proposition 1): (b) Let  $j \geq j_\alpha + 1$  be fixed. From (1.3) we get that

$$\mathbb{P}_{n,m}(\phi_j(0) > 0) = \mathbb{P}_{n,m}(\cup_{r=1}^n C_{r,j}) \leq \sum_{r=1}^n \mathbb{P}_{n,m}(C_{r,j}) = S_{1,j}(0; n) \longrightarrow 0$$

as  $n \rightarrow \infty$ . In other words, the probability that a summand of multiplicity larger than  $j_\alpha$  occurs in a partition of  $n$  into  $m$  summands converges to zero as  $n \rightarrow \infty$ .

(a) Fix two integers  $l, l' \geq 1$  and let  $j = j_\alpha$ . From (1.3) we have that  $\phi_j(t_n) = l$  if and only if exactly  $l$  of  $C_{[t_n]+1, j}, \dots, C_{n, j}$  occur. We use (2.4) to obtain that for any  $n$ ,

$$\sum_{i=l}^{2l'+l-1} (-1)^{i-l} \binom{i}{l} S_{i,j}(t; n) \leq \mathbb{P}_{n,m}(\phi_j(t_n) = l) \leq \sum_{i=l}^{2l'+l} (-1)^{i-l} \binom{i}{l} S_{i,j}(t; n),$$

where  $S_{i,j}(\cdot; \cdot)$  is as defined in (2.1). Allowing  $n \rightarrow \infty$ , we use Proposition 1 to obtain that

$$\begin{aligned} \sum_{i=l}^{2l'+l-1} (-1)^{i-l} \binom{i}{l} \frac{s^i}{i!} &\leq \liminf_n \mathbb{P}_{n,m}(\phi_j(t_n) = l) \\ &\leq \limsup_n \mathbb{P}_{n,m}(\phi_j(t_n) = l) \leq \sum_{i=l}^{2l'+l} (-1)^{i-l} \binom{i}{l} \frac{s^i}{i!}. \end{aligned}$$

Allowing  $l' \rightarrow \infty$ , we get that

$$e^{-s} \frac{s^l}{l!} \leq \liminf_n \mathbb{P}_{n,m}(\phi_j(t_n) = l) \leq \limsup_n \mathbb{P}_{n,m}(\phi_j(t_n) = l) \leq e^{-s} \frac{s^l}{l!}.$$

This proves (a) of Theorem 1. ■

The rest of the section is devoted to the proof of Proposition 1. In what follows, we let  $B_{r,j} = B_{r,j}(m, n)$  to be the event that the number  $r$  occurs at least  $j$  times in a partition of  $n$  into  $m$  summands. Let  $\frac{1}{3} \leq \alpha < \frac{1}{2}$  be as in (1.2) and fix any  $\beta \in (0, 1)$  such that

$$\max\left(3\alpha - 1, \frac{\alpha}{2}\right) < \beta < \alpha. \quad (2.5)$$

and let  $v_n = n^{1-\beta}$ ,

$$\beta_1 = \beta + 1 - 3\alpha, \beta_2 = \alpha - \beta, \beta_3 = 2\beta - \alpha \text{ and } \beta_0 = \min\left(\beta_1, \beta_2, \beta_3, \frac{1}{12}\right). \quad (2.6)$$

Finally, choose  $\theta < \frac{1-2\alpha}{\alpha}$  so that

$$\frac{m^{2+\theta}}{n} \rightarrow 0 \quad (2.7)$$

as  $n \rightarrow \infty$ .

We use the following facts repeatedly in the proofs below. The positive integers  $d, \{j_l\}_{l=1}^d$  and the positive numbers  $\{\alpha_i\}_{i=1}^d$  are fixed. For all sufficiently large  $n$ , the following relations hold. The proofs are in the Appendix.



$$(A1) \prod_{i=1}^d \left(1 + O\left(\frac{1}{n^{\alpha_i}}\right)\right)^{j_i} = 1 + O\left(\frac{1}{n^{\alpha_0}}\right) \text{ where } \alpha_0 = \min(\alpha_1, \alpha_2, \dots, \alpha_d).$$

$$(A2) \frac{1}{n^\beta} = O\left(\frac{1}{n^{\beta_0}}\right).$$

$$(A3) \frac{1}{(n-j_1 r)^\gamma} = \frac{1}{n^\gamma} \left(1 + O\left(\frac{1}{n^\beta}\right)\right) = \frac{1}{n^\gamma} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \text{ for any fixed } \gamma > 0 \text{ and for all } r \leq j_2 v_n.$$

$$(A4) \frac{m}{n} = O\left(\frac{1}{m}\right) = O\left(\frac{m^2}{n}\right) = O\left(\frac{1}{n^{1-2\alpha}}\right) = O\left(\frac{1}{n^{\beta_0}}\right).$$

$$(A5) \frac{m^{2j_\alpha-1}}{n^{j_\alpha-1}} = A^{2j_\alpha-1}(1 + o(1)) \leq 2A^{2j_\alpha-1}.$$

The proof of Proposition 1 follows from the following three lemmas.

**Lemma 1.** *Let  $j \geq 1$  and  $k \geq 1$  be any two fixed integers. We have that*

$$0 \leq \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) - \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l,j}) = O\left(\frac{m^2}{n}\right)^{k(j-j_\alpha)+1}.$$

**Lemma 2.** *Let  $j \geq 1$  and  $k \geq 1$  be any two fixed integers. We have that*

$$\sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) = \frac{1}{k!} \left(\frac{e^{-jt}}{j}\right)^k \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right).$$

**Lemma 3.** *Let  $j \geq 1$  and  $k \geq 1$  be any two fixed integers. We have that*

$$0 \leq \sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) - \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) \leq e^{-\frac{A}{8}n^{\beta_2}}.$$

*Proof of Proposition 1* (assuming Lemmas 1-3): To prove (2.2), we let  $k = 1$  and  $t = 0$ . Thus  $t_n = \frac{nt}{m} = 0$  and  $\mathcal{A}(q) = \tilde{\mathcal{A}}(q) = \{r : 1 \leq r \leq q\}$  where  $\mathcal{A}(\cdot)$  and  $\tilde{\mathcal{A}}(\cdot)$  are as defined in the equation preceding (2.1). Since  $C_{r,j} \subseteq B_{r,j}$ , we have that

$$\sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(C_{r,j}) = \sum_{1 \leq r \leq n} \mathbb{P}_{n,m}(C_{r,j}) \leq \sum_{1 \leq r \leq n} \mathbb{P}_{n,m}(B_{r,j}) = I_1 + I_2$$

where  $I_1 = \sum_{1 \leq r \leq v_n} \mathbb{P}_{n,m}(B_{r,j}) = \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(B_{r,j})$  and  $I_2 = \sum_{v_n < r \leq n} \mathbb{P}_{n,m}(B_{r,j}) = \sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(B_{r,j}) - \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(B_{r,j})$ . From Lemma 2, we have that for sufficiently large  $n$ ,

$$\begin{aligned} I_1 &= \frac{e^{-tj}}{j} \left(\frac{m^{2j-1}}{n^{j-1}}\right) \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &\leq 2 \frac{e^{-tj}}{j} \left(\frac{m^{2j-1}}{n^{j-1}}\right) = 2 \frac{e^{-tj}}{j} \left(\frac{m^{2j_\alpha-1}}{n^{j_\alpha-1}}\right) \left(\frac{m^2}{n}\right)^{j-j_\alpha} \\ &\leq 4 \frac{e^{-tj}}{j} A^{2j_\alpha-1} \left(\frac{m^2}{n}\right)^{j-j_\alpha}. \end{aligned} \tag{2.8}$$

In the last inequality above, we have used (A5). Also,  $\left(\frac{m^2}{n}\right)^{j-j_\alpha} = O\left(\frac{m^2}{n}\right)$  since  $j \geq j_\alpha + 1$ . We therefore have that

$$I_1 = O\left(\frac{m^2}{n}\right) \rightarrow 0$$

as  $n \rightarrow \infty$ . From Lemma 3, we have that

$$I_2 \leq e^{-\frac{An^{\beta_2}}{8}}.$$

From (2.1), we therefore have that

$$S_{1,j}(0; n) = \sum_{1 \leq r \leq n} \mathbb{P}_{n,m}(C_{r,j}) \leq I_1 + I_2 \rightarrow 0$$

as  $n \rightarrow \infty$ . This proves (2.2).

To prove (2.3), we write  $S_{k,j_\alpha} = \sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l, j_\alpha}) = S_1 - S_2 + S_3$  where  $S_1 = \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j_\alpha})$ ,

$$S_2 = \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j_\alpha}) - \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l, j_\alpha})$$

and

$$S_3 = \sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l, j_\alpha}) - \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l, j_\alpha}).$$

From Lemma 2 and (A5) we have that

$$\begin{aligned} S_1 &= \frac{1}{k!} \left(\frac{e^{-j_\alpha t}}{j_\alpha}\right)^k \left(\frac{m^{2j_\alpha-1}}{n^{j_\alpha-1}}\right)^k \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &= \frac{1}{k!} \left(\frac{e^{-j_\alpha t}}{j_\alpha}\right)^k (A^{2j_\alpha-1}(1+o(1)))^k \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &= \frac{s^k}{k!} (1+o(1)) \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \rightarrow \frac{s^k}{k!} \end{aligned}$$

as  $n \rightarrow \infty$  where  $s$  is as defined in Theorem 1.

It suffices to show that  $S_2 \rightarrow 0$  and  $S_3 \rightarrow 0$  as  $n \rightarrow \infty$ . To estimate  $S_3$  we use the fact that  $C_{r,j} \subseteq B_{r,j}$  and have that

$$\begin{aligned} S_3 &= \sum_{\mathcal{A}(n) \setminus \mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l, j}) \leq \sum_{\mathcal{A}(n) \setminus \mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j}) \\ &= \sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j}) - \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j}). \end{aligned} \tag{2.9}$$

From Lemma 3, we therefore have that  $S_3 \leq e^{-\frac{An^{\beta_2}}{8}} \rightarrow 0$  as  $n \rightarrow \infty$ . Finally, letting  $j = j_\alpha$  in Lemma 1, we have that  $S_2 = O\left(\frac{m^2}{n}\right) \rightarrow 0$  as  $n \rightarrow \infty$ . ■

We prove Lemmas 1, 2 and 3 in that order.

## Proof of Lemma 1

Let  $k \geq 1$  and  $y \geq 1$  be two integers and define  $P_k(y)$  to be the number of partitions of  $y$  into less or equal to  $k$  parts. We need the following result which is a Theorem in pp. 2 of [2].

**Theorem.** [2] *Let  $\epsilon > 0$  be given. We have that*

$$P_k(y) = \frac{1}{2\pi y} \exp\left(y^{\frac{1}{2}}g(u) + a(u) + O\left(y^{-\frac{1}{6}+\epsilon} + \frac{1}{k}\right)\right) \quad (2.10)$$

where  $u = \frac{k}{\sqrt{y}}$ ,

$$g(u) = \frac{2v}{u} - u \log(1 - e^{-v}),$$

$$a(u) = \log\left(\frac{v}{u\sqrt{2}}(1 - e^{-v} - \frac{1}{2}u^2e^{-v})^{-1/2}\right)$$

and  $v = v(u)$  is determined by

$$u^2 = v^2 \left(\int_0^v \frac{t}{e^t - 1} dt\right)^{-1}.$$

The proof of Lemma 1 is now obtained in three steps.

Step 1: We obtain a power series expansion for  $g(\cdot)$  for small  $u$  and derive uniform estimates for the remainder  $O(\cdot)$  term for various ranges of  $y$  (see (2.13) below).

Step 2: We define a function  $F(\cdot, \cdot)$  that is related to probability of the event  $B_{r,j}$  and obtain an asymptotic expression for  $F(r, j)$  and  $\sum_r F(r, j)$  as  $r$  varies over a certain range.

Step 3: We convert sums involving the probabilities of the events  $B_{r,j}$  into sums involving the function  $F(\cdot, \cdot)$  to complete the proof of Lemma 1.

Step 1: By Comment 7 of [2], we know that there exists an  $\eta > 0$  such that the function

$v(u)$  is represented by a convergent power series in the interval  $(0, \eta)$ . By definition, we know that  $v(\cdot)$  is an even function of  $u$ . Choosing  $\eta$  sufficiently small, we then have that

$$v(u) = \sum_{k=1}^J a_k u^{2k} + O(u^{2J+2})$$

for all  $0 < u < \eta$  and for some real constants  $a_k$  and any arbitrary integer  $J \geq 1$ . Also, by Comment 7 pp. 10 of [2], we have that  $a_1 = 1$  and  $a_2 = -\frac{1}{4}$ . Thus

$$\frac{2v}{u} = 2u - \frac{u^3}{2} + \sum_{k=3}^J 2a_k u^{2k-1} + O(u^{2J+1}) \quad (2.11)$$

and

$$\begin{aligned} e^{-v} &= \sum_{i=0}^J (-1)^i \frac{v^i}{i!} + O(v^{J+1}) \\ &= 1 - u^2 + \frac{3u^4}{4} + \sum_{k=3}^J b_k u^{2k} + O(u^{2J+2}) \end{aligned}$$

for all  $0 < u < \eta$  and some real constants  $b_k$ . Using the expansion  $\log(1-t) = -\sum_{i=1}^{2J} \frac{t^i}{i} + O(t^{2J+1}(1+|\log(1-t)|))$  for  $0 < t < 1$ , we then get

$$\begin{aligned} \log(1 - e^{-v}) &= \log\left(u^2 - \frac{3u^4}{4} - \sum_{k=3}^J b_k u^{2k} + O(u^{2J+2})\right) \\ &= 2\log u + \log\left(1 - \frac{3u^2}{4} - \sum_{k=3}^J b_k u^{2k-2} + O(u^{2J})\right) \\ &= 2\log u - \frac{3u^2}{4} + \sum_{k=3}^J c_k u^{2k-2} + O(u^{2J}) \end{aligned}$$

for some real constants  $c_k$  and for all  $0 < u < \eta$ . Substituting (2.11) and the above equation into the exact expression for  $g(\cdot)$  given in (2.10), we get that

$$g(u) = 2u \log\left(\frac{e}{u}\right) + \frac{u^3}{4} + \sum_{k=3}^J d_k u^{2k-1} + O(u^{2J+1}) \quad (2.12)$$

for some real constants  $d_k$  and for all  $0 < u < \eta$ . By Comment 7 of [2] we also have that  $a(u) = O(u^4)$  for all  $0 < u < \eta$  (Our definition of  $a(u)$  differs from that of [2] by an additional term of  $\log 2\pi$ ).

To complete Step 1, we have the following result for  $P_k(y)$  for  $k$  very close to  $m$  and as  $y$  varies in distinct ranges.

Let  $j \geq 1$ ,  $l \geq 0$  and  $J \geq j_\alpha$  be fixed integers and for  $\theta$  as in (2.7), let  $\theta_0 = \min(2\theta, \frac{2+\theta}{12}, J\theta - 1)$ . For  $\epsilon = \frac{1}{12}$  and  $m$  as in (1.2), we have that

$$P_{m-l}(y) = \frac{1}{2\pi y} \exp \left( (m-l) \log \left( \frac{ye^2}{(m-l)^2} \right) + \sum_{k=2}^J a_k \frac{(m-l)^{2k-1}}{y^{k-1}} + R \right) \quad (2.13)$$

for some real constants  $a_k$  and

$$R = \begin{cases} O\left(\frac{1}{n^{\beta_0}}\right) & \text{if } n - jv_n \leq y \leq n \\ O\left(\frac{1}{m^{\theta_0}}\right) & \text{if } m^{2+\theta} \leq y \leq n - jv_n \\ O\left(\frac{m}{(\log m)^J}\right) & \text{if } m^2 \log m \leq y \leq m^{2+\theta} \end{cases}$$

where the  $O(\cdot)$  terms are all independent of  $y$ .

*Proof of (2.13):* We prove for  $l = 0$ . Let  $\{e_n\}$  be any sequence such that  $\frac{m^2}{e_n} \rightarrow 0$  as  $n \rightarrow \infty$ . For  $e_n \leq y$  we have that

$$u = \frac{m}{\sqrt{y}} \leq \frac{m}{\sqrt{e_n}} \rightarrow 0$$

as  $n \rightarrow \infty$ . Since  $u < \eta$  for all  $n$  sufficiently large, the expansion for  $g(u)$  given by (2.12) holds and  $a(u) = O(u^4)$ . Hence we have that

$$y^{\frac{1}{2}} g(u) + a(u) = m \log \left( \frac{ye^2}{m^2} \right) + \frac{m^3}{4y} + \sum_{k=3}^J a_k \frac{m^{2k-1}}{y^{k-1}} + R_1$$

where  $R_1 = O\left(\frac{m^{2J+1}}{y^J}\right) + O\left(\frac{m^4}{y^2}\right)$ . Letting  $\epsilon = \frac{1}{12}$  in (2.10) we then get that for  $e_n \leq y$ ,

$$P_m(y) = \frac{1}{2\pi y} \exp \left( m \log \left( \frac{ye^2}{m^2} \right) + \sum_{k=2}^J a_k \frac{m^{2k-1}}{y^{k-1}} + R \right) \quad (2.14)$$

where

$$\begin{aligned} R &= R_1 + O\left(\frac{1}{y^{1/12}} + \frac{1}{m}\right) = O\left(\frac{m^{2J+1}}{y^J} + \frac{m^4}{y^2} + \frac{1}{y^{1/12}} + \frac{1}{m}\right) \\ &= O\left(R_{11} + R_{12} + R_{13} + \frac{1}{m}\right) \end{aligned} \quad (2.15)$$

and  $R_{11} = \frac{m^{2J+1}}{e_n^J}$ ,  $R_{12} = \frac{m^4}{e_n^2}$  and  $R_{13} = \frac{1}{e_n^{1/12}}$ . In (2.15) and henceforth, any  $O(\cdot)$  term is independent of the variable  $y$ . We consider three cases separately.

Case I:  $e_n = n - jv_n$ .

We have that

$$\frac{m^2}{e_n} = \frac{m^2}{n} \left(1 - \frac{jv_n}{n}\right)^{-1} = \frac{m^2}{n} \left(1 + O\left(\frac{1}{n^\beta}\right)\right) \rightarrow 0$$

as  $n \rightarrow \infty$ . Hence (2.14) holds and from (A3), we have that

$$R_{11} = \frac{m^{2J+1}}{(n - jv_n)^J} = \frac{m^{2J+1}}{n^J} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right).$$

Since  $J \geq j_\alpha$ , we therefore have that

$$\begin{aligned} \frac{m^{2J+1}}{n^J} &= \left(\frac{m^2}{n}\right)^{J-j_\alpha+1} \frac{m^{2j_\alpha-1}}{n^{j_\alpha-1}} \leq 2A^{2j_\alpha-1} \left(\frac{m^2}{n}\right)^{J-j_\alpha+1} \\ &\leq 2A^{2j_\alpha-1} \left(\frac{m^2}{n}\right) = O\left(\frac{m^2}{n}\right) = O\left(\frac{1}{n^{\beta_0}}\right) \end{aligned}$$

for sufficiently large  $n$ , where to obtain the first inequality in the first line we use (A5) and to obtain the last equality in the second line, we use (A4). Thus  $R_{11} = O\left(\frac{1}{n^{\beta_0}}\right)$ . Analogously  $R_{12} = \frac{m^4}{(n-jv_n)^2} = O\left(\frac{m^4}{n^2}\right) = O\left(\frac{1}{n^{\beta_0}}\right)$  and  $R_{13} = \frac{1}{(n-jv_n)^{1/12}} = O\left(\frac{1}{n^{1/12}}\right) = O\left(\frac{1}{n^{\beta_0}}\right)$  by our choice of  $\beta_0$  in (2.6). From (A4), we have that  $\frac{1}{m} = O\left(\frac{1}{n^{\beta_0}}\right)$ . Hence  $R_{11} + R_{12} + R_{13} + \frac{1}{m} = O\left(\frac{1}{n^{\beta_0}}\right)$ . This implies that  $R$  in (2.14) is  $O\left(\frac{1}{n^{\beta_0}}\right)$ . This proves (2.13) for the case  $n - jv_n \leq y \leq n$ .

Case II:  $e_n = m^{2+\theta}$ .

We have that

$$\frac{m^2}{e_n} = \frac{1}{m^\theta} \rightarrow 0$$

as  $n \rightarrow \infty$ . Hence (2.14) holds and we have  $R_{11} = \frac{1}{m^{J\theta-1}}$ ,  $R_{12} = \frac{1}{m^{2\theta}}$  and  $R_{13} = \frac{1}{m^{(2+\theta)/12}}$ . Hence  $R_{11} + R_{12} + R_{13} + \frac{1}{m} = O\left(\frac{1}{m^{\theta_0}}\right)$  where  $\theta_0 = \min(1, J\theta - 1, 2\theta, \frac{2+\theta}{12}) = \min(J\theta - 1, 2\theta, \frac{2+\theta}{12})$  since  $2\theta < 1$ . Therefore  $R = O\left(\frac{1}{m^{\theta_0}}\right)$  and this proves (2.13) for the case  $m^{2+\theta} \leq y \leq n - jv_n$ .

Case III:  $e_n = m^2 \log m$ .

We have that

$$\frac{m^2}{e_n} = \frac{1}{\log m} \rightarrow 0$$

as  $n \rightarrow \infty$ . Hence (2.14) holds and we have  $R_{11} = \frac{m}{(\log m)^J}$ ,  $R_{12} = \left(\frac{1}{\log m}\right)^2$  and  $R_{13} = \frac{1}{m^{1/6}(\log m)^{1/12}}$ . Hence  $R_{11} + R_{12} + R_{13} + \frac{1}{m} = O\left(\frac{m}{(\log m)^J}\right)$ . This implies that  $R = O\left(\frac{m}{(\log m)^J}\right)$  and this proves (2.13) for the case  $m^2 \log m \leq y \leq m^{2+\theta}$ .  $\blacksquare$

Before we proceed to Step 2, we have the following result that is used frequently below. The proof is in the Appendix.

Let  $j \geq 1$  and  $l \geq 0$  be fixed integers. For all  $r \leq jv_n$ , we have

$$\frac{P_{m-l-1}(n-r)}{P_{m-l}(n-r)} = \frac{m^2}{n} \left( 1 + O\left(\frac{1}{n^{\beta_0}}\right) \right) \quad (2.16)$$

where the  $O(\cdot)$  term is independent of  $r$ .

Step 2: For positive integers  $j$  and  $r$ , we define

$$F(r, j) = F_{m,n}(r, j) = \frac{p_{m-j}(n-r)}{p_m(n)} \quad (2.17)$$

where  $p_m(n)$  denotes the number of partitions of  $n$  into  $m$  summands. We state and prove two results about the function  $F(r, j)$  are needed for the proof of Lemma 1.

Let  $j \geq 1$  and  $j_1 \geq 1$  be any two fixed integers. For  $n$  sufficiently large and  $r \leq j_1v_n$ , we have

$$F(r, j) = \left(1 - \frac{r}{n}\right)^m \left(\frac{m^2}{n}\right)^j \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \quad (2.18)$$

where the  $O(\cdot)$  term is independent of  $r$ .

*Proof of (2.18):* If  $P_m(n)$  denotes the number of partitions of  $n$  into at most  $m$  summands, we have

$$p_m(n) = P_m(n) - P_{m-1}(n).$$

Letting  $I_1 = I_1(r) = \frac{P_m(n-r)}{P_m(n)}$ ,  $I_2 = I_2(r) = \frac{P_{m-j}(n-r)}{P_m(n-r)}$  and  $I_3 = I_3(r) = \frac{\left(1 - \frac{P_{m-j-1}(n-r)}{P_{m-j}(n-r)}\right)}{\left(1 - \frac{P_{m-1}(n)}{P_m(n)}\right)}$ ,

we therefore have from (2.17) that

$$F(r, j) = I_1(r)I_2(r)I_3(r). \quad (2.19)$$

We estimate  $I_1, I_2$  and  $I_3$  separately. To estimate  $I_3(r)$ , we have by (2.16) and (A4) that

$$\frac{P_{m-1}(n)}{P_m(n)} = \frac{m^2}{n} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) = O\left(\frac{1}{n^{\beta_0}}\right) \quad (2.20)$$

and for all  $r \leq j_1v_n$  that

$$\frac{P_{m-j-1}(n-r)}{P_{m-j}(n-r)} = \frac{m^2}{n} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) = O\left(\frac{1}{n^{\beta_0}}\right).$$

Here and henceforth all  $O(\cdot)$  terms are independent of  $r$ . Hence for all  $r \leq j_1v_n$ , we have that

$$I_3(r) = 1 + O\left(\frac{1}{n^{\beta_0}}\right). \quad (2.21)$$

To estimate  $I_2(r)$ , we get from (5.25) that for all  $r \leq j_1 v_n$ ,

$$\begin{aligned} I_2(r) &= \frac{P_{m-j}(n-r)}{P_m(n-r)} = \prod_{k=1}^j \frac{P_{m-k}(n-r)}{P_{m-k+1}(n-r)} \\ &= \prod_{k=1}^j \frac{m^2}{n} \left( 1 + O\left(\frac{1}{n^{\beta_0}}\right) \right) = \frac{m^{2j}}{n^j} \left( 1 + O\left(\frac{1}{n^{\beta_0}}\right) \right). \end{aligned} \quad (2.22)$$

To obtain the last equality, we have used (A1).

We now estimate  $I_1$ . For all  $r \leq j_1 v_n$ , we have from (2.13) that

$$\begin{aligned} I_1(r) &= \frac{P_m(n-r)}{P_m(n)} \\ &= \left(1 - \frac{r}{n}\right)^{m-1} \exp\left(\sum_{k=2}^{j_\alpha} a_k \left(\frac{m^{2k-1}}{(n-r)^{k-1}} - \frac{m^{2k-1}}{n^{k-1}}\right) + O\left(\frac{1}{n^{\beta_0}}\right)\right). \end{aligned} \quad (2.23)$$

For  $k \geq 2$  and all  $r \leq j_1 v_n$ , we have that

$$\begin{aligned} m^{2k-1} \left( \frac{1}{(n-r)^{k-1}} - \frac{1}{n^{k-1}} \right) &\leq m^{2k-1} \left( \frac{1}{(n-jv_n)^{k-1}} - \frac{1}{n^{k-1}} \right) \\ &= \frac{m^{2k-1}}{n^{k-1}} \left( \frac{n^{k-1}}{(n-jv_n)^{k-1}} - 1 \right) \\ &= \frac{m^{2k-1}}{n^{k-1}} O\left(\frac{1}{n^\beta}\right) \quad (\text{by (A3)}). \end{aligned}$$

Since  $\frac{m^2}{n} < 1$  for sufficiently large  $n$ , we have that  $\frac{m^{2k-1}}{n^{k-1}} = \frac{n}{m} \left(\frac{m^2}{n}\right)^k \leq \frac{n}{m} \left(\frac{m^2}{n}\right)^2 = \frac{m^3}{n}$  for  $k \geq 2$ . Therefore

$$\frac{m^{2k-1}}{n^{k-1}} O\left(\frac{1}{n^\beta}\right) \leq \frac{m^3}{n} O\left(\frac{1}{n^\beta}\right) = O\left(\frac{n^{3\alpha}}{n^{1+\beta}}\right) = O\left(\frac{1}{n^{\beta_1}}\right).$$

Since  $\beta_0 \leq \beta_1$ , we have  $O\left(\frac{1}{n^{\beta_1}}\right) = O\left(\frac{1}{n^{\beta_0}}\right)$  and therefore for all  $k \geq 2$  and  $r \leq j_1 v_n$ , we have

$$m^{2k-1} \left( \frac{1}{(n-r)^{k-1}} - \frac{1}{n^{k-1}} \right) = O\left(\frac{1}{n^{\beta_0}}\right). \quad (2.24)$$



Substituting the above bound into (2.23), we have that for  $r \leq j_1 v_n$ ,

$$\begin{aligned}
I_1(r) &= \left(1 - \frac{r}{n}\right)^{m-1} \exp\left(O\left(\frac{1}{n^{\beta_0}}\right)\right) \\
&= \left(1 - \frac{r}{n}\right)^m \left(1 + O\left(\frac{v_n}{n}\right)\right) \exp\left(O\left(\frac{1}{n^{\beta_0}}\right)\right) \\
&= \left(1 - \frac{r}{n}\right)^m \left(1 + O\left(\frac{1}{n^\beta}\right)\right) \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\
&= \left(1 - \frac{r}{n}\right)^m \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \tag{2.25}
\end{aligned}$$

To obtain the last equality, we use (A1). Substituting (2.25), (2.22) and (2.21) into (2.19) we have that

$$F(r, j) = \left(1 - \frac{r}{n}\right)^m \frac{m^{2j}}{n^j} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right)^3.$$

To obtain (2.18) from the above equation, we use (A1). ■

We complete Step 2 by proving the following result. Let  $\tilde{\mathcal{A}}(\cdot)$  be as defined in the equation preceding (2.1).

For a fixed integer  $k \geq 1$ , let  $j_1, j_2, \dots, j_k$  be fixed positive integers and let  $J = \sum_{l=1}^k j_l$ . For all sufficiently large  $n$  we have

$$\sum_{\tilde{\mathcal{A}}(v_n)} F\left(\sum_{l=1}^k r_l j_l, J\right) = \frac{e^{-Jt}}{\prod_{l=1}^k j_l} \frac{m^{2J-k}}{n^{J-k}} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \tag{2.26}$$

*Proof of (2.26):* If  $r_l \leq v_n$  for  $1 \leq l \leq k$ , we must have that  $R = \sum_{l=1}^k r_l j_l \leq J v_n$  and therefore by (2.18), we have that

$$F\left(\sum_{l=1}^k r_l j_l, J\right) = \left(1 - \frac{R}{n}\right)^m \left(\frac{m^2}{n}\right)^J \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \tag{2.27}$$

Here and henceforth, the  $O(\cdot)$  terms are independent of  $r_i, 1 \leq i \leq k$ . For  $R \leq J v_n$ , we have

$$e^{-\frac{R}{n}} = 1 - \frac{R}{n} + O\left(\frac{v_n^2}{n^2}\right) = 1 - \frac{R}{n} + O\left(\frac{1}{n^{2\beta}}\right).$$

We therefore have

$$\begin{aligned}
\left(1 - \frac{R}{n}\right)^m &= \left(e^{-\frac{R}{n}} + O\left(\frac{1}{n^{2\beta}}\right)\right)^m = e^{-\frac{Rm}{n}} \left(1 + e^{\frac{R}{n}} O\left(\frac{1}{n^{2\beta}}\right)\right)^m \\
&= e^{-\frac{Rm}{n}} \left(1 + O\left(\frac{1}{n^{2\beta}}\right)\right)^m
\end{aligned}$$

where in the above equation, we use the fact that  $e^{\frac{R}{n}} O\left(\frac{1}{n^{2\beta}}\right) \leq e^J O\left(\frac{1}{n^{2\beta}}\right) = O\left(\frac{1}{n^{2\beta}}\right)$ . Since  $2\beta > \alpha$ , we have that  $(1 + O\left(\frac{1}{n^{2\beta}}\right))^m = 1 + O\left(\frac{m}{n^{2\beta}}\right) = 1 + O\left(\frac{n^\alpha}{n^{2\beta}}\right) = 1 + O\left(\frac{1}{n^{\beta_3}}\right)$ . Thus from (2.27) we have

$$\begin{aligned} F\left(\sum_{l=1}^k r_l j_l, J\right) &= e^{-\frac{Rm}{n}} \left(\frac{m^2}{n}\right)^J \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \left(1 + O\left(\frac{1}{n^{\beta_3}}\right)\right) \\ &= e^{-\frac{Rm}{n}} \left(\frac{m^2}{n}\right)^J \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \quad (\text{by (A1)}) \\ &= \prod_{l=1}^k e^{-\frac{j_l r_l m}{n}} \left(\frac{m^2}{n}\right)^{j_l} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \end{aligned}$$

For any  $k \geq 1$  and any set of functions  $h_j(\cdot)$ ,  $1 \leq j \leq k$ , we have

$$\begin{aligned} \sum_{1 \leq i_1, \dots, i_k \leq n} h_1(i_1) h_2(i_2) \dots h_k(i_k) &= \sum_{1 \leq i_1 \leq n} \sum_{1 \leq i_2 \leq n} \dots \sum_{1 \leq i_k \leq n} h_1(i_1) h_2(i_2) \dots h_k(i_k) \\ &= \sum_{1 \leq i_1 \leq n} h_1(i_1) \sum_{1 \leq i_2 \leq n} h_2(i_2) \dots \sum_{1 \leq i_k \leq n} h_k(i_k) \\ &= \prod_{j=1}^k \left( \sum_{1 \leq i \leq n} h_j(i) \right). \end{aligned}$$

Hence

$$\begin{aligned} \sum_{\tilde{A}(v_n)} F\left(\sum_{l=1}^k r_l j_l, J\right) &= \sum_{\tilde{A}(v_n)} \prod_{l=1}^k e^{-\frac{j_l r_l m}{n}} \left(\frac{m^2}{n}\right)^{j_l} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &= \prod_{l=1}^k J_l \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \end{aligned} \quad (2.28)$$

where  $J_l = \sum_{t_n < r \leq v_n} e^{-\frac{j_l r m}{n}} \left(\frac{m^2}{n}\right)^{j_l}$ .

Using the fact that  $-\frac{jm}{n^\beta} - \frac{jm}{n} < -Dn^{\beta_2}$  for some positive constant  $D$ , we have that

$$\begin{aligned}
J_l &= \left(\frac{m^2}{n}\right)^{j_l} \frac{e^{-\frac{j_l m}{n}(t_n + O(1))} - e^{-\frac{j_l m}{n^\beta} - \frac{j_l m}{n} + O\left(\frac{m}{n}\right)}}{1 - e^{-\frac{j_l m}{n}}} \\
&= \left(\frac{m^2}{n}\right)^{j_l} \frac{e^{-j_l t} + O\left(e^{-Dn^{\beta_2}} + \frac{m}{n}\right)}{1 - e^{-\frac{j_l m}{n}}} \\
&= \left(\frac{m^2}{n}\right)^{j_l} \frac{e^{-j_l t} + O\left(e^{-Dn^{\beta_2}} + \frac{m}{n}\right)}{\frac{j_l m}{n} + O\left(\frac{m^2}{n^2}\right)} \\
&= \frac{e^{-j_l t}}{j_l} \left(\frac{m^{2j_l-1}}{n^{j_l-1}}\right) (1 + e^{j_l t} \left(e^{-Dn^{\beta_2}} + \frac{m}{n}\right)) \left(1 + \frac{n}{m j_l} O\left(\frac{m^2}{n^2}\right)\right)^{-1} \\
&= \frac{e^{-j_l t}}{j_l} \left(\frac{m^{2j_l-1}}{n^{j_l-1}}\right) \left(1 + O\left(\frac{m}{n}\right)\right).
\end{aligned}$$

To obtain the last equality, we use

$$\begin{aligned}
(1 + e^{j_l t} O(e^{-Dn^{\beta_2}})) \left(1 + \frac{n}{m j_l} O\left(\frac{m^2}{n^2}\right)\right)^{-1} &= (1 + O(e^{-Dn^{\beta_2}})) \left(1 + O\left(\frac{m}{n}\right)\right)^{-1} \\
&= (1 + O(e^{-Dn^{\beta_2}})) \left(1 + O\left(\frac{m}{n}\right)\right) \\
&= 1 + O(e^{-Dn^{\beta_2}}) + O\left(\frac{m}{n}\right) \\
&= 1 + O\left(\frac{m}{n}\right).
\end{aligned}$$

Substituting the above expression for  $J_l$  into (2.28), we therefore have that

$$\begin{aligned}
&\sum_{\tilde{A}(v_n)} F\left(\sum_{l=1}^k r_l j_l, J\right) \\
&= \prod_{l=1}^k \frac{e^{-j_l t}}{j_l} \left(\frac{m^{2j_l-1}}{n^{j_l-1}}\right) \left(1 + O\left(\frac{m}{n}\right)\right)^k \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\
&= \frac{e^{-Jt}}{\prod_{l=1}^k j_l} \left(\frac{m^{2J-k}}{n^{J-k}}\right) \left(1 + O\left(\frac{m}{n}\right)\right)^k \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right).
\end{aligned}$$

To obtain (2.26) from the above equation, we use (A4) and (A1). ■

Step 3:

*Proof of Lemma 1:* Let  $k \geq 1$  be fixed and define

$$\Delta_n = \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j}) - \mathbb{P}_{n,m}(\cap_{l=1}^k C_{r_l, j}). \quad (2.29)$$

Since  $C_{r,j} = B_{r,j} \setminus B_{r,j+1}$ , we have that

$$\begin{aligned}
0 &\leq \Delta_n = \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) - \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j} \cap \cap_{l=1}^k (B_{r_l,j+1})^c) \\
&= \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j} \cap (\cup_{w=1}^k B_{r_w,j+1})) \leq \sum_{\mathcal{A}(v_n)} \sum_{w=1}^k \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j} \cap B_{r_w,j+1}) \\
&= \sum_{\mathcal{A}(v_n)} \sum_{w=1}^k \mathbb{P}_{n,m}(\cap_{l=1, l \neq w}^k B_{r_l,j} \cap B_{r_w,j+1}).
\end{aligned}$$

For any fixed integers  $j_1, \dots, j_k$  and  $r_1 < r_2 < \dots < r_k$ , we have that

$$\mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j_l}) = F\left(\sum_{l=1}^k r_l j_l, \sum_{l=1}^k j_l\right). \quad (2.30)$$

Hence

$$\begin{aligned}
0 \leq \Delta_n &\leq \sum_{\mathcal{A}(v_n)} \sum_{w=1}^k F\left(\sum_{l=1}^k r_l j + r_w, k j + 1\right) \\
&\leq \sum_{\tilde{\mathcal{A}}(v_n)} \sum_{w=1}^k F\left(\sum_{l=1}^k r_l j + r_w, k j + 1\right) \\
&= k \sum_{\tilde{\mathcal{A}}(v_n)} F\left(\sum_{l=1}^k r_l j + r_1, k j + 1\right)
\end{aligned} \quad (2.31)$$

where the last equality follows by symmetry. From (2.26), we have that

$$\sum_{\tilde{\mathcal{A}}(v_n)} F\left(\sum_{l=1}^k r_l j + r_1, k j + 1\right) = c_{k,j} \frac{m^{2kj+2-k}}{n^{kj+1-k}} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right).$$

where  $c_{k,j} = \frac{e^{-(kj+1)t}}{j^{k-1}(j+1)}$ . But, from (A5), we have that

$$\begin{aligned}
\frac{m^{2kj+2-k}}{n^{kj+1-k}} &= \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k \frac{m^2}{n} = \left(\frac{m^{2j_\alpha-1}}{n^{j_\alpha-1}}\right)^k \left(\frac{m^2}{n}\right)^{k(j-j_\alpha)+1} \\
&\leq (2A^{2j_\alpha-1})^k \left(\frac{m^2}{n}\right)^{k(j-j_\alpha)+1} = O\left(\frac{m^2}{n}\right)^{k(j-j_\alpha)+1}.
\end{aligned} \quad (2.32)$$

This completes the proof of Lemma 1. ■

## Proof of Lemma 2

For fixed integers  $k \geq 1$  and  $q \geq 1$ , define

$$\mathcal{D}(q) = \mathcal{D}_{n,k}(q) = \{(r_1, r_2, \dots, r_k) \in \mathbb{Z}^k : t_n < r_1, r_2, \dots, r_k \leq q \text{ and } r_i \neq r_j \text{ if } i \neq j\}.$$

Further let  $F(., .)$  be as defined in (2.17). We first show that Lemma 2 follows from the two statements below that are proved later:

$$\sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j}) = \frac{1}{k!} \sum_{\mathcal{D}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right) \quad (2.33)$$

and

$$\sum_{\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right) = \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k O\left(\frac{m}{n}\right). \quad (2.34)$$

For now we assume that the above two statements hold. From (2.33) we have that

$$\sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j}) = \frac{1}{k!} \left( \sum_{\tilde{\mathcal{A}}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right) - \sum_{\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right) \right)$$

We know by (2.26) that

$$\begin{aligned} \sum_{\tilde{\mathcal{A}}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right) &= \frac{e^{-kjt} m^{2jk-k}}{j^k n^{jk-k}} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &= \left(\frac{e^{-jt}}{j}\right)^k \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \end{aligned}$$

Hence from (2.34), we have that

$$\begin{aligned} \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l, j}) &= \frac{1}{k!} \left( \left(\frac{e^{-jt}}{j}\right)^k \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \right. \\ &\quad \left. - \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k O\left(\frac{m}{n}\right) \right) \\ &= \frac{1}{k!} \left(\frac{e^{-jt}}{j}\right)^k \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k \times R, \end{aligned}$$

where

$$\begin{aligned} R &= \left( 1 + O\left(\frac{1}{n^{\beta_0}}\right) - \left(\frac{j}{e^{-jt}}\right)^k O\left(\frac{m}{n}\right) \right) \\ &= \left( 1 + O\left(\frac{1}{n^{\beta_0}}\right) + O\left(\frac{m}{n}\right) \right) = \left( 1 + O\left(\frac{1}{n^{\beta_0}}\right) \right). \end{aligned}$$

In obtaining the last equality we have used (A4). This completes the proof of Lemma 2. ■

*Proof of (2.33):* For any two sets  $\mathcal{V}_1, \mathcal{V}_2 \subseteq \tilde{\mathcal{A}}(n)$ , we have that

$$\sum_{\mathcal{V}_1 \cup \mathcal{V}_2} F\left(\sum_{l=1}^k jr_l, kj\right) \leq \sum_{\mathcal{V}_1} F\left(\sum_{l=1}^k jr_l, kj\right) + \sum_{\mathcal{V}_2} F\left(\sum_{l=1}^k jr_l, kj\right) \quad (2.35)$$

with equality if  $\mathcal{V}_1$  and  $\mathcal{V}_2$  are disjoint. Letting  $\mathcal{P}_k$  to be the set of all permutations of the elements of the set  $\{1, 2, \dots, k\}$ , we have that

$$\mathcal{D}(v_n) = \cup_{\sigma \in \mathcal{P}_k} \mathcal{V}_\sigma$$

where

$$\mathcal{V}_\sigma = \{(r_1, r_2, \dots, r_k) : t_n < r_{\sigma(1)} < r_{\sigma(2)} < \dots < r_{\sigma(k)} \leq v_n\}.$$

Also, if  $\sigma, \sigma' \in \mathcal{P}_k$  and  $\sigma \neq \sigma'$ , we have that  $\mathcal{V}_\sigma$  and  $\mathcal{V}_{\sigma'}$  are disjoint. Hence from (2.35), we have that

$$\sum_{\mathcal{D}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right) = \sum_{\sigma \in \mathcal{P}_k} \sum_{\mathcal{V}_\sigma} F\left(\sum_{l=1}^k jr_l, kj\right).$$

By symmetry, for  $\sigma \in \mathcal{P}_k$ , we have

$$\sum_{\mathcal{V}_\sigma} F\left(\sum_{l=1}^k jr_l, kj\right) = \sum_{\mathcal{V}_{\sigma_0}} F\left(\sum_{l=1}^k jr_l, kj\right)$$

where  $\sigma_0$  is the permutation such that  $\sigma_0(i) = i$  for  $1 \leq i \leq k$ . But  $\mathcal{V}_{\sigma_0} = \mathcal{A}(v_n)$  and the number of elements in  $\mathcal{P}_k$  is  $k!$ . Hence

$$\sum_{\mathcal{D}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right) = k! \sum_{\mathcal{A}(v_n)} F\left(\sum_{l=1}^k jr_l, kj\right). \quad (2.36)$$

Finally, (2.33) follows from (2.30). ■

*Proof of (2.34):* If  $(r_1, \dots, r_k) \in \tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)$  then we have that  $r_a = r_b$  for some two

distinct indices  $a$  and  $b$ . If  $\mathcal{E}$  denotes the set of such distinct pairs, then  $\mathcal{E}$  has cardinality  $\frac{k(k-1)}{2}$ . For  $(a, b) \in \mathcal{E}$  define  $\mathcal{G}_{ab} = \{(r_1, \dots, r_k) : t_n < r_l \leq v_n, 1 \leq l \leq k \text{ and } r_a = r_b\}$ . Hence we have that

$$\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n) \subseteq \cup_{(a,b) \in \mathcal{E}} \mathcal{G}_{ab}. \quad (2.37)$$

Hence from (2.35), we get that

$$\sum_{\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)} F \left( \sum_{l=1}^k jr_l, kj \right) \leq \sum_{(a,b) \in \mathcal{E}} \sum_{\mathcal{G}_{ab}} F \left( \sum_{l=1}^k jr_l, kj \right).$$

By symmetry, we have that

$$\sum_{\mathcal{G}_{ab}} F \left( \sum_{l=1}^k jr_l, kj \right) = \sum_{\mathcal{G}_{12}} F \left( \sum_{l=1}^k jr_l, kj \right).$$

Since  $\mathcal{E}$  has cardinality  $\frac{k(k-1)}{2}$ , we have

$$\sum_{\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)} F \left( \sum_{l=1}^k jr_l, kj \right) \leq \frac{k(k-1)}{2} \sum_{\mathcal{G}_{12}} F \left( \sum_{l=1}^k jr_l, kj \right). \quad (2.38)$$

But

$$\sum_{\mathcal{G}_{12}} F \left( \sum_{l=1}^k jr_l, kj \right) = \sum_{t_n < r_1, \dots, r_{k-1} \leq v_n} F \left( 2jr_1 + \sum_{l=2}^{k-1} jr_l, kj \right).$$

From (2.26), we therefore have that

$$\begin{aligned} \sum_{\mathcal{G}_{12}} F \left( \sum_{l=1}^k jr_l, kj \right) &= \frac{e^{-kjt}}{2j^{k-1}} \frac{m^{2kj-k+1}}{n^{kj-k+1}} \left( 1 + O \left( \frac{1}{n^{\beta_0}} \right) \right) \\ &= \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k \left( \frac{m}{n} \right) \frac{e^{-kjt}}{2j^{k-1}} \left( 1 + O \left( \frac{1}{n^{\beta_0}} \right) \right) \\ &= \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k O \left( \frac{m}{n} \right). \end{aligned}$$

This proves (2.34). ■

### Proof of Lemma 3

We first estimate  $\mathbb{P}_{n,m}(B_{r,j})$  for the range  $r \geq v_n$  and for a fixed integer  $j \geq 1$ .

**Lemma 4.** For all  $n$  sufficiently large we have

$$\mathbb{P}_{n,m}(B_{r,j}) \leq \begin{cases} \exp\left(-\frac{An^{\beta_2}}{4}\right) & \text{if } v_n \leq r \leq n - m^{2+\theta} \\ \exp\left(-\frac{m}{4}\right) & \text{if } n - m^{2+\theta} \leq r \leq n - m^2 \log m \\ \exp(-C(\alpha)m \log m) & \text{if } r \geq n - m^2 \log m. \end{cases} \quad (2.39)$$

where  $C(\alpha) = \frac{1-2\alpha}{8\alpha}$ .

*Proof:* We note that the event  $B_{r,j}$  is contained in the event  $B_{r,1} = B_{r,1}(m, n)$  that  $r$  occurs as a summand in the partition of  $n$  into  $m$  parts. We have that for all sufficiently large  $n$ ,

$$\begin{aligned} \mathbb{P}_{n,m}(B_{r,j}) &\leq \mathbb{P}_{n,m}(B_{r,1}) = \frac{p_{m-1}(n-r)}{p_m(n)} \\ &= \frac{P_{m-1}(n-r) - P_{m-2}(n-r)}{P_m(n) - P_{m-1}(n)} \leq \frac{P_{m-1}(n-r)}{P_m(n) - P_{m-1}(n)} \\ &= \frac{P_{m-1}(n-r)}{P_{m-1}(n)} \frac{P_{m-1}(n)}{P_m(n)} \left(1 - \frac{P_{m-1}(n)}{P_m(n)}\right)^{-1} \\ &= \frac{P_{m-1}(n-r)}{P_{m-1}(n)} O\left(\frac{1}{n^{\beta_0}}\right) \left(1 - O\left(\frac{1}{n^{\beta_0}}\right)\right)^{-1} \quad (\text{by (2.20)}) \\ &= \frac{P_{m-1}(n-r)}{P_{m-1}(n)} O\left(\frac{1}{n^{\beta_0}}\right) \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &\leq \frac{P_{m-1}(n-r)}{P_{m-1}(n)}. \end{aligned} \quad (2.40)$$

For  $v_n \leq r \leq n - m^{2+\theta}$ , we have from (2.13) that for all sufficiently large  $n$ ,

$$\begin{aligned} \frac{P_{m-1}(n-r)}{P_{m-1}(n)} &= \frac{n}{n-r} \exp\left((m-1) \log\left(\frac{n-r}{n}\right)\right) \\ &\quad + T(n-r) - T(n) + O\left(\frac{1}{m^{\theta_0}} + \frac{1}{n^{\beta_0}}\right) \end{aligned}$$

where  $T(y) = \sum_{k=2}^J a_k \frac{(m-1)^{2k-1}}{y^{k-1}}$ ,  $\theta_0 = \min(J\theta - 1, 2\theta, \frac{2+\theta}{12})$  and  $\theta$  is as defined in (2.7). Choosing  $J$  large enough so that  $J\theta \geq 2$ , we have that  $\theta_0$  is positive and therefore  $\exp\left(O\left(\frac{1}{m^{\theta_0}} + \frac{1}{n^{\beta_0}}\right)\right) \leq 2$  for all sufficiently large  $n$ . Writing

$$\frac{n}{n-r} \exp\left((m-1) \log\left(\frac{n-r}{n}\right)\right) = \exp\left((m-2) \log\left(\frac{n-r}{n}\right)\right),$$

we therefore have that

$$\frac{P_{m-1}(n-r)}{P_{m-1}(n)} \leq 2 \exp\left((m-2) \log\left(\frac{n-r}{n}\right) + T(n-r) - T(n)\right)$$



for all sufficiently large  $n$ . Since

$$\begin{aligned} T(n-r) - T(n) &= \sum_{k=2}^J a_k \left( \frac{(m-1)^{2k-1}}{(n-r)^{k-1}} - \frac{(m-1)^{2k-1}}{n^{k-1}} \right) \\ &\leq \sum_{k=2}^J |a_k| \left( \frac{(m-1)^{2k-1}}{(n-r)^{k-1}} - \frac{(m-1)^{2k-1}}{n^{k-1}} \right), \end{aligned} \quad (2.41)$$

we have

$$\frac{P_{m-1}(n-r)}{P_{m-1}(n)} \leq 2 \exp(W(n-r) - W(n)) \quad (2.42)$$

where  $W(y) = (m-2) \log y + \sum_{k=2}^J |a_k| \frac{(m-1)^{2k-1}}{y^{k-1}}$ . We have that

$$W'(y) = \frac{1}{y} \left( m-2 - |a_2| \frac{(m-1)^3}{y} - \sum_{k=3}^J |a_k| (k-1) \frac{(m-1)^{2k-1}}{y^{k-1}} \right).$$

For  $m^{2+\theta} \leq y \leq n - v_n$  we have that  $\frac{(m-1)^2}{y} \leq \frac{m^2}{y} \leq \frac{1}{m^\theta} \rightarrow 0$  as  $n \rightarrow \infty$ . Hence

$$\begin{aligned} \sum_{k=3}^J |a_k| (k-1) \frac{(m-1)^{2k-1}}{y^{k-1}} &= \frac{(m-1)^3}{y} \sum_{k=3}^J |a_k| (k-1) \left( \frac{(m-1)^2}{y} \right)^{k-2} \\ &\leq \frac{(m-1)^3}{y} \sum_{k=3}^J |a_k| (k-1) \left( \frac{1}{m^\theta} \right)^{k-2} \\ &= \frac{(m-1)^3}{y} O\left( \frac{1}{m^\theta} \right) \leq |a_2| \frac{(m-1)^3}{2y} \end{aligned} \quad (2.43)$$

for all sufficiently large  $n$ . Therefore

$$W'(y) \geq \frac{1}{y} \left( m-2 - 3|a_2| \frac{(m-1)^3}{2y} \right)$$

for all sufficiently large  $n$ . For  $m^{2+\theta} \leq y \leq n - v_n$  and  $n$  sufficiently large, we therefore have that

$$\begin{aligned} W'(y) &\geq \frac{1}{y} \left( m-2 - 3|a_2| \frac{(m-1)^3}{2m^{2+\theta}} \right) \\ &\geq \frac{1}{y} \left( m-2 - \frac{3|a_2|}{2} m^{1-\theta} \right) \geq \frac{m}{2y}. \end{aligned}$$

In obtaining the second inequality in the above equation, we have used  $\frac{(m-1)^3}{m^{2+\theta}} \leq \frac{m^3}{m^{2+\theta}} = m^{1-\theta}$ . In obtaining the third inequality, we have used the fact that  $\frac{m^{1-\theta}}{m} \rightarrow 0$  as  $n \rightarrow \infty$

and hence  $2 + \frac{3|a_2|}{2}m^{1-\theta} \leq \frac{m}{2}$  for sufficiently large  $n$ . For all sufficiently large  $n$ , we therefore have that  $W(y)$  is an increasing function and hence attains its maximum at  $y = n - v_n$ . From (2.42), we therefore have that

$$\frac{P_{m-1}(n-r)}{P_{m-1}(n)} \leq 2 \exp(W(n-v_n) - W(n)).$$

To estimate  $W(n-v_n) - W(n)$  we proceed as follows. We write  $W(n-v_n) - W(n) = W_1 + W_2$  where  $W_1 = (m-2) \log\left(1 - \frac{v_n}{n}\right)$  and

$$W_2 = \sum_{k=2}^J |a_k| \left( \frac{(m-1)^{2k-1}}{(n-v_n)^{k-1}} - \frac{(m-1)^{2k-1}}{n^{k-1}} \right).$$

From (2.24) we have that

$$\begin{aligned} W_2 &= \sum_{k=2}^J |a_k| \left( \frac{m^{2k-1}}{(n-v_n)^{k-1}} - \frac{m^{2k-1}}{n^{k-1}} \right) \left( \frac{m-1}{m} \right)^{2k-1} \\ &= \sum_{k=2}^J |a_k| O\left(\frac{1}{n^{\beta_0}}\right) \left(1 - \frac{1}{m}\right)^{2k-1} \\ &= \sum_{k=2}^J |a_k| O\left(\frac{1}{n^{\beta_0}}\right) = O\left(\frac{1}{n^{\beta_0}}\right). \end{aligned}$$

Also using the inequality  $\log(1-x) < -x$  and the fact that  $m \sim An^\alpha$ , we have

$$W_1 = (m-2) \log\left(1 - \frac{v_n}{n}\right) < -\frac{(m-2)v_n}{n} < -\frac{A}{2}n^{\alpha-\beta} = -\frac{A}{2}n^{\beta_2}$$

for sufficiently large  $n$ . From the above estimates for  $W_1$  and  $W_2$ , we therefore get that

$$\frac{P_{m-1}(n-r)}{P_{m-1}(n)} \leq 2 \exp\left(-\frac{A}{2}n^{\beta_2} + O\left(\frac{1}{n^{\beta_0}}\right)\right) \leq e^{-\frac{A}{4}n^{\beta_2}}$$

for all sufficiently large  $n$ . This proves (2.39) for  $v_n \leq r \leq n - m^{2+\theta}$ .

To estimate  $\mathbb{P}_{n,m}(B_{r,j})$  for  $n - m^{2+\theta} \leq r \leq n - m^2 \log m$ , we proceed as follows. We let  $J = j_\alpha$  and have from (2.13) that

$$\begin{aligned} \frac{P_{m-1}(n-r)}{P_{m-1}(n)} &= \exp\left((m-2) \log\left(\frac{n-r}{n}\right) + T(n-r) - T(n)\right) \\ &\quad + O\left(\frac{m}{(\log m)^J}\right) + O\left(\frac{1}{n^{\beta_0}}\right) \end{aligned} \tag{2.44}$$

where  $T(y) = \sum_{k=2}^J a_k \frac{(m-1)^{2k-1}}{y^{k-1}}$ . For  $m^2 \log m \leq y \leq m^{2+\theta}$ , we have that  $\frac{(m-1)^2}{y} \leq \frac{m^2}{y} \leq \frac{1}{\log m} \rightarrow 0$  as  $n \rightarrow \infty$ . Hence as in (2.43), we have that

$$\sum_{k=3}^J |a_k| \frac{(m-1)^{2k-1}}{y^{k-1}} \leq |a_2| \frac{(m-1)^3}{2y} \quad (2.45)$$

for all sufficiently large  $n$  and for  $n - m^{2+\theta} \leq r \leq n - m^2 \log m$ , we have

$$\begin{aligned} T(n-r) - T(n) &\leq \sum_{k=2}^J |a_k| \left( \frac{(m-1)^{2k-1}}{(n-r)^{k-1}} - \frac{(m-1)^{2k-1}}{n^{k-1}} \right) \quad \text{by (2.41)} \\ &\leq \sum_{k=2}^J |a_k| \frac{(m-1)^{2k-1}}{(n-r)^{k-1}} \\ &= |a_2| \frac{(m-1)^3}{(n-r)} + \sum_{k=3}^J |a_k| \frac{(m-1)^{2k-1}}{(n-r)^{k-1}} \\ &\leq |a_2| \frac{(m-1)^3}{(n-r)} + |a_2| \frac{(m-1)^3}{2(n-r)} = 3|a_2| \frac{(m-1)^3}{2(n-r)}. \end{aligned}$$

From (2.44), we therefore have that for all  $n$  sufficiently large and for all  $n - m^{2+\theta} \leq r \leq n - m^2 \log m$ ,

$$\frac{P_{m-1}(n-r)}{P_{m-1}(n)} \leq \exp \left( V(n-r) + O \left( \frac{m}{(\log m)^J} \right) + O \left( \frac{1}{n^{\beta_0}} \right) \right) \quad (2.46)$$

where  $V(y) = (m-2) \log \left( \frac{y}{n} \right) + 3|a_2| \frac{(m-1)^3}{2y}$ . We estimate  $V'(y)$  as follows. For  $m^2 \log m \leq y \leq m^{2+\theta}$ , we have  $\frac{(m-1)^3}{2y} \leq \frac{(m-1)^3}{2m^2 \log m} \leq \frac{m}{2 \log m}$ . Hence

$$V'(y) = \frac{1}{y} \left( m-2 - 3|a_2| \frac{(m-1)^3}{2y} \right) \geq \frac{1}{y} \left( m-2 - 3|a_2| \frac{m}{2 \log m} \right).$$

Since  $\frac{m}{\log m} = \frac{1}{\log m} \rightarrow 0$  as  $n \rightarrow \infty$ , we have that  $2 + 3|a_2| \frac{m}{2 \log m} \leq \frac{m}{2}$  for all sufficiently large  $n$ . Hence  $V'(y) \geq \frac{m}{2y}$  for all sufficiently large  $n$ . In particular,  $V(y)$  is an increasing function for all sufficiently large  $n$ . Hence

$$V(n-r) \leq V(m^{2+\theta}) = (m-2) \log \left( \frac{m^{2+\theta}}{n} \right) + \frac{3|a_2| (m-1)^3}{2 m^{2+\theta}}.$$

By our choice of  $\theta$  in (2.7), we have that  $\log \left( \frac{m^{2+\theta}}{n} \right) < -\frac{1}{2}$  for all  $n$  sufficiently large.

Also  $\frac{(m-1)^3}{m^{2+\theta}} \leq m^{1-\theta} < \frac{m}{8}$ , for all sufficiently  $n$ . We therefore have that

$$V(n-r) \leq \frac{-(m-2)}{2} + \frac{m}{8} = 1 - \frac{3m}{8}$$

for all sufficiently large  $n$ . Since  $1 + O\left(\frac{1}{n^{\beta_0}}\right) + O\left(\frac{m}{(\log m)^J}\right) \leq \frac{m}{8}$  for all sufficiently large  $n$ , we have that

$$\begin{aligned} V(n-r) &+ O\left(\frac{m}{(\log m)^J}\right) + O\left(\frac{1}{n^{\beta_0}}\right) \\ &\leq -\frac{3m}{8} + 1 + O\left(\frac{m}{(\log m)^J}\right) + O\left(\frac{1}{n^{\beta_0}}\right) \\ &\leq -\frac{3m}{8} + \frac{m}{8} = -\frac{m}{4} \end{aligned}$$

for all sufficiently large  $n$ . From (2.46), we therefore get (2.39) for  $n - m^{2+\theta} \leq r \leq n - m^2 \log m$ .

We now consider the range  $r \geq n - m^2 \log m$ . Since  $P_m(n) \leq p(n)$  where  $p(\cdot)$  is given by (1.1), we have from (2.40) that

$$\mathbb{P}_{n,m}(B_{r,j}) \leq \frac{P_{m-1}(n-r)}{P_{m-1}(n)} \leq \frac{p(n-r)}{P_{m-1}(n)}.$$

To bound the numerator, we have from (1.1) that

$$p(n-r) \leq \frac{D}{(n-r)} \exp(2c\sqrt{n-r}) \leq D \exp(2c\sqrt{n-r})$$

for some positive constants  $c$  and  $D$  and for all  $r \leq n-1$ . Hence for all  $n-r \leq m^2 \log m$ , we have that

$$\mathbb{P}_{n,m}(B_{r,j}) \leq \frac{D \exp(2c\sqrt{n-r})}{P_{m-1}(n)} \leq \frac{D \exp(2cm\sqrt{\log m})}{P_{m-1}(n)}. \quad (2.47)$$

To bound the denominator, we let  $J = j_\alpha$  and have from (2.13) that

$$\begin{aligned} P_{m-1}(n) &= \frac{1}{2\pi n} \exp\left((m-1) \log\left(\frac{ne^2}{(m-1)^2}\right) + T(n) + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &\geq \frac{1}{4\pi n} \exp\left((m-1) \log\left(\frac{ne^2}{(m-1)^2}\right) + T(n)\right) \end{aligned} \quad (2.48)$$

for all sufficiently large  $n$ , where  $T(\cdot)$  is as defined in (2.44). Since  $\frac{(m-1)^2}{n} \leq \frac{m^2}{n} \rightarrow 0$  as  $n \rightarrow \infty$ , we have that (2.45) holds with  $y = n$ . Therefore,

$$\begin{aligned} |T(n)| &= \left| \sum_{k=2}^J a_k \frac{(m-1)^{2k-1}}{n^{k-1}} \right| \leq \sum_{k=2}^J |a_k| \frac{(m-1)^{2k-1}}{n^{k-1}} \\ &= |a_2| \frac{(m-1)^3}{n} + \sum_{k=3}^J |a_k| \frac{(m-1)^{2k-1}}{n^{k-1}} \\ &\leq |a_2| \frac{(m-1)^3}{n} + |a_2| \frac{(m-1)^3}{2n} = 3|a_2| \frac{(m-1)^3}{2n} \end{aligned}$$

for all sufficiently large  $n$ . Since  $m \sim m-1 \sim An^\alpha$  and  $\alpha < \frac{1}{2}$ , we have that  $\frac{1}{\log\left(\frac{ne^2}{(m-1)^2}\right)} \sim \frac{1}{\log\left(\frac{ne^2}{m^2}\right)} \sim \frac{1}{\log(n^{1-2\alpha})} \rightarrow 0$  as  $n \rightarrow \infty$ . Also,  $\frac{(m-1)^2}{n} < \frac{m^2}{n} \rightarrow 0$  as  $n \rightarrow \infty$ . Hence

$$\begin{aligned} \frac{|T(n)|}{(m-1)\log\left(\frac{ne^2}{(m-1)^2}\right)} &\leq \frac{3|a_2|\frac{(m-1)^3}{2n}}{(m-1)\log\left(\frac{ne^2}{(m-1)^2}\right)} \\ &= \frac{3|a_2|}{2} \frac{(m-1)^2}{n} \frac{1}{\log\left(\frac{ne^2}{(m-1)^2}\right)} \rightarrow 0 \end{aligned}$$

as  $n \rightarrow \infty$ . In particular,

$$(m-1)\log\left(\frac{ne^2}{(m-1)^2}\right) + T(n) \geq \frac{(m-1)}{2} \log\left(\frac{ne^2}{(m-1)^2}\right)$$

for all sufficiently large  $n$ . Also, we have that  $\frac{(m-1)}{2} \log\left(\frac{ne^2}{(m-1)^2}\right) \sim \frac{m}{2} \log\left(\frac{ne^2}{m^2}\right) \sim \frac{m}{2}(1-2\alpha)\log n = \frac{1-2\alpha}{2\alpha}m \log(n^\alpha) \sim \frac{1-2\alpha}{2\alpha}m \log m$ . Hence  $\frac{(m-1)}{2} \log\left(\frac{ne^2}{(m-1)^2}\right) \geq 2C(\alpha)m \log m$  for all sufficiently large  $n$  where  $C(\alpha) = \frac{1-2\alpha}{8\alpha}$ . Consequently,

$$(m-1)\log\left(\frac{ne^2}{(m-1)^2}\right) + T(n) \geq 2C(\alpha)m \log m$$

for all sufficiently large  $n$ . Substituting the above lower bound into (2.48) we therefore have that

$$P_{m-1}(n) \geq \frac{1}{4\pi n} \exp(2C(\alpha)m \log m).$$

From (2.47), for all  $r \geq n - m^2 \log m$ , we therefore have that

$$\begin{aligned} \mathbb{P}_{n,m}(B_{r,j}) &\leq 4\pi n D \exp\left(2cm\sqrt{\log m} - 2C(\alpha)m \log m\right) \\ &\leq \exp\left((2c+1)m\sqrt{\log m} - 2C(\alpha)m \log m\right). \end{aligned}$$

For all sufficiently large  $m$ , we have that  $(2c+1)m\sqrt{\log m} - 2C(\alpha)m \log m < -C(\alpha)m \log m$ . Hence we have that for all  $r \geq n - m^2 \log m$ ,

$$\mathbb{P}_{n,m}(B_{r,j}) \leq \exp(-C(\alpha)m \log m).$$

We have proved (2.39) for  $r \geq n - m^2 \log m$ . ■

*Proof of Lemma 3:* We first have that

$$\begin{aligned}\tilde{\Delta}_n &= \sum_{\mathcal{A}(n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) - \sum_{\mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) \\ &= \sum_{\mathcal{A}(n) \setminus \mathcal{A}(v_n)} \mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) \geq 0.\end{aligned}$$

Also, if  $(r_1, \dots, r_k) \in \mathcal{A}(n) \setminus \mathcal{A}(v_n)$ , there exists some  $i, 1 \leq i \leq k$ , so that  $r_i > v_n$ . By Lemma 4, we therefore have that

$$\begin{aligned}\mathbb{P}_{n,m}(\cap_{l=1}^k B_{r_l,j}) &\leq \mathbb{P}_{n,m}(B_{r_i,j}) \\ &\leq \max\left(\exp(-C(\alpha)m \log m), e^{-\frac{m}{4}}, e^{-\frac{An^{\beta_2}}{4}}\right).\end{aligned}$$

Since  $m \sim An^\alpha$ , we have that  $\frac{n^{\beta_2}}{m \log m} = \frac{n^{\alpha-\beta}}{m \log m} \sim \frac{1}{An^\beta \log m} \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $\beta_2 = \alpha - \beta < \alpha$ , we have that  $\frac{n^{\beta_2}}{m} \rightarrow 0$  as  $n \rightarrow \infty$ . Hence the right hand side of the above equation is bounded above by  $e^{-\frac{An^{\beta_2}}{4}}$  for all  $n$  sufficiently large. Since the cardinality of  $\mathcal{A}(n) \setminus \mathcal{A}(v_n)$  is at most  $n^k$ , we have that

$$\tilde{\Delta}_n \leq \sum_{\mathcal{A}(n) \setminus \mathcal{A}(v_n)} e^{-\frac{A}{4}n^{\beta_2}} \leq n^k e^{-\frac{A}{4}n^{\beta_2}} \leq e^{-\frac{A}{8}n^{\beta_2}}.$$

This proves Lemma 3. ■

As a result of the above theorem, we strengthen Lemma 3 of [4].

**Corollary 5.** *If  $p_m(n)$  denotes the number of partitions of  $n$  into  $m$  summands, then*

$$p_m(n) \sim \frac{1}{m!} \binom{n-1}{m-1}$$

*if and only if  $m = o(n^{1/3})$ .*

### 3 Proof of Theorem 2

In this section, we let  $m$  be as in (1.2) with  $\frac{1}{3} \leq \alpha < \frac{1}{2}$ . We let  $j_\alpha$  defined in (1.4) is an integer. For positive integers  $r$  and  $j$ , define  $C_{r,j} = C_{r,j}(m, n)$  to be the event that the number  $r$  occurs exactly  $j$  times in the composition of  $n$  into  $m$  summands. For any fixed integer  $k \geq 1$ , we define  $S_{k,j} = S_{k,j}(t; n)$  as in (2.1). We claim that Theorem 2 follows from the following Proposition.

**Proposition 2.** For  $j \geq j_\alpha + 1$ , we have that

$$S_{1,j}(0; n) \longrightarrow 0 \quad (3.1)$$

as  $n \rightarrow \infty$ . For  $j = j_\alpha$  and for any fixed integer  $k \geq 1$ , we have that

$$S_{k,j_\alpha}(t; n) \longrightarrow \frac{\tilde{s}^k}{k!} \quad (3.2)$$

as  $n \rightarrow \infty$ , where  $\tilde{s}$  is as in Theorem 2.

*Proof of Theorem 2* (assuming Proposition 2): The proof is analogous to the proof of Theorem 1.  $\blacksquare$

In the rest of the section, we prove Proposition 2. For a positive integer  $j$ , we define  $B_{r,j} = B_{r,j}(m, n)$  to be the event that the number  $r$  occurs at least  $j$  times in a composition of  $n$  into  $m$  summands. Choose  $\delta \in (0, 1)$  such that

$$\frac{\alpha}{2} < \delta < \frac{1 - \alpha}{2}$$

and define  $v_n = n^{1-\delta}$  and

$$\delta_1 = \delta + 1 - 2\alpha, \quad \delta_2 = \alpha - \delta, \quad \delta_3 = 2\delta - \alpha \quad \text{and} \quad \delta_0 = \min(\delta_1, \delta_2, \delta_3). \quad (3.3)$$

The relations (A1) and (A5) continue to hold in the case of compositions. Also, for fixed integers  $j_1, j_2 \geq 1$ , we have

$$(B1) \quad \frac{1}{n^\delta} = O\left(\frac{1}{n^{\delta_0}}\right).$$

$$(B2) \quad \frac{1}{(n-j_1r)^\gamma} = \frac{1}{n^\gamma} \left(1 + O\left(\frac{1}{n^\delta}\right)\right) = \frac{1}{n^\gamma} \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) \quad \text{for any fixed } \gamma > 0 \text{ and for all } r \leq j_2 v_n.$$

$$(B3) \quad \frac{m}{n} = O\left(\frac{1}{m}\right) = O\left(\frac{m^2}{n}\right) = O\left(\frac{1}{n^{1-2\alpha}}\right) = O\left(\frac{1}{n^{\delta_0}}\right).$$

The proofs are analogous to the corresponding proofs for (A2)-(A4).

Let  $\mathcal{A}(\cdot)$  be as defined in the equation preceding (2.1). As in the case of partitions, we claim that the proof of Proposition 2 follows from the following three lemmas.

**Lemma 6.** Let  $j, k \geq 1$  be any two fixed integers. We have that

$$0 \leq \sum_{\mathcal{A}(v_n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}) - \sum_{\mathcal{A}(v_n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k C_{r_l,j}) = O\left(\frac{m^2}{n}\right)^{k(j-j_\alpha)+1}.$$

**Lemma 7.** *Let  $j, k \geq 1$  be any two fixed integers. We have that*

$$\sum_{\mathcal{A}(v_n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}) = \frac{1}{k!} \left( \frac{e^{-jt}}{j!j} \right)^k \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k \left( 1 + O\left( \frac{1}{n^{\delta_0}} \right) \right).$$

**Lemma 8.** *Let  $j, k \geq 1$  be any two fixed integers. We have that*

$$0 \leq \sum_{\mathcal{A}(n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}) - \sum_{\mathcal{A}(v_n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}) \leq e^{-\frac{A}{8}n^{\delta_2}}.$$

*Proof of Proposition 2* (assuming Lemmas 6-8): The proof is analogous to the proof of Proposition 1. To prove (3.1), we let  $k = 1$  and  $t = 0$  in (2.1). Thus  $t_n = \frac{nt}{m} = 0$  and

$$\sum_{\mathcal{A}(n)} \tilde{\mathbb{P}}_{n,m}(C_{r,j}) = \sum_{1 \leq r \leq n} \tilde{\mathbb{P}}_{n,m}(C_{r,j}) \leq I_1 + I_2$$

where  $I_1$  and  $I_2$  are as defined in the proof of Proposition 1 with  $\mathbb{P}_{n,m}$  replaced by  $\tilde{\mathbb{P}}_{n,m}$ . Analogous to (2.8), we have from Lemma 7 that for sufficiently large  $n$ ,

$$I_1 = \frac{e^{-tj}}{j!j} \left( \frac{m^{2j-1}}{n^{j-1}} \right) \left( 1 + O\left( \frac{1}{n^{\delta_0}} \right) \right) \leq 4 \frac{e^{-tj}}{j!j} A^{2j_\alpha-1} \left( \frac{m^2}{n} \right)^{j-j_\alpha}.$$

Since  $j \geq j_\alpha + 1$ , we have  $\left( \frac{m^2}{n} \right)^{j-j_\alpha} = O\left( \frac{m^2}{n} \right)$  and therefore that

$$I_1 = O\left( \frac{m^2}{n} \right) \rightarrow 0$$

as  $n \rightarrow \infty$ . From Lemma 8, we have that

$$I_2 \leq e^{-\frac{An^{\delta_2}}{8}}.$$

Hence we have that  $I_1 + I_2 \rightarrow 0$  as  $n \rightarrow \infty$ . This proves (3.1).

To prove (3.2), we write  $S_{k,j_\alpha} = \sum_{\mathcal{A}_n} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k C_{r_l,j_\alpha}) = S_1 - S_2 + S_3$  where  $S_1, S_2$  and  $S_3$  are as defined in the proof of Proposition 1. From Lemma 7 and (A5) we have that

$$\begin{aligned} S_1 &= \frac{1}{k!} \left( \frac{e^{-j_\alpha t}}{j_\alpha!j_\alpha} \right)^k \left( \frac{m^{2j_\alpha-1}}{n^{j_\alpha-1}} \right)^k \left( 1 + O\left( \frac{1}{n^{\beta_0}} \right) \right) \\ &= \frac{\tilde{s}^k}{k!} (1 + o(1)) \left( 1 + O\left( \frac{1}{n^{\beta_0}} \right) \right) \rightarrow \frac{\tilde{s}^k}{k!} \end{aligned}$$

as  $n \rightarrow \infty$  where  $\tilde{s}$  is as defined in Theorem 2.



It suffices to show that  $S_2 \rightarrow 0$  and  $S_3 \rightarrow 0$  as  $n \rightarrow \infty$ . To estimate  $S_3$  we use the fact that  $C_{r,j} \subseteq B_{r,j}$ . Analogous to (2.9), we therefore have that

$$S_3 \leq \sum_{\mathcal{A}(n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}) - \sum_{\mathcal{A}(v_n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}).$$

From Lemma 8, we therefore have that  $S_3 \leq e^{-\frac{An^{\delta_2}}{8}} \rightarrow 0$  as  $n \rightarrow \infty$ . Finally, letting  $j = j_\alpha$  in Lemma 6, we have that  $S_2 = O\left(\frac{m^2}{n}\right) \rightarrow 0$  as  $n \rightarrow \infty$ .  $\blacksquare$

We prove Lemmas 6, 7 and 8 in that order.

## Proof of Lemma 6

For positive integers  $j \geq 1$  and  $j+1 \leq r \leq n-1$ , define the quantity  $P_{n,m}(r, j)$  as

$$\tilde{P}_{n,m}(r, j) = \frac{\left(1 - \frac{m}{n}\right) \left(1 - \frac{m+1}{n}\right) \dots \left(1 - \frac{m+r-j-1}{n}\right)}{\left(1 - \frac{j+1}{n}\right) \left(1 - \frac{j+2}{n}\right) \dots \left(1 - \frac{r}{n}\right)}$$

and define for  $r \geq j$ ,

$$t(r, j) = t_{n,m}(r, j) = \begin{cases} P_{n,m}(r, j)w_{n,m}(j) & \text{if } r \geq j+1 \\ w_{n,m}(j) & \text{if } r = j. \end{cases}$$

where  $w_{n,m}(j) = \prod_{i=1}^j \left(\frac{m-i}{n-i}\right)$ .

The proof of Lemma 6 is now obtained in three steps.

Step 1: We obtain a relation between  $\tilde{\mathbb{P}}_{n,m}$  and  $t(\cdot, \cdot)$ , and estimate  $t(r, j)$  for a suitable range of  $r$ .

Step 2: We obtain a relation between probabilities of the events  $B_{r,j}$  and the quantity  $t(r, j)$  and obtain an asymptotic expression for  $\sum_r t(r, j)$  as  $r$  varies over a certain range.

Step 3: We convert sums involving the probabilities of the events  $B_{r,j}$  into sums involving the function  $t(\cdot, \cdot)$  to complete the proof of Lemma 6.

Step 1: We have the following relation.

Let  $k \geq 1$  be any fixed integer and let  $j_0 = 0, j_1, \dots, j_k$  be fixed integers. Let  $n = \sum_{i=1}^m X_i$  be a randomly chosen composition of  $n$  into  $m$  parts. For positive integers  $r_i, 1 \leq i \leq k$ , let  $R = \sum_{l=1}^k r_l j_l$  and  $J = \sum_{l=1}^k j_l$  be such that  $R \leq n-1$  and  $J \leq m-1$ . We have that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k \cap_{i=j_{l-1}+1}^{j_{l-1}+j_l} X_i = r_l) = t_{n,m}(R, J). \quad (3.4)$$

*Proof of (3.4):* Let  $\mathcal{C}(n, m)$  denote the set of all compositions of  $n$  into  $m$  parts. We have (see Andrews (1984)) that

$$\#\mathcal{C}(n, m) = \binom{n-1}{m-1}.$$

Suppose that  $\mathcal{C}_r(n, m)$  denotes the set of all compositions of  $n$  into  $m$  summands with  $r \geq 1$  being the value of the first summand. The set  $\mathcal{C}_r(n, m)$  has a one to one correspondence with the set of all compositions of  $n - r$  into  $m - 1$  summands. Therefore we have that

$$\#\mathcal{C}_r(n, m) = \binom{n-r-1}{m-2}.$$

Hence for  $r_1 \geq 2$ , we have

$$\begin{aligned} \tilde{\mathbb{P}}_{n,m}(X_1 = r_1) &= \frac{\#\mathcal{C}_{r_1}(n, m)}{\#\mathcal{C}(n, m)} = \frac{\binom{n-r_1-1}{m-2}}{\binom{n-1}{m-1}} \\ &= \left(\frac{m-1}{n-1}\right) \times P'_{m,n}(r_1) \end{aligned} \tag{3.5}$$

where

$$\begin{aligned} P'_{m,n}(r_1) &= \frac{(n-r_1-1)}{(n-2)} \cdots \frac{(n-r_1-m+2)}{(n-m+1)} \\ &= (n-m) \cdots (n-r_1) \frac{(n-r_1-1)}{(n-2)} \cdots \frac{(n-r_1-m+2)}{(n-m+1)} \frac{1}{(n-m) \cdots (n-r_1)} \\ &= \frac{\left(1 - \frac{m}{n}\right) \left(1 - \frac{m+1}{n}\right) \cdots \left(1 - \frac{m+r_1-2}{n}\right)}{\left(1 - \frac{2}{n}\right) \left(1 - \frac{3}{n}\right) \cdots \left(1 - \frac{r_1}{n}\right)} \\ &= P_{m,n}(r_1, 1). \end{aligned}$$

For  $r_1 = 1$ , we have from (3.5) that

$$\tilde{\mathbb{P}}_{n,m}(X_1 = r_1) = \frac{m-1}{n-1} = w_{n,m}(1).$$

Thus

$$\tilde{\mathbb{P}}_{n,m}(X_1 = r_1) = t_{n,m}(r_1, 1) \tag{3.6}$$

We now proceed by induction on  $n$ . Since all compositions are equally likely, we have that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k \cap_{i=j_{l-1}+1}^{j_l+j_{l-1}} X_i = r_l) = \tilde{\mathbb{P}}_{n,m}(X_1 = r_1)\delta_{m,n}, \quad (3.7)$$

where

$$\delta_{m,n} = \tilde{\mathbb{P}}_{n-r_1,m-1}(\cap_{i=2}^{j_1} X_i = r_1 \cap \cap_{l=2}^k \cap_{i=j_{l-1}+1}^{j_l+j_{l-1}} X_i = r_l)$$

and  $\cap_{i=2}^{j_1} X_i = r_1$  is taken to be empty if  $j_1 = 1$ . Letting  $R' = r_1(j_1 - 1) + \sum_{l=2}^k r_l j_l$ , we have by induction assumption that

$$\tilde{\mathbb{P}}_{n-r_1,m-1}(\cap_{i=2}^{j_1} X_i = r_1 \cap \cap_{i=j_{l-1}+1}^{j_l+j_{l-1}} X_i = r_l) = t_{n-r_1,m-1}(R', J - 1).$$

From (3.6), we have that  $\tilde{\mathbb{P}}_{n,m}(X_1 = r_1) = t_{n,m}(r_1, 1)$ . Hence from (3.7) we have that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k \cap_{i=j_{l-1}+1}^{j_l+j_{l-1}} X_i = r_l) = t_{n,m}(r_1, 1)t_{n-r_1,m-1}(R', J - 1).$$

Using the identity

$$t_{n,m}(r, 1)t_{n-r,m-1}(r', j') = t_{n,m}(r + r', j' + 1)$$

we get that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k \cap_{i=j_{l-1}+1}^{j_l+j_{l-1}} X_i = r_l) = t_{n,m}(r_1 + R', J) = t_{n,m}(R, J).$$

This proves the induction step. ■

In what follows, we write  $t_{n,m}(r, j)$  as  $t(r, j)$ . We complete Step 1 by estimating  $t(r, j)$  for suitable range of  $r$ .

*Let  $j, j_1 \geq 1$  be any two fixed integers. For all  $r \leq j_1 v_n$ , we have*

$$t(r, j) = e^{-\frac{rm}{n}} \left(\frac{m}{n}\right)^j \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right). \quad (3.8)$$

where the  $O(\cdot)$  term is independent of  $r$ .

*Proof of (3.8):* We first let  $r \geq j + 1$  and obtain that

$$\begin{aligned} \log\left(\frac{t(r, j)}{w_{m,n}}\right) &= -\sum_{k=2}^{r-j+1} \left(\log\left(1 - \frac{m+k-2}{n}\right) - \log\left(1 - \frac{k+j-1}{n}\right)\right) \\ &= -R_1 - R_2 \end{aligned} \quad (3.9)$$

where  $R_1 = \sum_{k=2}^{r-j+1} \frac{(m+k-2)-(k+j-1)}{n}$  and  $R_2 = \sum_{k=2}^{r-j+1} \sum_{l \geq 2} \frac{(m+k-2)^l - (k+j-1)^l}{ln^l}$ . We estimate  $R_1$  and  $R_2$  separately. For all  $r \leq j_1 v_n$ , we have that

$$R_1 = \frac{(m-j-1)(r-j)}{n} = \frac{mr}{n} - \frac{jm + (j+1)r - j(j+1)}{n} = \frac{mr}{n} + O\left(\frac{1}{n^\delta}\right).$$

Here and henceforth all  $O(\cdot)$  terms are independent of  $r$ . To obtain the above equation, we use (B3) and get that

$$\begin{aligned} \frac{jm + (j+1)r - j(j+1)}{n} &\leq \frac{jm + (j+1)j_1 v_n - j(j+1)}{n} \\ &= O\left(\frac{m}{n}\right) + O\left(\frac{v_n}{n}\right) \\ &= O\left(\frac{m}{n}\right) + O\left(\frac{1}{n^\delta}\right) = O\left(\frac{1}{n^\delta}\right). \end{aligned} \quad (3.10)$$

Also, we have

$$\begin{aligned} R_2 &= \sum_{k=2}^{r-j+1} \sum_{l \geq 2} \frac{(m+k-2)^l - (k+j-1)^l}{ln^l} \\ &= \sum_{k=2}^{r-j+1} \sum_{l \geq 2} \frac{(m-j-1)}{ln^l} \left\{ (m+k-2)^{l-1} + (m+k-2)^{l-2}(k+j-1) + \dots \right. \\ &\quad \left. + (k+j-1)^{l-1} \right\} \\ &\leq \sum_{k=2}^{r-j+1} \sum_{l \geq 2} \frac{(m-j-1)}{n^l} (m+k-2)^{l-1} \\ &\leq \sum_{l \geq 2} \frac{(m-j-1)(r-j-1)}{n^l} (m+r-j-1)^{l-1} \leq \frac{mr}{n} \sum_{l \geq 2} \left(\frac{m+r}{n}\right)^{l-1} \\ &= \frac{mr}{n} \frac{m+r}{n} \left(1 - \frac{m+r}{n}\right)^{-1}. \end{aligned} \quad (3.11)$$

As in (3.10), we have that  $\frac{m+r}{n} = O\left(\frac{1}{n^\delta}\right)$  for all  $r \leq j_1 v_n$ . Hence for all  $r \leq j_1 v_n$ , we have

$$\begin{aligned} \frac{m+r}{n} \left(1 - \frac{m+r}{n}\right)^{-1} &= O\left(\frac{1}{n^\delta}\right) \left(1 - O\left(\frac{1}{n^\delta}\right)\right)^{-1} \\ &= O\left(\frac{1}{n^\delta}\right) \left(1 + O\left(\frac{1}{n^\delta}\right)\right) \\ &= O\left(\frac{1}{n^\delta}\right). \end{aligned} \quad (3.12)$$

Also,

$$\frac{mr}{n} = O\left(\frac{mv_n}{n}\right) = O\left(\frac{n^\alpha n^{1-\delta}}{n}\right) = O(n^{\delta_2}).$$

Substituting the above two estimates into (3.11), we get

$$0 \leq R_2 \leq O(n^{\delta_2}) O\left(\frac{1}{n^\delta}\right) = O\left(\frac{1}{n^{\delta_3}}\right).$$

Substituting the estimates for  $R_1$  and  $R_2$  into (3.9) we have that

$$\begin{aligned} t(r, j) &= w_{n,m}(j) e^{-R_1 - R_2} = e^{-\frac{rm}{n}} w_{n,m}(j) \exp\left(O\left(\frac{1}{n^\delta}\right) + O\left(\frac{1}{n^{\delta_3}}\right)\right) \\ &= e^{-\frac{rm}{n}} w_{n,m}(j) \exp\left(O\left(\frac{1}{n^{\delta_0}}\right)\right) \quad (\text{by (B1)}) \\ &= e^{-\frac{rm}{n}} w_{n,m}(j) \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right). \end{aligned}$$

To evaluate  $w_{m,n}(j)$  we have by definition that

$$\left(\frac{m-j}{n}\right)^j \leq w_{n,m}(j) \leq \left(\frac{m}{n-j}\right)^j.$$

We have from (B3) that

$$\begin{aligned} \left(\frac{m-j}{n}\right)^j &= \left(\frac{m}{n}\right)^j \left(1 - \frac{j}{m}\right)^j = \left(\frac{m}{n}\right)^j \left(1 + O\left(\frac{1}{m}\right)\right)^j \\ &= \left(\frac{m}{n}\right)^j \left(1 + O\left(\frac{1}{m}\right)\right) = \left(\frac{m}{n}\right)^j \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right). \end{aligned}$$

Analogously,  $\left(\frac{m}{n-j}\right)^j = \left(\frac{m}{n}\right)^j \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right)$ . Hence we have that

$$w_{n,m}(j) = \left(\frac{m}{n}\right)^j \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right).$$

Thus

$$t(r, j) = e^{-\frac{rm}{n}} \left(\frac{m}{n}\right)^j \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right)^2.$$

To obtain (3.8) the above equation, we use (A1). ■

Step 2: In the case of partitions, we had defined an analogous function  $F$  in (2.17) and were able to obtain a relation between  $\tilde{\mathbb{P}}_{n,m}(\cap_{i=1}^k B_{r_i, j_i})$  and  $F(\cdot, \cdot)$  as in (2.30). Using (2.30), we were able to convert sums regarding the probabilities of the events  $B_{r,j}$  into sums involving the function  $F$ . In the case of compositions, no such exact relation exists. We therefore have the following result.

**Lemma 9.** *Let  $k \geq 1$  be any fixed integer and let  $j_1, j_2, \dots, j_k$  be any fixed integers. For all  $n$  sufficiently large and for all  $t_n \leq r_1 < r_2 < \dots < r_k \leq v_n$ , we have that*

$$\begin{aligned} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j_l}) &= \frac{m^J t(R, J)}{\prod_{l=1}^k j_l!} \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) \\ &= \frac{1}{\prod_{l=1}^k j_l!} e^{-\frac{Rm}{n}} \left(\frac{m^2}{n}\right)^J \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) \end{aligned} \quad (3.13)$$

where  $R = \sum_{l=1}^k r_l j_l$  and  $J = \sum_{l=1}^k j_l$ .

*Proof:* Let  $n = \sum_{i=1}^m X_i$  be a randomly chosen composition of  $n$  into  $m$  parts. Let  $r_1 < r_2 < \dots < r_k$  and suppose that the number  $r_i$  occurs at least  $j_i$  times for each  $1 \leq i \leq k$ . Letting  $J = \sum_{l=1}^k j_l$ , we define  $\mathcal{S}_J$  to be the set of all subsets of  $\{1, 2, \dots, m\}$  that have  $J$  elements. We order the elements of  $\mathcal{S}_J$  as  $\{e_i\}_{1 \leq i \leq \tilde{m}_J}$  where

$$\tilde{m}_J = \frac{m(m-1)\dots(m-J+1)}{J!} \leq \frac{m^J}{J!} \quad (3.14)$$

is the number of elements in  $\mathcal{S}_J$ . Let

$$\mathcal{T} = \{(p_1, \dots, p_J) : \sum_{l=1}^J \mathbf{1}(p_l = r_i) = j_i, 1 \leq i \leq k\}.$$

For  $e = \{l_1, \dots, l_J\} \in \mathcal{S}_J$  and  $\mathbf{p} = (p_1, \dots, p_J)$  define

$$X(\mathbf{p}, e) = \{X_{l_1} = p_1, \dots, X_{l_J} = p_J\}$$

and

$$A_e = \cup_{\mathbf{p} \in \mathcal{T}} X(\mathbf{p}, e).$$

Hence we have that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j_l}) = \tilde{\mathbb{P}}_{n,m}(\cup_{1 \leq i \leq \tilde{m}_J} A_i) \quad (3.15)$$

where  $A_i = A_{e_i}$ . We obtain an upper bound and a lower bound for the above expression using the inclusion-exclusion principle.

For an upper bound, we have from (3.15) that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j_l}) \leq \sum_{1 \leq i \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i). \quad (3.16)$$

For a fixed  $e \in \mathcal{S}_J$  and distinct  $\mathbf{p}, \mathbf{p}' \in \mathcal{T}$ , we have that  $X(\mathbf{p}, e)$  and  $X(\mathbf{p}', e)$  are disjoint. Hence for a fixed  $i$ , we have  $\tilde{\mathbb{P}}_{n,m}(A_i) = \sum_{\mathbf{p} \in \mathcal{T}} \tilde{\mathbb{P}}_{n,m}(X(\mathbf{p}, e_i))$  and therefore

$$\sum_{1 \leq i \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i) = \sum_{1 \leq i \leq \tilde{m}_J} \sum_{\mathbf{p} \in \mathcal{T}} \tilde{\mathbb{P}}_{n,m}(X(\mathbf{p}, e_i)).$$

For  $\mathbf{p} \in \mathcal{T}$  and  $e \in \mathcal{S}_J$ , we have from (3.4) that

$$\tilde{\mathbb{P}}_{n,m}(X(\mathbf{p}, e)) = t(R, J)$$

where  $R = \sum_{l=1}^k r_l j_l \leq J v_n$ . Hence

$$\sum_{1 \leq i \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i) = \sum_{1 \leq i \leq \tilde{m}_J} \sum_{\mathbf{p} \in \mathcal{T}} t(R, J) = \tilde{m}_J (\#\mathcal{T}) t(R, J)$$

where  $\#\mathcal{T}$  denotes the number of elements in the set  $\mathcal{T}$ . Since  $\#\mathcal{T} = \frac{J!}{J_p}$ , we have from (3.14) that

$$\begin{aligned} \tilde{m}_J (\#\mathcal{T}) &= \frac{m^J}{J_p} \prod_{i=1}^J \left(1 - \frac{i}{m}\right) = \frac{m^J}{J_p} \prod_{i=1}^J \left(1 + O\left(\frac{1}{m}\right)\right) \\ &= \frac{m^J}{J_p} \prod_{i=1}^J \left(1 + O\left(\frac{1}{m}\right)\right) \\ &= \frac{m^J}{J_p} \left(1 + O\left(\frac{1}{m}\right)\right) = \frac{m^J}{J_p} \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right). \end{aligned}$$

To obtain the last equality, we use (B3). Also, since  $R \leq J v_n$ , the expression (3.8) for  $t(R, J)$  holds. From (3.16), we therefore have that

$$\begin{aligned} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j_l}) &\leq \sum_{1 \leq i \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i) \\ &= \frac{1}{\prod_{l=1}^k j_l!} e^{-\frac{Rm}{n}} \left(\frac{m^2}{n}\right)^J \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right). \end{aligned} \quad (3.17)$$

To find a lower bound for (3.15), we have by inclusion-exclusion principle that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j_l}) \geq \sum_{1 \leq i \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i) - \sum_{1 \leq i < j \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j). \quad (3.18)$$

We want to find an upper bound for the second summation in the above equation. We first write

$$\sum_{1 \leq i < j \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j) = \sum_{i=1}^{\tilde{m}_J} \sum_{j=i+1}^{\tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j).$$

Let  $1 \leq i \leq \tilde{m}_J$  be fixed. To evaluate the inner sum in the above expression, we write  $\mathcal{I}_q$  to be the set of all  $e_j \in \mathcal{S}_J$  so that  $j \geq i + 1$  and such that the number of elements common to  $e_i$  and  $e_j$  is  $q$ . Since  $q \leq J - 1$ , we have

$$\sum_{j=i+1}^{\tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j) = \sum_{q=0}^{J-1} \sum_{e \in \mathcal{I}_q} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_e). \quad (3.19)$$

For  $e \in \mathcal{I}_q$ , we have that

$$\begin{aligned} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_e) &= \tilde{\mathbb{P}}_{n,m}((\cup_{\mathbf{p} \in \mathcal{T}} X(\mathbf{p}, e_i)) \cap (\cup_{\mathbf{p}' \in \mathcal{T}} X(\mathbf{p}', e))) \\ &\leq \sum_{\mathbf{p} \in \mathcal{T}} \sum_{\mathbf{p}' \in \mathcal{T}} \tilde{\mathbb{P}}_{n,m}(X(\mathbf{p}, e_i) \cap X(\mathbf{p}', e)). \end{aligned}$$

Since  $e \in \mathcal{I}_q$ , the event  $X(\mathbf{p}, e_i) \cap X(\mathbf{p}', e)$  is either empty or can be written as  $\cap_{l=1}^{2J-q} \{X_{i_l} = \tilde{p}_l\}$  for some distinct  $X_{i_l}$ 's and some integers  $\tilde{p}_l$ . Hence by (3.4) we have that

$$\tilde{\mathbb{P}}_{n,m}(X(\mathbf{p}, e_i) \cap X(\mathbf{p}', e)) \leq t(R', 2J - q),$$

where  $R' = \sum_{l=1}^J \tilde{p}_l$ . Moreover, if we denote  $\mathbf{p} = (p_1, \dots, p_J)$  and  $\mathbf{p}' = (p'_1, \dots, p'_J)$ , we have that  $R = \sum_{l=1}^J p_l \leq R' \leq \sum_{l=1}^J p_l + \sum_{l=1}^J p'_l = 2R \leq 2Jv_n$ . By (3.8), we therefore have that

$$\begin{aligned} t(R', 2J - q) &= e^{-\frac{R'm}{n}} \left(\frac{m}{n}\right)^{2J-q} \left(1 + \frac{1}{n^{\beta_0}}\right) \\ &\leq 2e^{-\frac{R'm}{n}} \left(\frac{m}{n}\right)^{2J-q} \leq 2e^{-\frac{Rm}{n}} \left(\frac{m}{n}\right)^{2J-q} \end{aligned}$$

for all sufficiently large  $n$ . Using the fact that  $\#\mathcal{T} = \frac{J!}{J_p}$ , we therefore have that

$$\tilde{\mathbb{P}}_{n,m}(A_i \cap A_e) \leq \sum_{\mathbf{p} \in \mathcal{T}} \sum_{\mathbf{p}' \in \mathcal{T}} 2e^{-\frac{Rm}{n}} \left(\frac{m}{n}\right)^{2J-q} = 2 \left(\frac{J!}{J_p}\right)^2 e^{-\frac{Rm}{n}} \left(\frac{m}{n}\right)^{2J-q}.$$

From (3.19), we get that

$$\sum_{j=i+1}^{\tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j) \leq 2 \left(\frac{J!}{J_p}\right)^2 e^{-\frac{Rm}{n}} \sum_{q=0}^{J-1} n_q \left(\frac{m}{n}\right)^{2J-q}$$

where  $n_q = \#\mathcal{I}_q$ . Let  $e \in \mathcal{S}_J$  be fixed. The number of elements  $e' \in \mathcal{S}_J$  that have exactly



$q$  elements in common with  $e$  is  $n_q = \binom{J}{q} \binom{m-J}{J-q} \leq 2^J m^{J-q}$ . Hence

$$\begin{aligned}
\sum_{j=i+1}^{\tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j) &\leq 2^{J+1} \left(\frac{J!}{J_p}\right)^2 e^{-\frac{Rm}{n}} \sum_{q=0}^{J-1} m^{J-q} \left(\frac{m}{n}\right)^{2J-q} \\
&= 2^{J+1} \left(\frac{J!}{J_p}\right)^2 e^{-\frac{Rm}{n}} \frac{1}{m^J} \sum_{q=0}^{J-1} \left(\frac{m^2}{n}\right)^{2J-q} \\
&= 2^{J+1} \left(\frac{J!}{J_p}\right)^2 e^{-\frac{Rm}{n}} \frac{1}{m^J} \left(\frac{m^2}{n}\right)^{J+1} \frac{1 - \left(\frac{m^2}{n}\right)^J}{1 - \frac{m^2}{n}} \\
&\leq 2^{J+2} \left(\frac{J!}{J_p}\right)^2 e^{-\frac{Rm}{n}} \frac{1}{m^J} \left(\frac{m^2}{n}\right)^{J+1}.
\end{aligned}$$

In obtaining the last inequality, we have used the fact that  $\frac{1 - \left(\frac{m^2}{n}\right)^J}{1 - \frac{m^2}{n}} \leq \frac{1}{1 - \frac{m^2}{n}} \leq 2$  for sufficiently large  $n$ . We therefore have

$$\begin{aligned}
\sum_{i=1}^{\tilde{m}_J} \sum_{j=i+1}^{\tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j) &\leq \tilde{m}_J 2^{J+2} \left(\frac{J!}{J_p}\right)^2 e^{-\frac{Rm}{n}} \frac{1}{m^J} \left(\frac{m^2}{n}\right)^{J+1} \\
&= e^{-\frac{Rm}{n}} \frac{\tilde{m}_J}{m^J} O\left(\frac{m^2}{n}\right)^{J+1} = e^{-\frac{Rm}{n}} O\left(\frac{m^2}{n}\right)^{J+1}
\end{aligned}$$

by (3.14). From (3.17) and the above equation, we get that

$$\begin{aligned}
\sum_{1 \leq i \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i) &- \sum_{1 \leq i < j \leq \tilde{m}_J} \tilde{\mathbb{P}}_{n,m}(A_i \cap A_j) \\
&= \frac{1}{J_p} e^{-\frac{Rm}{n}} \left(\frac{m^2}{n}\right)^J \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) - e^{-\frac{Rm}{n}} O\left(\frac{m^2}{n}\right)^{J+1} \\
&= \frac{1}{J_p} e^{-\frac{Rm}{n}} \left(\frac{m^2}{n}\right)^J \times R''
\end{aligned}$$

where

$$\begin{aligned}
R'' &= \left(1 + O\left(\frac{1}{n^{\delta_0}}\right) - J_p O\left(\frac{m^2}{n}\right)\right) \\
&= \left(1 + O\left(\frac{1}{n^{\delta_0}}\right) + O\left(\frac{m^2}{n}\right)\right) = \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right)
\end{aligned}$$

by (B3). From (3.18), we therefore have

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j_l}) \geq \frac{1}{J_p} e^{-\frac{Rm}{n}} \left(\frac{m^2}{n}\right)^J \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right).$$

From the above equation and (3.17), we get (3.13). ■

From the above result, it is intuitive that sums involving the probabilities of the events  $B_{r,j}$  can be converted into sums involving  $m^j t(r, j)$ . We therefore have the following result. The proof is analogous to the proof of (2.26).

For a fixed integer  $k \geq 1$ , let  $j_1, j_2, \dots, j_k$  be positive integers and let  $J = \sum_{l=1}^k j_l$  and  $J_p = \prod_{l=1}^k j_l!$ . For all sufficiently large  $n$  we have

$$\sum_{t_n < r_1, r_2, \dots, r_k \leq v_n} \frac{1}{J_p} m^J t \left( \sum_{l=1}^k r_l j_l, J \right) = \frac{e^{-Jt}}{\prod_{l=1}^k j_l! j_l} \frac{m^{2J-k}}{n^{J-k}} \left( 1 + O \left( \frac{1}{n^{\delta_0}} \right) \right). \quad (3.20)$$

*Proof of Lemma 6:* The proof is analogous to the proof of Lemma 1. We define  $\Delta_n$  as in (2.29) and as in (2.30), we get that

$$0 \leq \Delta_n = \sum_{\mathcal{A}(v_n)} \sum_{w=1}^k \tilde{\mathbb{P}}_{n,m}(\cap_{l=1, l \neq w}^k B_{r_l, j_l} \cap B_{r_w, j_w+1})$$

where  $\mathcal{A}(\cdot)$  is as defined in the equation preceding (2.1). For any fixed integers  $j_1, \dots, j_k$  and  $r_1 < r_2 < \dots < r_k$ , we have from Lemma 9 that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j_l}) = \frac{m^J}{J_p} t \left( \sum_{l=1}^k r_l j_l, J \right) \left( 1 + O \left( \frac{1}{n^{\delta_0}} \right) \right)$$

where  $J_p = (j_1!)^{k-1} (j_1+1)!$ . This is analogous to (2.30) with  $F(\cdot, \cdot)$  replaced by  $\frac{m^J}{J_p} t(\cdot, \cdot) \left( 1 + O \left( \frac{1}{n^{\delta_0}} \right) \right)$ . Hence as in (2.31) we get that

$$\Delta_n \leq k \sum_{t_n < r_1, \dots, r_k \leq v_n} \frac{1}{J_p} m^J t \left( \sum_{l=1}^k r_l j_l + r_1, k j + 1 \right) \left( 1 + O \left( \frac{1}{n^{\delta_0}} \right) \right).$$

But from (3.20), we have that

$$\sum_{t_n < r_1, \dots, r_k \leq v_n} \frac{1}{J_p} m^J t \left( \sum_{l=1}^k r_l j_l + r_1, k j + 1 \right) = c_{k,j} \frac{m^{2kj+2-k}}{n^{kj+1-k}} \times \left( 1 + O \left( \frac{1}{n^{\delta_0}} \right) \right)$$

where  $c_{k,j} = \frac{e^{-(kj+1)t}}{(j!)^{k-1} (j+1)! (j+1)}$  and as in (2.32), we have that  $\frac{m^{2kj+2-k}}{n^{kj+1-k}} = O \left( \frac{m^2}{n} \right)^{k(j-j_\alpha)+1}$ . This completes the proof of Lemma 6. ■

## Proof of Lemma 7

Let  $\tilde{\mathcal{A}}(\cdot)$  and  $\mathcal{D}(\cdot)$  be as defined in the equations preceding (2.1) and (2.33), respectively. We claim that Lemma 7 follows from the following two results.

We have that

$$\sum_{\mathcal{A}(v_n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}) = \frac{1}{k!} \sum_{\mathcal{D}(v_n)} \frac{1}{(j!)^k} m^{kj} t \left( \sum_{l=1}^k j r_l, kj \right) \left( 1 + O\left(\frac{1}{n^{\delta_0}}\right) \right). \quad (3.21)$$

We have that

$$\sum_{\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)} \frac{1}{(j!)^k} m^{kj} t \left( \sum_{l=1}^k j r_l, kj \right) = \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k O\left(\frac{m}{n}\right). \quad (3.22)$$

*Proof of Lemma 7* (assuming (3.21) and (3.22)): The proof is analogous to the proof of Lemma 2. From (3.20), we have that

$$\begin{aligned} \sum_{\tilde{\mathcal{A}}(v_n)} \frac{1}{(j!)^k} m^{kj} t \left( \sum_{l=1}^k j r_l, kj \right) &= \frac{e^{-kj} t}{(j!j)^k} \frac{m^{2jk-k}}{n^{jk-k}} \left( 1 + O\left(\frac{1}{n^{\delta_0}}\right) \right) \\ &= \left( \frac{e^{-jt}}{j!j} \right)^k \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k \left( 1 + O\left(\frac{1}{n^{\delta_0}}\right) \right). \end{aligned} \quad (3.23)$$

Hence

$$\begin{aligned} &\sum_{\mathcal{A}(v_n)} \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l,j}) \\ &= \frac{1}{k!} \sum_{\mathcal{D}(v_n)} \frac{1}{(j!)^k} m^{kj} t \left( \sum_{l=1}^k j r_l, kj \right) \left( 1 + O\left(\frac{1}{n^{\delta_0}}\right) \right) \quad (\text{by (3.21)}) \\ &= \frac{1}{k!} \left( \sum_{\tilde{\mathcal{A}}(v_n)} - \sum_{\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)} \right) \left( 1 + O\left(\frac{1}{n^{\delta_0}}\right) \right) \\ &= \frac{1}{k!} \left( \left( \frac{e^{-jt}}{j!j} \right)^k \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k \left( 1 + O\left(\frac{1}{n^{\delta_0}}\right) \right) \right. \\ &\quad \left. - \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k O\left(\frac{m}{n}\right) \right) \left( 1 + O\left(\frac{1}{n^{\delta_0}}\right) \right) \quad (\text{by (3.23) and (3.22)}) \\ &= \frac{1}{k!} \left( \frac{e^{-jt}}{j!j} \right)^k \left( \frac{m^{2j-1}}{n^{j-1}} \right)^k \times R, \end{aligned}$$

where

$$\begin{aligned} R &= \left(1 + O\left(\frac{1}{n^{\delta_0}}\right) - \left(\frac{j!j}{e^{-jt}}\right)^k O\left(\frac{m}{n}\right)\right) \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) \\ &= \left(1 + O\left(\frac{1}{n^{\delta_0}}\right) + O\left(\frac{m}{n}\right)\right) \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) = \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right). \end{aligned}$$

In obtaining the last equation, we have used (B3) and (A1). ■

*Proof of (3.21):* The proof is analogous to the proof of (2.33) with  $F(.,.)$  replaced by  $\frac{1}{(j!)^k} m^{kj} t(.,.)$ . As in (2.36), we therefore get that

$$\sum_{\mathcal{D}(v_n)} \frac{1}{(j!)^k} m^{kj} t\left(\sum_{l=1}^k jr_l, kj\right) = k! \sum_{\mathcal{A}(v_n)} \frac{1}{(j!)^k} m^{kj} t\left(\sum_{l=1}^k jr_l, kj\right).$$

But, from (3.20), we have that for  $(r_1, \dots, r_k) \in \mathcal{B}_n$ , and  $R = \sum_{l=1}^k jr_l$ ,

$$\begin{aligned} \frac{1}{(j!)^k} m^{kj} t(R, kj) &= \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j}) \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right)^{-1} \\ &= \tilde{\mathbb{P}}_{n,m}(\cap_{l=1}^k B_{r_l, j}) \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right). \end{aligned}$$

This proves (3.21). ■

*Proof of (3.22):* The proof is analogous to the proof of (2.34) with  $F(.,.)$  replaced by  $\frac{1}{(j!)^k} m^{kj} t(.,.)$ . We define the sets  $\mathcal{G}_{ij}$  as in the proof of (2.34). As in (2.38), we get that

$$\sum_{\tilde{\mathcal{A}}(v_n) \setminus \mathcal{D}(v_n)} \frac{1}{(j!)^k} m^{kj} t\left(\sum_{l=1}^k jr_l, kj\right) \leq \frac{k(k-1)}{2} \sum_{\mathcal{G}_{12}} \frac{1}{(j!)^k} m^{kj} t\left(\sum_{l=1}^k jr_l, kj\right).$$

Since

$$\sum_{\mathcal{G}_{12}} \frac{1}{(j!)^k} m^{kj} t\left(\sum_{l=1}^k jr_l, kj\right) = \sum_{t_n \leq r_1, \dots, r_{k-1} \leq v_n} \frac{1}{(j!)^k} m^{kj} t\left(2jr_1 + \sum_{l=2}^{k-1} jr_l, kj\right),$$

from (3.20), we therefore have that

$$\begin{aligned} \sum_{\mathcal{G}_{12}} \frac{1}{(j!)^k} m^{kj} t\left(\sum_{l=1}^k jr_l, kj\right) &= c_{k,j} \frac{m^{2kj-k+1}}{n^{kj-k+1}} \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) \\ &= c_{k,j} \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k \left(\frac{m}{n}\right) \left(1 + O\left(\frac{1}{n^{\delta_0}}\right)\right) \\ &= \left(\frac{m^{2j-1}}{n^{j-1}}\right)^k O\left(\frac{m}{n}\right) \end{aligned}$$

where  $c_{k,j} = \frac{e^{-kjt}}{2j^k(2j!(j!)^{k-1}}$ . This proves (3.22). ■

## Proof of Lemma 8

We first estimate  $\tilde{\mathbb{P}}_{n,m}(B_{r,j})$  for the range  $r \geq v_n$ .

**Lemma 10.** *For all  $n$  sufficiently large and for all  $r \geq v_n$ , we have that*

$$\tilde{\mathbb{P}}_{n,m}(B_{r,j}) \leq e^{-C_4 n^{\delta_2}} \quad (3.24)$$

for some positive constant  $C_4$ .

*Proof:* Let  $n = \sum_{i=1}^m X_i$  be a randomly chosen composition of  $n$  into  $m$  parts. We have from (3.4) that

$$\mathbb{P}_{n,m}(X_1 = r) = \prod_{i=2}^r \left( \frac{1 - \frac{m+i-2}{n}}{1 - \frac{i}{n}} \right) w_{m,n}.$$

For  $i \geq 2$ , we have that  $\left( \frac{1 - \frac{m+i-2}{n}}{1 - \frac{i}{n}} \right) = 1 - \frac{(m-2)/n}{1-i/n} < 1 - \frac{m-2}{n}$ . Also, since  $m \leq n$ , we have that  $w_{m,n} \leq 1$ . For any  $r$ , we therefore have that

$$\begin{aligned} \mathbb{P}_{n,m}(X_1 = r) &\leq 2 \left( 1 - \frac{m-2}{n} \right)^{r-1} \\ &= 2 \left( 1 - \frac{m-2}{n} \right)^r \left( 1 - \frac{m-2}{n} \right)^{-1} \\ &= 2 \left( 1 - \frac{m-2}{n} \right)^r \left( 1 + O\left(\frac{m}{n}\right) \right) \\ &\leq 4 \left( 1 - \frac{m-2}{n} \right)^r. \end{aligned}$$

Also,  $B_{r,j} \subseteq B_{r,1} = \cup_{i=1}^m \{X_i = r\}$ . For all  $r \geq v_n$ , we therefore have that

$$\begin{aligned} \tilde{\mathbb{P}}_{n,m}(B_{r,j}) &\leq \mathbb{P}_{n,m}(\cup_{i=1}^m \{X_i = r\}) \leq m \mathbb{P}_{n,m}(X_1 = r) \\ &\leq 4m \left( 1 - \frac{m-2}{n} \right)^r \\ &\leq 4me^{-\frac{r(m-2)}{n}} \leq 4me^{-\frac{v_n(m-2)}{n}} = 4me^2 e^{-mn^{-\delta}}. \end{aligned}$$

for all sufficiently large  $n$ . In the last inequality, we use  $1 - x \leq e^{-x}$  and in the third inequality we use  $v_n \leq r \leq n$  and hence that  $-\frac{r(m-2)}{n} = \frac{2r}{n} - \frac{rm}{n} \leq 2 - \frac{mv_n}{n} = 2 - mn^{-\delta}$ .

Since  $m \sim An^\alpha$ , we have that  $-mn^{-\delta} < -C_5n^{\delta_2}$  for some positive constant  $C_5$  and all  $n$  sufficiently large. Hence, we have that for all sufficiently large  $n$ ,

$$\tilde{\mathbb{P}}_{n,m}(B_{r,j}) \leq 4me^2e^{-mn^{-\delta}} \leq 4me^2e^{-C_5n^{\delta_2}} \leq e^{-C_4n^{\delta_2}}$$

for some positive constant  $C_4$  smaller than  $C_5$ . ■

*Proof of Lemma 8:* If  $(r_1, \dots, r_k) \in \mathcal{A}(n) \setminus \mathcal{A}(v_n)$ , there exists some  $i, 1 \leq i \leq k$ , so that  $r_i > v_n$ . By Lemma 10, we therefore have that

$$\tilde{\mathbb{P}}_{n,m}(\cap_{i=1}^k B_{r_i,j}) \leq \tilde{\mathbb{P}}_{n,m}(B_{r_i,j}) \leq e^{-C_4n^{\delta_2}}.$$

The rest of the proof is analogous to the proof of Lemma 3. We define  $\tilde{\Delta}_n$  as in the proof of Lemma 3. Using the fact that the cardinality of  $\mathcal{A}(n) \setminus \mathcal{A}(v_n)$  is at most  $n^k$ , as in the proof of Lemma 3, we have that

$$\tilde{\Delta}_n \leq \sum_{\mathcal{A}(n) \setminus \mathcal{A}(v_n)} e^{-C_4n^{\delta_2}} \leq n^k e^{-C_4n^{\delta_2}} \leq e^{-C_6n^{\delta_2}}$$

for some positive constant  $C_6$  less than  $C_4$ . ■

## 4 Conclusion

In this paper, we have proved a conjecture of Yakubovich regarding limit shapes of slices of partitions of an integer  $n$  when the number of summands  $m \sim An^\alpha$  for some  $\alpha < \frac{1}{2}$ . We have proved that the probability that there exists a summand of multiplicity  $j$  in a randomly chosen partition or composition of an integer  $n$  goes to zero asymptotically with  $n$  provided  $j$  is larger than a critical value. As a corollary, we have strengthened a result of [4] concerning the repeatability of summands in a randomly chosen integer partition of  $n$  when  $\alpha = \frac{1}{3}$ .

## 5 Appendix

*Proofs of (A2)-(A5):* (A2) Follows since  $\beta_3 < \beta$ , and hence  $\beta_0 < \beta$ .

(A3) For  $r \leq j_2 v_n$ , we have

$$\begin{aligned} \frac{1}{(n - j_1 r)^\gamma} &= \frac{1}{n^\gamma} \left(1 - \frac{j_1 r}{n}\right)^{-\gamma} = \frac{1}{n^\gamma} \left(1 + O\left(\frac{v_n}{n}\right)\right)^{-\gamma} \\ &= \frac{1}{n^\gamma} \left(1 + O\left(\frac{v_n}{n}\right)\right) = \frac{1}{n^\gamma} \left(1 + O\left(\frac{1}{n^\beta}\right)\right) \\ &= \frac{1}{n^\gamma} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \end{aligned}$$

In obtaining the last equality, we have used (A1).

(A4) In the first inequality we use  $\alpha < \frac{1}{2}$ , in the second we use  $\alpha \geq \frac{1}{3}$ , in the third we use  $m \sim An^\alpha$  and in the fourth we use  $\beta_0 \leq \beta_1 < 1 - 2\alpha$ .

(A5) Follows since  $m \sim An^\alpha$ . ■

*Proof of (2.16):* We let  $J = j_\alpha$  and obtain from (2.13) that

$$\frac{P_{m-l-1}(n-r)}{P_{m-l}(n-r)} = \exp\left(K_1 + K_2 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \quad (5.25)$$

for any fixed integer  $l \geq 1$  and for all  $r \leq j v_n$  where

$$K_1 = (m - l - 1) \log\left(\frac{(n-r)e^2}{(m-l-1)^2}\right) - (m-l) \log\left(\frac{(n-r)e^2}{(m-l)^2}\right)$$

and

$$K_2 = \sum_{k=2}^{j_\alpha} a_k \frac{(m-l-1)^{2k-1} - (m-l)^{2k-1}}{(n-r)^{k-1}}.$$

In (5.25) and henceforth, any  $O(\cdot)$  term is independent of  $r$ . We evaluate  $K_2$  first. For any integer  $k \geq 2$ , we have that

$$\begin{aligned} 0 &\leq (m-l)^{2k-1} - (m-l-1)^{2k-1} = \sum_{l_1=1}^{2k-1} \binom{2k-1}{l_1} (m-l-1)^{2k-1-l_1} \\ &\leq m^{2k-2} \sum_{l_1=1}^{2k-1} \binom{2k-1}{l_1} = (2^{2k-1} - 1)m^{2k-2}. \end{aligned}$$

Therefore

$$\begin{aligned} |K_2| &\leq \sum_{k=2}^{j_\alpha} |a_k| \frac{(m-l)^{2k-1} - (m-l-1)^{2k-1}}{(n-r)^{k-1}} \leq D \sum_{k=2}^{j_\alpha} (2^{2k-1} - 1) \frac{m^{2k-2}}{(n-r)^{k-1}} \\ &\leq D(2^{2j_\alpha-1} - 1) \sum_{k=2}^{j_\alpha} \left( \frac{m^2}{n-r} \right)^{k-1} \end{aligned}$$

where  $D = \sup_{2 \leq k \leq j_\alpha} |a_k|$ . By (A3), we have that  $\left(\frac{m^2}{n-r}\right)^{k-1} = \left(\frac{m^2}{n}\right)^{k-1} \left(\frac{n}{n-r}\right)^{k-1} = \left(\frac{m^2}{n}\right)^{k-1} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right) \leq 2 \left(\frac{m^2}{n}\right)^{k-1}$  for all sufficiently large  $n$ . Hence by (A4) we have

$$|K_2| \leq 2D(2^{2j_\alpha-1} - 1) \sum_{k=2}^{j_\alpha} \left(\frac{m^2}{n}\right)^{k-1} = O\left(\frac{m^2}{n}\right) = O\left(\frac{1}{n^{\beta_0}}\right).$$

Also, we have

$$\begin{aligned} K_1 &= \log\left(\frac{(m-l)^2}{(n-r)}\right) + (m-l-1) \log\left(\frac{m-l}{m-l-1}\right)^2 - 2 \\ &= \log\left(\frac{(m-l)^2}{(n-r)}\right) + 2(m-l-1) \log\left(1 + \frac{1}{m-l-1}\right) - 2. \end{aligned}$$

For a fixed  $l \geq 1$  and  $m$  sufficiently large, we have

$$\log\left(1 + \frac{1}{m-l-1}\right) = \frac{1}{m-l-1} + O\left(\frac{1}{(m-l-1)^2}\right).$$

Hence by (A4) we have

$$\begin{aligned} 2(m-l-1) \log\left(\frac{m-l}{m-l-1}\right) &= 2 + O\left(\frac{1}{m-l-1}\right) \\ &= 2 + O\left(\frac{1}{m}\right) = 2 + O\left(\frac{1}{n^{\beta_0}}\right). \end{aligned}$$

Thus

$$K_1 = \log\left(\frac{(m-l)^2}{(n-r)}\right) + O\left(\frac{1}{n^{\beta_0}}\right).$$

Substituting the estimates for  $K_1$  and  $K_2$  in (5.25), we get that

$$\begin{aligned} \frac{P_{m-l-1}(n-r)}{P_{m-l}(n-r)} &= \exp\left(\log\left(\frac{(m-l)^2}{(n-r)}\right) + O\left(\frac{1}{n^{\beta_0}}\right)\right) \\ &= \frac{(m-l)^2}{(n-r)} \exp\left(O\left(\frac{1}{n^{\beta_0}}\right)\right) = \frac{(m-l)^2}{(n-r)} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right). \end{aligned}$$



But

$$\frac{(m-l)^2}{n-r} = \frac{m^2}{n} \left(1 - \frac{l}{m}\right)^2 \frac{n}{n-r}.$$

Also, by (A4),  $\left(1 - \frac{l}{m}\right)^2 = 1 + O\left(\frac{1}{m}\right) = 1 + O\left(\frac{1}{n^{\beta_0}}\right)$  and by (A3),  $\frac{n}{n-r} = 1 + O\left(\frac{1}{n^{\beta_0}}\right)$ . Hence

$$\frac{P_{m-l-1}(n-r)}{P_{m-l}(n-r)} = \frac{m^2}{n} \left(1 + O\left(\frac{1}{n^{\beta_0}}\right)\right)^3.$$

To obtain (5.25) from the above equation, we use (A1). ■

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