

# Notes on $K$ -theory

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January 30, 2007

## Contents

<b>1 Preliminaries from <math>C^*</math>-algebra theory</b>	<b>2</b>
1.1 Constructions with $C^*$ -algebras . . . . .	2
1.2 Unitization . . . . .	2
<b>2 <math>K</math>-theory</b>	<b>4</b>
2.1 Vector bundles . . . . .	4
2.2 $K_0$ group . . . . .	5
2.2.1 Equivalence relations on projections . . . . .	5
2.2.2 $K_0$ group for unital $C^*$ -algebras . . . . .	7
2.2.3 $K_0$ group for nonunital $C^*$ -algebras . . . . .	10
2.2.4 Properties . . . . .	11
2.2.5 Computations of $K_0$ . . . . .	15
2.3 $K_1$ group . . . . .	15
2.3.1 Higher $K$ -groups . . . . .	15
2.3.2 Homotopies of unitaries and invertibles . . . . .	17
2.3.3 Equivalence of the two pictures . . . . .	20
<b>3 Computational tools</b>	<b>21</b>
3.1 Six term exact sequence . . . . .	21
3.1.1 Lifting of homotopies . . . . .	21
3.1.2 Fredholm operators . . . . .	21
3.1.3 The index map . . . . .	22
3.1.4 Computation of the index map . . . . .	24
3.1.5 Bott periodicity . . . . .	26
3.1.6 Computation of $K$ -groups . . . . .	29
3.2 $K$ -groups of crossed products . . . . .	32
3.2.1 Crossed products . . . . .	32

3.2.2	Crossed products with $\mathbb{Z}$ . . . . .	32
3.2.3	Crossed products with $\mathbb{R}$ . . . . .	32
3.3	$K$ -groups of tensor products . . . . .	33
4	$K$ -groups of some $C^*$ -algebras	33
5	References	33

# 1 Preliminaries from $C^*$ -algebra theory

## 1.1 Constructions with $C^*$ -algebras

**Direct Sum.**

**Tensor Product.**

1. Form the algebraic tensor product  $A \otimes_{alg} B$ ,
2. put a  $C^*$ -norm on  $A \otimes_{alg} B$  that obeys  $\|a \otimes b\| = \|a\| \cdot \|b\|$  for  $a \in A, b \in B$ . One can put several  $C^*$ -norms in general; there is a maximal and minimal one.
3. complete  $A \otimes_{alg} B$  with respect to that norm. several choices of norms on the algebraic tensor product and hence several choices of  $A \otimes B$  possible.
4. the spatial norm: this is one choice of a  $C^*$ -norm on  $A \otimes_{alg} B$ . By GNS theorem, there exist faithful representations  $\pi_A : A \rightarrow \mathcal{L}(\mathcal{H}_A)$  and  $\pi_B : B \rightarrow \mathcal{L}(\mathcal{H}_B)$ . Define  $\pi : A \otimes_{alg} B \rightarrow \mathcal{L}(\mathcal{H}_A \otimes \mathcal{H}_B)$  by  $\pi(a \otimes b) = \pi_A(a) \otimes \pi_B(b)$  and define  $\|\cdot\|$  by  $\|\sum a_i \otimes b_i\| = \|\pi(\sum a_i \otimes b_i)\|$ .
5. this norm is independent of the choice of the representations  $\pi_A$  and  $\pi_B$  as long as they are faithful, and is called the spatial norm. This turns out to coincide with the minimal norm on  $A \otimes_{alg} B$ .
6. For a large class of  $C^*$ -algebras  $A$ , one can put only one norm ( $C^*$  cross norm) on  $A \otimes_{alg} B$  for any  $C^*$ -algebra  $B$ . Such  $C^*$ -algebras are called nuclear  $C^*$ -algebras. All abelian  $C^*$ -algebras and type I  $C^*$ -algebras are nuclear.

## 1.2 Unitization

Let  $A$  be a  $C^*$ -algebra, and let

$$A^\dagger = \begin{cases} \text{unitization of } A & \text{if } A \text{ is nonunital,} \\ A \oplus \mathbb{C} & \text{if } A \text{ is unital.} \end{cases}$$

**Exercise 1.1** Show that if  $A \subseteq B$ ,  $B$  is unital but  $1_B \notin A$ , then  $A^\dagger \cong A + \mathbb{C}1_B$ .

**Exercise 1.2** Let  $A$  be a  $C^*$ -algebra and let  $\pi : A^\dagger \rightarrow \mathbb{C}$  be the map  $(a, t) \mapsto t$  and  $\lambda : \mathbb{C} \rightarrow A^\dagger$  be the map

$$\lambda(t) = \begin{cases} (0, t) & \text{if } A \text{ is nonunital,} \\ (t, t) & \text{if } A \text{ is unital.} \end{cases}$$

Show that the following sequence is split exact:

$$0 \longrightarrow A \longrightarrow A^\dagger \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{\lambda} \end{array} \mathbb{C} \longrightarrow 0$$

The map  $s := \lambda \circ \pi : A^\dagger \rightarrow A^\dagger$  is called the **scalar map**. Thus

$$s(a, t) = \begin{cases} (0, t) & \text{if } A \text{ is nonunital,} \\ (t, t) & \text{if } A \text{ is unital.} \end{cases}$$

**Exercise 1.3** Let  $\phi : A \rightarrow B$  be a morphism. Define a map  $\phi^\dagger : A^\dagger \rightarrow B^\dagger$  as follows:

$$\phi^\dagger(a, t) = \begin{cases} (\phi(a), t) & \text{if } A, B \text{ both unital or both nonunital,} \\ (\phi(a) + t, t) & \text{if } A \text{ nonunital and } B \text{ unital,} \\ (\phi(a - t), t) & \text{if } A \text{ unital and } B \text{ nonunital.} \end{cases}$$

Show that

1.  $\phi^\dagger$  is the unique extension of  $\phi$  to a unital morphism  $\phi^\dagger$  from  $A^\dagger$  to  $B^\dagger$ .
2.  $\phi^\dagger$  is injective if and only if  $\phi$  is injective,
3.  $\phi^\dagger$  is surjective if and only if  $\phi$  is surjective.

**Exercise 1.4** Let  $\phi : A \rightarrow B$  be a morphism and let  $s_A$  and  $s_B$  be the scalar maps for  $A^\dagger$  and  $B^\dagger$  respectively. Show that for any  $a \in A^\dagger$ , one has  $s_B(\phi^\dagger(a)) = \phi^\dagger(s_A(a))$ .

**Exercise 1.5** Let

$$0 \longrightarrow J \xrightarrow{\phi} A \xrightarrow{\pi} A/J \longrightarrow 0,$$

be a short exact sequence. Then  $\phi^\dagger : M_n(J^\dagger) \rightarrow M_n(A^\dagger)$  is injective.

An element  $a \in M_n(A^\dagger)$  is in  $\phi^\dagger(M_n(J^\dagger))$  if and only if  $\pi^\dagger(a) = s(\pi^\dagger(a))$ .

**Inductive limits of  $C^*$ -algebras.** Let  $(A_i, \phi_{jk})$  be an inductive system of  $C^*$ -algebras, i.e.  $\phi_{jk} : A_k \rightarrow A_j$  are morphisms for  $k \leq j$ , and  $\phi_{ij}\phi_{jk} = \phi_{ik}$ ,  $\phi_{ii} = id$ .

Define

$$\begin{aligned} B_\infty &= \{(a_i) : a_i \in A_i \text{ for all } i, \text{ there exists } k \text{ such that } a_j = \phi_{kj}(a_k) \text{ for } j \geq k\}, \\ B &= \{(a_i) : \sup \|a_i\| < \infty\}, \\ J_\infty &= \{(a_i) \in B_\infty : a_i = 0 \text{ for all but finitely many } i\} \\ J &= \text{closure of } J_\infty \text{ in } B, \\ \pi &: \text{canonical projection } B \rightarrow B/J, \\ A_\infty &= \pi(B_\infty), \\ A &= \text{closure of } A_\infty \text{ in } B/J, \end{aligned}$$

Note that forming  $B/J$  is same as putting the seminorm  $\|(a_i)\|_1 := \limsup \|a_i\|$  on  $B$  and quotienting by elements of length zero.

Define  $\phi_j : A_j \rightarrow A$  by

$$\phi_j(a) = (\underbrace{0, \dots, 0}_{j-1}, a, \phi_{j+1,j}(a), \phi_{j+2,j}(a), \dots).$$

Then

1. the following diagram commutes:

$$\begin{array}{ccc} A_j & \xrightarrow{\phi_j} & A \\ \phi_{kj} \downarrow & \nearrow \phi_k & \\ A_k & & \end{array}$$

2.  $A_\infty = \cup_j \phi_j(A_j)$ ,
3. if  $D$  is a  $C^*$ -algebra such that for each  $i$ , there is a morphism  $\psi_i : A_i \rightarrow D$  with

$$\begin{array}{ccc} A_j & \xrightarrow{\psi_j} & D \\ \phi_{kj} \downarrow & \nearrow \psi_k & \\ A_k & & \end{array}$$

then there is a unique morphism  $\psi : A \rightarrow D$  such that

$$\begin{array}{ccc} A_j & \xrightarrow{\phi_j} & A \\ & \searrow \psi_j & \downarrow \psi \\ & & D \end{array}$$

If the  $\psi_i$ 's are all one-one, then  $\psi$  is one-one.

4. if  $a \in A$ , then for any  $\epsilon > 0$ , there is a  $k \in \mathbb{N}$  and  $a_k \in A_k$  such that

$$\|a - \phi_k(a_k)\| < \epsilon.$$

## 2 $K$ -theory

### 2.1 Vector bundles

Let  $X$  be a compact hausdorff space and  $E$  be a complex vector bundle over  $X$  of rank  $n$ . Let  $\Gamma(E)$  be the space of sections of  $E$ .

1.  $\Gamma(E)$  is a vector space with pointwise addition.

2. It is a  $C(X)$ -module with pointwise multiplication.
3. If  $E = X \times \mathbb{C}^n$ , then  $\Gamma(E) = C(X, \mathbb{C}^n) \cong C(X) \otimes \mathbb{C}^n$  is the direct sum of  $n$  copies of  $C(X)$ .
4.  $\Gamma(E \oplus F) = \Gamma(E) \oplus \Gamma(F)$ .
5. Theorem (Swan): If  $E$  is a locally trivial complex vector bundle over a compact Hausdorff space  $X$ , then there is another locally trivial complex vector bundle  $F$  over  $X$  such that  $E \oplus F$  is trivial.
6. Thus  $\gamma(E) \oplus \Gamma(F) \cong C(X) \oplus \dots \oplus C(X)$ . Observe that  $\mathcal{L}(C(X) \oplus \dots \oplus C(X)) = M_n(C(X))$ . So  $\gamma(E)$  can be identified with the projection  $p_E$  in  $M_n(C(X))$  onto  $\gamma(E)$ .

$K_0(A)$ : Grothendieck group of the semigroup of projections in  $\cup_n M_n(A)$  modulo homotopy.

## 2.2 $K_0$ group

### 2.2.1 Equivalence relations on projections

**Murray-von Neumann equivalence.** Let  $p, q \in Proj(A)$ . Define  $p \sim_{MvN} q$  if there is a partial isometry  $v \in A$  such that  $p = vv^*$  and  $q = v^*v$ .

**Exercise 2.1** Show that  $\sim$  is an equivalence relation on  $A$ .

**Exercise 2.2** Show that  $p \sim_{MvN} q$  if and only if there are elements  $x, y \in A$  such that  $p = xy$  and  $q = yx$ .

**Unitary equivalence.** Let  $p, q \in Proj(A)$ . Define  $p \sim_u q$  if there is a unitary  $u \in A^\dagger$  such that  $q = upu^*$ .

**Exercise 2.3** Show that  $\sim_u$  is an equivalence relation on  $A$ .

**Exercise 2.4** Show that  $p \sim_u q$  if and only if there is an element  $z \in GL_1(A^\dagger)$  such that  $q = zpz^{-1}$ .

**Exercise 2.5** Let  $p, q \in Proj(A)$ . Show that  $\|p - q\| \leq 1$ .

**Lemma 2.1** If  $\|p - q\| < 1$  then  $p \sim_u q$ .

*Proof:* Write  $x = qp + (1 - q)(1 - p)$ . Then  $x - 1 = 2qp - q - p = (2q - 1)(p - q)$ , so that  $\|x - 1\| < 1$ . Therefore  $x$  is invertible. It is easy to see now that  $xpx^{-1} = q$ . By the previous exercise, the result follows.  $\square$

**Exercise 2.6** Let  $p(t)$  be a continuous path of projections in a unital  $C^*$ -algebra  $A$ . Then there is a continuous path of unitaries  $u(t)$  with  $u(0) = I$  such that  $p(t) = u(t)p(0)u(t)^*$  for all  $t$ .

(Use the proofs of the lemma above and exercise 2.4)

**Homotopy.** Let  $p, q \in \text{Proj}(A)$ .  $p$  and  $q$  are said to be homotopic if there is a norm continuous path  $t \mapsto P(t)$  in  $A$  such that  $P(t)^* = P(t) = P(t)^2$  for all  $t$  and  $P(0) = p, P(1) = q$ . One writes  $p \sim_h q$  in such a case.

**Exercise 2.7** Show that  $\sim_h$  is an equivalence relation on  $A$ .

**Exercise 2.8** Let  $p, q \in \text{Proj}(A)$ . Suppose there is a homotopy of idempotents from  $p$  to  $q$ . Show that  $p \sim_h q$ .

**Lemma 2.2** Let  $p, q \in \text{Proj}(A)$  and  $\|p - q\| < 1$ . Then show that  $p \sim_h q$ .

*Proof:* Write  $P(t) = tp + (1 - t)q$  for  $0 \leq t \leq 1$ . Let  $\delta = \frac{1}{2}\|p - q\|$ . Then  $\|P(t) - p\| = (1 - t)\|p - q\| \leq \delta$  for  $\frac{1}{2} \leq t \leq 1$ , and  $\|P(t) - q\| = t\|p - q\| \leq \delta$  for  $0 \leq t \leq \frac{1}{2}$ . Thus for all  $t \in [0, 1]$ , one has  $\sigma(P(t)) \subseteq [-\delta, \delta] \cup [1 - \delta, 1 + \delta]$ . Let  $f : [-\delta, \delta] \cup [1 - \delta, 1 + \delta] \rightarrow \mathbb{R}$  be the function given by

$$f(x) = \begin{cases} 0 & \text{if } |x| \leq \delta, \\ 1 & \text{otherwise.} \end{cases}$$

Then  $f(P(t))$  gives a required homotopy. □

**Proposition 2.3** Let  $p, q \in \text{Proj}(A)$ . Then  $p \sim_h q \Rightarrow p \sim_u q \Rightarrow p \sim_{MvN} q$ .

*Proof:* Let  $P : [0, 1] \rightarrow A$  be a homotopy from  $p$  to  $q$ . Let  $0 < t_1 < \dots < t_k < 1$  be such that  $\|P(t_i) - P(t_{i+1})\| < 1$  for each  $i$ . Now use exercise 2.1 for each pair to conclude that  $p \sim_u q$ .

Next assume that  $u$  is a unitary such that  $p = uqu^*$ . Write  $v = uq$ . Then  $vv^* = uqu^* = p$  and  $v^*v = qu^*uq = q$ . Thus  $p \sim_{MvN} q$ . □

**Lemma 2.4** Let  $p, q \in \text{Proj}(A)$ . If  $p \sim_{MvN} q$  and  $1 - p \sim_{MvN} 1 - q$ , then  $p \sim_u q$ .

*Proof:* Let  $v$  and  $w$  be partial isometries in  $A$  with  $v^*v = p, vv^* = q, w^*w = 1 - p, ww^* = 1 - q$ . Then  $1 - v^*v = w^*w$ . Multiplying both sides from the left by  $w$  and from the right by  $w^*$ , one gets  $wv^*vw^* = 0$ , so that  $vw^* = 0$ . A similar argument shows that  $v^*w = 0$ . It follows then that  $u = v + w$  is unitary and  $upu^* = q$ . □

**Corollary 2.5** Let  $p, q \in \text{Proj}(A)$ .  $p \sim_u q$  if and only if  $p \sim_{MvN} q$  and  $1 - p \sim_{MvN} 1 - q$ .

**Example 2.6** Example where  $p \sim_{MvN} q$  but  $p \not\sim_u q$ : Take  $P \in L_2(\mathbb{N})$  to be the projection onto  $L_2(\mathbb{N} \setminus \{0\})$  and  $Q$  to be the identity operator.

**Example 2.7** Example where  $p \sim_u q$  but  $p \not\sim_h q$ : exists in  $M_2(C(S^3))!$

**Proposition 2.8** Let  $p, q \in \text{Proj}(A)$ . If  $p \sim_{MvN} q$ , then  $\begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix} \sim_u \begin{pmatrix} q & 0 \\ 0 & 0 \end{pmatrix}$  in  $M_2(A)$ .

*Proof:* Let  $v$  be a partial isometry with  $v^*v = p$  and  $vv^* = q$ . Then  $u := \begin{pmatrix} v & 1 - vv^* \\ v^*v - 1 & v^* \end{pmatrix}$  is a unitary and  $u \begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix} u^* = \begin{pmatrix} q & 0 \\ 0 & 0 \end{pmatrix}$ .  $\square$

**Proposition 2.9** *Let  $p, q \in \text{Proj}(A)$ . If  $p \sim_{MvN} q$ , then  $\begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix} \sim_h \begin{pmatrix} q & 0 \\ 0 & 0 \end{pmatrix}$  in  $M_2(A)$ .*

*Proof:* Let  $v$  and  $u$  be as in the previous proof. The path

$$t \mapsto \begin{pmatrix} \cos(\frac{\pi}{2}t)v & 1 - (1 - \sin(\frac{\pi}{2}t))vv^* \\ (1 - \sin(\frac{\pi}{2}t))v^*v - 1 & \cos(\frac{\pi}{2}t)v^* \end{pmatrix}$$

connects  $u$  to  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . The path

$$t \mapsto \begin{pmatrix} \cos(\frac{\pi}{2}t) & \sin(\frac{\pi}{2}t) \\ -\sin(\frac{\pi}{2}t) & \cos(\frac{\pi}{2}t) \end{pmatrix}$$

connects  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  to  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ . Let  $u_t$  be a continuous path of unitaries that connect  $u$  to  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ . Then  $t \mapsto u_t \begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix} u_t^*$  is a continuous path of projections that connect  $\begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix}$  with  $\begin{pmatrix} q & 0 \\ 0 & 0 \end{pmatrix}$ .  $\square$

**Proposition 2.10** *Let  $p, q \in \text{Proj}(A)$ . If  $p \sim_u q$ , then  $\begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix} \sim_h \begin{pmatrix} q & 0 \\ 0 & 0 \end{pmatrix}$  in  $M_2(A)$ .*

*Proof:* This is a corollary of the previous proposition.  $\square$

## 2.2.2 $K_0$ group for unital $C^*$ -algebras

**Exercise 2.9** Let  $p, p' \in \text{Proj}(M_n(A))$ ,  $q, q' \in \text{Proj}(M_k(A))$ . Assume  $p \sim_{MvN} p'$  and  $q \sim_{MvN} q'$ . Show that

$$\begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix} \sim_{MvN} \begin{pmatrix} p' & 0 \\ 0 & q' \end{pmatrix} \text{ in } M_{n+k}(A).$$

**Exercise 2.10** Let  $p, q \in \text{Proj}(M_n(A))$ . Show that

$$\begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix} \sim_u \begin{pmatrix} q & 0 \\ 0 & p \end{pmatrix}.$$

Let  $P_\infty(A)$  denote  $\text{Proj}(\cup_n M_n(A))$  modulo the equivalence  $p \sim_0 \begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix}$ . On  $P_\infty(A)$ , define an equivalence relation  $\sim$  by declaring  $[p]_0 \sim [q]_0$  if there is an  $n \in \mathbb{N}$ ,  $p' \in [p]_0$ ,  $q' \in [q]_0$  with  $p', q' \in M_n(A)$  and  $p' \sim_{MvN} q'$  in  $M_n(A)$ . Let  $V(A) := P_\infty(A) / \sim$ .

**Exercise 2.11** Define a relation  $\sim_1$  on  $\sqcup_n \text{Proj}(M_n(A))$  as follows:

for  $p \in M_n(A)$  and  $q \in M_k(A)$ ,  $p \sim_1 q$  if there exists a partial isometry  $v \in M_{n,k}(A)$  such that  $p = vv^*$ ,  $q = v^*v$ .

Show that this is an equivalence relation and  $\sqcup_n \text{Proj}(M_n(A)) / \sim_1 = V(A)$ .

Define an operation on  $V(A)$  by

$$[p] + [q] := \left[ \begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix} \right].$$

This is well-defined and turns it into an abelian semigroup. We will denote this semigroup by  $V(A)$ .

**Exercise 2.12** Recall that if  $p, q \in \text{Proj}(A)$  obey  $\|p - q\| < 1$ , then  $p \sim_u q$ . Use this to show that if  $A$  is separable, then  $V(A)$  is countable.

**Exercise 2.13** Let  $p, q \in \text{Proj}(A)$  with  $pq = 0 = qp$ . Show that  $\begin{pmatrix} p+q & 0 \\ 0 & 0 \end{pmatrix} \sim_h \begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix}$ .

**Exercise 2.14** Let  $(S, +)$  be a cancellative abelian semigroup. Define a relation  $\sim$  on  $S \times S$  by declaring  $(a, b) \sim_{MvN} (a', b')$  if  $a + b' = a' + b$ . Show that this is an equivalence relation.

Define an operation  $+$  on  $S \times S$  by  $(a, b) + (a', b') = (a + a', b + b')$ . Show that if  $(a, b) \sim_{MvN} (a', b')$  and  $(c, d) \sim_{MvN} (c', d')$ , then  $(a, b) + (c, d) \sim_{MvN} (a', b') + (c', d')$ . Thus the operation  $+$  lifts to a well-defined operation on  $S \times S / \sim$ .

Show that  $(S \times S / \sim, +)$  is an abelian group with identity  $[(a, a)]$  and  $-[(a, b)] = [(b, a)]$ .

If  $(S, +)$  is an abelian semigroup possibly without cancellation, the relation defined in the above exercise need not be an equivalence relation. So in general, one needs to define the relation on  $S \times S$  slightly differently.

**Exercise 2.15** Let  $(S, +)$  be an abelian semigroup. Define a relation  $\sim$  on  $S \times S$  by declaring  $(a, b) \sim_{MvN} (a', b')$  if there exists a  $c \in S$  such that  $a + b' + c = a' + b + c$ . Show that this is an equivalence relation.

Show that the natural addition on  $S \times S$  lifts to an operation on  $S \times S / \sim$ , and  $(S \times S / \sim, +)$  is an abelian group. (this is called the **Grothendieck group** of  $(S, +)$  and will be denoted by  $G(S)$ .)

**Exercise 2.16** Let  $(S, +)$  be a semigroup and let  $\sim$  be as above. Show that  $[(x + y, y)]$  is independent of  $y$ .

Choose and fix an  $y \in S$ . Show that  $\iota : x \mapsto [(x + y, y)]$  gives a semigroup homomorphism from  $S$  into  $G(S)$ .

$\iota$  is injective if and only if  $S$  is cancellative.

**Exercise 2.17** Let  $S$  and  $S'$  be two semigroups and let  $\phi : S \rightarrow S'$  be a homomorphism. Then there is a unique group homomorphism  $\psi : G(S) \rightarrow G(S')$  such that the following diagram commutes:

$$\begin{array}{ccc} S & \xrightarrow{\phi} & S' \\ \downarrow \iota & & \downarrow \iota \\ G(S) & \xrightarrow{\psi} & G(S') \end{array}$$



**Exercise 2.18** Let  $S$  be a semigroup,  $G$  be a group and let  $\phi : S \rightarrow G$  be a homomorphism. Then there is a unique group homomorphism  $\psi : G(S) \rightarrow G$  such that the following diagram commutes:

$$\begin{array}{ccc} S & \xrightarrow{\phi} & G \\ & \searrow \iota & \uparrow \psi \\ & & G(S) \end{array}$$

**Exercise 2.19** Let  $S = \mathbb{N} \cup \{\infty\}$ , with an operation  $+$  that gives the usual addition when restricted to  $\mathbb{N}$  and for  $n \in S$ , one has  $n + \infty = \infty = \infty + n$ .

Show that the Grothendieck group of  $(S, +)$  is the trivial group.

**Definition 2.11** Let  $A$  be a unital  $C^*$ -algebra. The  $K_0$  **group** of  $A$  is defined to be the Grothendieck group of  $V(A)$ .

**Exercise 2.20** Let  $A$  be a unital  $C^*$ -algebra. Let  $S$  be the set  $Proj(M_\infty(A))$  modulo the equivalence relation  $\sim$ . Let  $\tilde{K}_0(A)$  be the abelian group with generators  $[p] \in S$  and satisfying the relation  $[p] + [q] = [p \oplus q]$ . Show that  $\tilde{K}_0(A) = K_0(A)$ .

**Exercise 2.21** Show that two projections  $p$  and  $q$  in  $M_n(\mathbb{C})$  are equivalent if and only if  $\text{Trace } p = \text{Trace } q$ . Use this to prove that  $V(\mathbb{C}) = (\mathbb{N}, +)$  and hence conclude that  $K_0(\mathbb{C}) = \mathbb{Z}$ .

**Exercise 2.22** Use exercise 2.21 to show that  $K_0(M_n(\mathbb{C})) = \mathbb{Z}$ .

**Exercise 2.23** Let  $A$  and  $B$  be two unital  $C^*$ -algebras and let  $\phi : A \rightarrow B$  be a  $*$ -homomorphism. Denote by the same symbol the induced homomorphism  $M_n(A)$  to  $M_n(B)$ . Let  $p, q \in M_n(A)$ . Show that if  $p$  and  $q$  are homotopic, then  $\phi(p)$  and  $\phi(q)$  are also homotopic.

Define  $K_0(\phi) : V(A) \rightarrow V(B)$  by  $K_0(\phi)[p] = [\phi(p)]$ . Show that this induces a homomorphism from  $K_0(A)$  to  $K_0(B)$ .

Show that  $K_0(id) = id$ .

**Exercise 2.24** Let  $A, B, C$  be unital  $C^*$ -algebras and let  $\phi : A \rightarrow B$  and  $\psi : B \rightarrow C$  be  $*$ -homomorphisms. Show that  $K_0(\psi \circ \phi) = K_0(\psi) \circ K_0(\phi)$ .

Let  $A, B$  be  $C^*$ -algebras. Two homomorphisms  $\phi, \psi : A \rightarrow B$  are said to be **homotopic** if there exist a family of  $*$ -homomorphisms  $\phi_t : A \rightarrow B$ ,  $t \in [0, 1]$  such that  $\phi_0 = \phi$ ,  $\phi_1 = \psi$  and for each  $a \in A$ , the map  $t \mapsto \phi_t(a)$  is norm continuous.

**Exercise 2.25** Show that if two homomorphisms  $\phi, \psi : A \rightarrow B$  are homotopic, then  $K_0(\phi) = K_0(\psi)$ .

Two  $C^*$ -algebras  $A$  and  $B$  are said to be **homotopy equivalent** if there exist homomorphisms  $\phi : A \rightarrow B$  and  $\psi : B \rightarrow A$  such that  $\phi \circ \psi$  is homotopic to  $id_B$  and  $\psi \circ \phi$  is homotopic to  $id_A$ .

**Exercise 2.26** In such a case, one has  $K_0(A) = K_0(B)$  and  $K_0(\phi)^{-1} = K_0(\psi)$ .

**Exercise 2.27** Let  $X$  be a contractible compact Hausdorff space. Show that  $K_0(C(X)) = \mathbb{Z}$ .

**Exercise 2.28** Find  $V(\mathcal{L}(\mathcal{H}))$  where  $\mathcal{H}$  is infinite dimensional. Use this to show that  $K_0(\mathcal{L}(\mathcal{H})) = 0$ .

**Exercise 2.29** Let  $A$  be a unital  $C^*$ -algebra, and let  $n \in \mathbb{N}$ . Show that the map  $a \mapsto \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}$  induces an isomorphism between  $K_0(M_n(A))$  and  $K_0(A)$ .

### 2.2.3 $K_0$ group for nonunital $C^*$ -algebras

Suppose we have the short exact sequence

$$0 \longrightarrow A \longrightarrow A^\dagger \xrightarrow{\pi} \mathbb{C} \longrightarrow 0.$$

Then we have a group homomorphism  $K_0(\pi)$  from  $K_0(A^\dagger)$  to  $K_0(\mathbb{C}) = \mathbb{Z}$ . Define the  **$K_0$  group of  $A$**  to be the kernel of this homomorphism.

**Exercise 2.30** Let  $A$  and  $B$  be two  $C^*$ -algebras and let  $\phi : A \rightarrow B$  be a  $*$ -homomorphism. Then  $\phi$  extends uniquely to a unital  $*$ -homomorphism  $\phi^\dagger : A^\dagger \rightarrow B^\dagger$  such that the following diagram commutes:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & A & \longrightarrow & A^\dagger & \xrightarrow{\pi_A} & \mathbb{C} & \longrightarrow & 0 \\ & & \downarrow \phi & & \downarrow \phi^\dagger & & \downarrow id & & \\ 0 & \longrightarrow & B & \longrightarrow & B^\dagger & \xrightarrow{\pi_B} & \mathbb{C} & \longrightarrow & 0 \end{array}$$

Show that

1.  $K_0(\phi^\dagger)$  maps  $\ker K_0(\pi_A)$  into  $\ker K_0(\pi_B)$ .
2. if  $A$  is unital, then  $\ker K_0(\pi_B) \cong K_0(A)$ .
3. if  $A$  and  $B$  are unital, then the restriction of  $K_0(\phi^\dagger)$  to  $\ker K_0(\pi_A)$  is same as the map  $K_0(\phi)$ .

Let  $\phi_t$  be a family of homomorphisms from  $A$  to  $B$  and let  $\phi_t^\dagger$  be its unique extension to a homomorphism from  $A^\dagger$  to  $B^\dagger$ . Show that if  $\phi_t$  is a homotopy, then  $\phi_t^\dagger$  is also a homotopy.

If  $A$  and/or  $B$  is nonunital, define  $K_0(\phi)$  to be the restriction of  $K_0(\phi^\dagger)$  to  $\ker K_0(\pi_A)$ .

**Exercise 2.31** Let  $A, B, C$  be  $C^*$ -algebras and let  $\phi : A \rightarrow B$  and  $\psi : B \rightarrow C$  be  $*$ -homomorphisms. Show that  $K_0(id_A) = id$  and  $K_0(\psi \circ \phi) = K_0(\psi) \circ K_0(\phi)$ .

**Exercise 2.32** Suppose two  $C^*$ -algebras  $A$  and  $B$  are homotopic, i.e. there are homomorphisms  $\phi : A \rightarrow B$  and  $\psi : B \rightarrow A$  such that  $\phi \circ \psi$  is homotopic to  $id_B$  and  $\psi \circ \phi$  is homotopic to  $id_A$ . Then  $K_0(A) = K_0(B)$  and  $K_0(\phi)^{-1} = K_0(\psi)$ .

**Proposition 2.12** Let  $A$  be a nonunital  $C^*$ -algebra. Let  $s$  be the extension of the map  $(a, z) \mapsto (0, z)$  (from  $A^\dagger$  to  $A^\dagger$ ) to  $\cup_n M_n(A^\dagger)$ . Then

$$K_0(A) = \{[p] - [s(p)] : p \in Proj(\cup_n M_n(A^\dagger))\}.$$

*Proof:* Let  $p \in Proj(M_n(A^\dagger))$ . Look at the element  $[p] - [s(p)]$  in  $K_0(A^\dagger)$ . Since

$$K_0(\pi)([p] - [s(p)]) = [\pi(p)] - [\pi(s(p))] = [\pi(p)] - [\pi(p)] = 0,$$

we have  $[p] - [s(p)] \in K_0(A)$ .

Let us take an element  $[p] - [q] \in K_0(A)$  such that  $[p] - [q] \in \ker K_0(\pi)$ ,  $p, q \in Proj(\cup_n M_n(A^\dagger))$ . Let  $\lambda : \mathbb{C} \rightarrow A^\dagger$  be the map  $z \mapsto (0, z)$ . Then  $s = \lambda \circ \pi$ . Therefore  $[p] - [q] \in \ker K_0(s)$ . Let us write

$$\tilde{p} = \begin{pmatrix} p & 0 \\ 0 & 1 - q \end{pmatrix}, \quad \tilde{q} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix},$$

Then observe that

$$[p] - [q] = \left[ \begin{pmatrix} p & 0 \\ 0 & 0 \end{pmatrix} \right] - \left[ \begin{pmatrix} 0 & 0 \\ 0 & q \end{pmatrix} \right] = [\tilde{p}] - [\tilde{q}].$$

Therefore  $K_0(s)([\tilde{p}] - [\tilde{q}]) = 0$ . But clearly  $s([\tilde{q}]) = [\tilde{q}]$ . Therefore  $[s(\tilde{p})] - [\tilde{q}] = 0$ . Thus there exist  $r, r' \in \text{Proj}(\cup_n M_n(A^\dagger))$  such that  $[p] + [\tilde{q}] + [r] = [\tilde{p}] + [q] + [r]$  and  $[s(\tilde{p})] + [r'] = [\tilde{q}] + [r']$ . Combining these, we get

$$[p] + [s(\tilde{p})] + [r \oplus r'] = [\tilde{p}] + [q] + [r \oplus r'],$$

which means  $[p] - [q] = [\tilde{p}] - [s(\tilde{p})]$ .  $\square$

**Lemma 2.13** *If  $p \in \text{Proj}(M_k(A^\dagger))$  and  $\pi(p) \sim_{MvN} 1_n$  in  $M_k(\mathbb{C})$  ( $n \leq k$ ), then there is an element  $q \in \text{Proj}(M_k(A^\dagger))$  such that  $p \sim_u q$  and  $\pi(q) = 1_n$ .*

*Proof:* Since  $\pi(p) \sim_{MvN} 1_n$  in  $M_k(\mathbb{C})$ , which is finite dimensional, we have  $1_k - \pi(p) \sim_{MvN} 1_k - 1_n$  and consequently  $\pi(p) \sim_u 1_n$ , i.e. there is a unitary  $u \in M_k(\mathbb{C})$  such that  $u\pi(p)u^* = 1_n$ . Then  $q := upu^*$  gives a required projection.  $\square$

**Exercise 2.33** Elements of  $K_0(A)$  can be written in the form  $[p] - [1_n]$  where  $p \in M_k(A^\dagger)$ ,  $k \geq n$  and  $p - 1_n \in M_k(A)$ .

*Proof:* First show that any element can be written as  $[p'] - [1_n]$ . Next use the fact that this is in the kernel of  $K_0(\pi)$  to conclude that  $[\pi(p')] - [1_n] = 0$ . Since  $V(\mathbb{C}) = \mathbb{N}$  is cancellative, this implies  $[\pi(p')] = [1_n]$ , i.e.  $\pi(p') \sim_{MvN} 1_n$ . Now use lemma 2.13 to get a projection  $p$  such that  $p \sim_u p'$  and  $\pi(p) = 1_n$ .

**Exercise 2.34** Let  $p, q \in \text{Proj}(M_k(A^\dagger))$  and  $[p] - [q] = 0$  in  $K_0(A)$ . Then there exist  $m, n \in \mathbb{N}$ ,  $m \leq n$  such that

$$\begin{pmatrix} p & 0 \\ 0 & 1_m \end{pmatrix} \sim_h \begin{pmatrix} q & 0 \\ 0 & 1_m \end{pmatrix} \quad \text{in } M_{k+n}(A^\dagger).$$

*Proof:* Since  $[p] - [q] = 0$ , there exists  $r \in \text{Proj}(M_m(A^\dagger))$  for some  $m \in \mathbb{N}$  such that  $[p] + [r] = [q] + [r]$ . Therefore

$$\begin{pmatrix} p & 0 \\ 0 & r \end{pmatrix} \sim_h \begin{pmatrix} q & 0 \\ 0 & r \end{pmatrix} \quad \text{in } M_{k+n}(A^\dagger)$$

for some  $n \geq m$ . The required homotopy now follows.

## 2.2.4 Properties

**Theorem 2.14**  *$K_0$  is half-exact, i.e. if we have a short exact sequence*

$$0 \longrightarrow J \xrightarrow{\phi} A \xrightarrow{\pi} A/J \longrightarrow 0,$$

*then the sequence*

$$K_0(J) \xrightarrow{K_0(\phi)} K_0(A) \xrightarrow{K_0(\pi)} K_0(A/J)$$

*is exact in the middle.*

*Proof:* Since  $\pi \circ \phi = 0$ , it follows that the range of  $K_0(\phi)$  is contained in  $\ker K_0(\pi)$ . Now take an element  $x$  in  $\ker K_0(\pi)$ . By exercise 2.33,  $x = [p] - [1_n]$ ,  $p \in M_k(A^\dagger)$ . Since this is in the kernel of  $K_0(\pi)$ , we have  $[\pi(p)] - [1_n] = 0$  in  $K_0(A/J)$ . Hence it follows from exercise 2.34 that,

$$\begin{pmatrix} \pi(p) & 0 \\ 0 & 1_m \end{pmatrix} \sim_u \begin{pmatrix} 1_n & 0 \\ 0 & 1_m \end{pmatrix} \quad \text{in } M_{k+j}((A/J)^\dagger).$$

Let  $u$  be a unitary in  $M_{k+j}((A/J)^\dagger)$  such that

$$u \begin{pmatrix} \pi(p) & 0 \\ 0 & 1_m \end{pmatrix} u^* = \begin{pmatrix} 1_n & 0 \\ 0 & 1_m \end{pmatrix}.$$

Let  $w$  be a unitary in  $M_{2k+2j}(A^\dagger)$  such that  $\pi(w) = \begin{pmatrix} u & 0 \\ 0 & u^* \end{pmatrix}$  and  $w \sim_h 1_{2k+2j}$ . (Assume that such a  $w$  would exist; this is a fact from  $C^*$ -algebras that we will prove later) Now let  $q = w \begin{pmatrix} p & 0 \\ 0 & 1_m \end{pmatrix} w^*$ . Then

$$\pi(q) = \begin{pmatrix} u & 0 \\ 0 & u^* \end{pmatrix} \begin{pmatrix} \pi(p) & 0 \\ 0 & 1_m \end{pmatrix} \begin{pmatrix} u^* & 0 \\ 0 & u \end{pmatrix} = \begin{pmatrix} 1_k & 0 \\ 0 & 1_m \end{pmatrix}.$$

Therefore  $q \in M_k(J^\dagger)$ . Since  $[q] = \left[ \begin{pmatrix} p & 0 \\ 0 & 1_m \end{pmatrix} \right]$ , we have

$$[p] - [1_n] = \left[ \begin{pmatrix} p & 0 \\ 0 & 1_m \end{pmatrix} \right] - \left[ \begin{pmatrix} 1_n & 0 \\ 0 & 1_m \end{pmatrix} \right] = [q] - [1_{n+m}].$$

But the right hand side is clearly in the range of  $K_0(\phi)$ . □

**Theorem 2.15**  $K_0$  takes split exact sequences to split exact sequences, i.e. if the short exact sequence

$$0 \longrightarrow J \xrightarrow{\phi} A \xrightarrow{\pi} A/J \longrightarrow 0,$$

splits with a splitting homomorphism  $\lambda : A/J \rightarrow A$ , then the sequence

$$0 \longrightarrow K_0(J) \xrightarrow{K_0(\phi)} K_0(A) \xrightarrow{K_0(\pi)} K_0(A/J) \longrightarrow 0$$

is exact and splits with splitting map  $K_0(\lambda)$ .

*Proof:* Since  $\pi \circ \lambda = id_{A/J}$ , it follows that

$$K_0(\pi) \circ K_0(\lambda) = K_0(id_{A/J}) = id_{K_0(A/J)}.$$

So  $K_0(\pi)$  is onto.

Take an element in  $K_0(J)$ . By exercise 2.33, it is of the form  $[p] - [1_n]$  where  $p \in Proj(M_k(J^\dagger))$  for some  $k \in \mathbb{N}$ ,  $k \geq n$  and  $p - 1_n \in M_k(J)$ . If it is an element of  $\ker K_0(\phi)$  then

it follows that  $[\phi_k^\dagger(p)] - [1_n] = 0$ . From exercise 2.34, we conclude that there exist  $m, j \in \mathbb{N}$ ,  $m \leq j$  such that

$$\begin{pmatrix} \phi_k^\dagger(p) & 0 \\ 0 & 1_m \end{pmatrix} \sim_h \begin{pmatrix} 1_n & 0 \\ 0 & 1_m \end{pmatrix} \quad \text{in } M_{k+j}(A^\dagger),$$

i.e. there is a unitary  $u \in M_{k+j}(A^\dagger)$  such that

$$u \begin{pmatrix} \phi_k^\dagger(p) & 0 \\ 0 & 1_m \end{pmatrix} u^* = \begin{pmatrix} 1_n & 0 \\ 0 & 1_m \end{pmatrix}.$$

Write

$$p' = \begin{pmatrix} p & 0 \\ 0 & 1_m \end{pmatrix} \in M_{k+j}(J^\dagger).$$

Then  $[p] - [1_n] = [p'] - [1_{n+m}]$ ,  $u\phi_{k+j}^\dagger(p')u^* = 1_{n+m}$  and  $p' - 1_{n+m} \in M_{k+j}(J)$ .

**Exercise 2.35** Now complete the proof. □

**Proposition 2.16** *Let  $A$  be a  $C^*$ -algebra, and let  $n \in M_n(A)$ . Then  $K_0(M_n(A)) = K_0(A)$ .*

*Proof:* Let  $\phi : A \rightarrow M_n(A)$  be the map  $a \mapsto \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}$  and let  $\psi$  be the corresponding map from  $\mathbb{C}$  to  $M_n(\mathbb{C})$ . Then the following diagram commutes and have split exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \longrightarrow & A^\dagger & \longrightarrow & \mathbb{C} \longrightarrow 0 \\ & & \downarrow \phi & & \downarrow \phi^\dagger & & \downarrow \psi \\ 0 & \longrightarrow & M_n(A) & \longrightarrow & M_n(A^\dagger) & \longrightarrow & M_n(\mathbb{C}) \longrightarrow 0 \end{array}$$

It follows from the properties of  $K_0$  that the following diagram also commutes and have split exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & K_0(A) & \longrightarrow & K_0(A^\dagger) & \longrightarrow & K_0(\mathbb{C}) = \mathbb{Z} \longrightarrow 0 \\ & & \downarrow K_0(\phi) & & \downarrow K_0(\phi^\dagger) & & \downarrow K_0(\psi) \\ 0 & \longrightarrow & K_0(M_n(A)) & \longrightarrow & K_0(M_n(A^\dagger)) = K_0(A^\dagger) & \longrightarrow & K_0(M_n(\mathbb{C})) = \mathbb{Z} \longrightarrow 0 \end{array}$$

**Exercise 2.36** Show that if  $K_0(\phi^\dagger)$  and  $K_0(\psi)$  are isomorphisms, then  $K_0(\phi)$  is also an isomorphism. □

Therefore the proof follows from the result for the unital case. □

**Proposition 2.17** *Let  $A$  and  $B$  be two  $C^*$ -algebras. Let  $\iota_A$  and  $\iota_B$  be the natural inclusions of  $A$  and  $B$  into  $A \oplus B$ . Then  $K_0(\iota_A) \oplus K_0(\iota_B) : K_0(A) \oplus K_0(B) \rightarrow K_0(A \oplus B)$  is an isomorphism.*

*Proof:* Let  $\pi$  be the projection  $A \oplus B \rightarrow B$ . Then the following sequence is split exact:

$$0 \longrightarrow A \xrightarrow{\iota_A} A \oplus B \xrightleftharpoons[\iota_B]{\pi} B \longrightarrow 0$$

By split exactness, we have the split exact sequence of abelian groups

$$0 \longrightarrow K_0(A) \xrightarrow{K_0(\iota_A)} K_0(A \oplus B) \xrightleftharpoons[K_0(\iota_B)]{K_0(\pi)} K_0(B) \longrightarrow 0$$

Therefore the result follows. □

**Proposition 2.18** *Let  $(A_i, \phi_{jk})$  be an inductive system of  $C^*$ -algebras. Then  $K_0(\lim(A_i, \phi_{jk})) = \lim(K_0(A_i), K_0(\phi_{jk}))$ .*

*Proof:* Since

$$\begin{array}{ccc} A_j & \xrightarrow{\phi_j} & A \\ \phi_{kj} \downarrow & \nearrow \phi_k & \\ A_k & & \end{array}$$

we have

$$\begin{array}{ccc} K_0(A_j) & \xrightarrow{K_0(\phi_j)} & K_0(A) \\ K_0(\phi_{kj}) \downarrow & \nearrow K_0(\phi_k) & \\ K_0(A_k) & & \end{array}$$

By universality of  $\lim(K_0(A_i), K_0(\phi_{jk}))$ , there is a unique morphism  $\psi_* : \lim K_0(A_i) \rightarrow K_0(A)$  such that

$$\begin{array}{ccc} K_0(A_j) & \xrightarrow{\xi_j} & \lim K_0(A_i) \\ \searrow K_0(\psi_j) & & \downarrow \psi_* \\ & & K_0(A) \end{array}$$

where  $\xi_j$ 's are the maps corresponding to the inductive system  $(K_0(A_i), K_0(\phi_{jk}))$ .

We need to show that  $\psi_*$  is one-one and onto.

Since  $\lim K_0(A_i) = \cup_j \xi_j(K_0(A_j))$ , for injectivity it is enough to show that  $\psi_*$  is injective on  $\xi_j(K_0(A_j))$ . So take an element  $x \in K_0(A_j)$  and assume  $\psi_* \xi_j(x) = 0$ . We have to show that  $\xi_j(x) = 0$ . We will use the facts that  $\psi_* \xi_j = K_0(\psi_j)$  and  $\xi_k K_0(\phi_{kj}) = \xi_j$  for  $k \geq j$ .

**Exercise 2.37** Complete the proof of injectivity of  $\psi_*$ .

Next, take  $[p] - [s(p)]$ ,  $p \in \text{Proj}(M_k(A^\dagger))$ . In order to show that this is in the range of  $\psi_*$ , complete the following steps:

Approximate  $p$  with  $\phi_n(a_n)$  for some self adjoint element  $a_n \in M_k(A_n^\dagger)$ ; write  $a_m = \phi_{mn}(a_n)$  for  $m \geq n$ .

Now show:

1.  $\|a_m - a_m^2\| < 1/4$  for large  $m$ ,
2. there is a projection  $q \in M_k(A_m^\dagger)$  such that  $\|a_m - q\| < 1/2$ ,
3.  $\|\phi_m(q) - p\| < 1$ ,
4.  $[p] - [s(p)] = [\phi_m(q)] - [s(\phi_m(q))] = K_0(\phi_m)([q] - [s(q)])$ .

□

**Exercise 2.38** Show that  $K_0(\mathcal{K} \otimes A) = K_0(A)$ .

### 2.2.5 Computations of $K_0$

A  $C^*$ -algebra is called properly infinite if there are projections  $p, q$  with  $pq = 0$  and  $1 \sim_{MvN} p \sim_{MvN} q$ .

**Exercise 2.39** If a  $C^*$ -algebra is properly infinite, then its quotients are also properly infinite. Show that  $\mathcal{L}(\mathcal{H})$  for infinite dimensional  $\mathcal{H}$  and the Cuntz algebras  $\mathcal{O}_n$  are properly infinite.

Let  $A$  be properly infinite,  $p$  and  $q$  being projections with  $pq = 0$  and  $1 \sim_{MvN} p \sim_{MvN} q$ . Let  $v, w \in A$  such that  $v^*v = 1 = w^*w$  and  $p = vv^*$ ,  $q = ww^*$ . Since  $pq = 0$ , it follows that  $v^*w = 0$ . Let  $s_k = v^k w$ ,  $k \in \mathbb{N}$ . Then  $s_k^* s_j = \delta_{kj}$ , i.e.  $s_k$ 's are isometries with orthogonal range. Let  $v_n = (s_1, \dots, s_n)$ . Then it is easy to see that  $b_n p b_n^* \sim p$  in  $Proj(\cup_n M_n(A))$ .

**Exercise 2.40** Let  $p, q \in Proj(A)$ . Write  $r = s_1 p s_1^* + s_2 (1 - q) s_2^* + s_3 (1 - s_1 s_1^* - s_2 s_2^*) s_3^*$ . Show that

1.  $r \in Proj(A)$ ,
2.  $r \sim \begin{pmatrix} p & & \\ & 0 & \\ & & 0 \end{pmatrix} + \begin{pmatrix} 0 & & \\ & 1 - q & \\ & & 0 \end{pmatrix} + \begin{pmatrix} 0 & & \\ & 0 & \\ & & 1 \end{pmatrix}$ ,
3.  $[r] = [\begin{pmatrix} p & & \\ & 0 & \\ & & 0 \end{pmatrix}] - [\begin{pmatrix} 0 & & \\ & q & \\ & & 0 \end{pmatrix}] = [p] - [q]$ .

## 2.3 $K_1$ group

### 2.3.1 Higher $K$ -groups

Let  $A$  be a  $C^*$ -algebra. Then the  $C^*$ -algebra

$$\{f \in C([0, 1], A) : f(0) = f(1)\}$$

is called the **suspension of  $A$**  and is denoted by  $SA$ .

**Exercise 2.41** Show that  $SA \cong C_0(\mathbb{R}) \otimes A$ .

**Exercise 2.42**  $(SA)^\dagger = \{f \in C([0, 1], A^\dagger) : f(0) = f(1) = \lambda \in \mathbb{C}, s(f(t)) = \lambda \in \mathbb{C} \text{ for } t \in [0, 1]\}$ .

**Exercise 2.43** Let  $\phi : [0, 1] \times [0, 1] \rightarrow A$  be a continuous map with  $\phi(t, 1) = \phi(t, 0) = 0$  for all  $t \in [0, 1]$ . Then  $t \mapsto \phi_t$  where  $\phi_t(s) = \phi(t, s)$  gives a homotopy in  $SA$ .

Conversely, any homotopy in  $SA$  arises in this way.

**Exercise 2.44** Let  $p_0, p_1 \in \text{Proj}(\cup_n M_n(A^\dagger))$ . Then  $p_0 \sim_{MvN} p_1$  if and only if there are projections  $p_t \in \cup_n M_n((SA)^\dagger)$  such that for each  $s \in [0, 1]$ ,  $t \mapsto p_t(s)$  is a homotopy between  $p_0 = p_0(s)$  and  $p_1 = p_1(s)$ .

**Exercise 2.45** Let  $u_0, u_1 \in \mathcal{U}(\cup_n \mathcal{U}_n(A^\dagger))$ . Then  $u_0 \sim u_1$  if and only if there are unitaries  $u_t \in \cup_n M_n((SA)^\dagger)$  such that for each  $s \in [0, 1]$ ,  $t \mapsto u_t(s)$  is a homotopy between  $u_0 = u_0(s)$  and  $u_1 = u_1(s)$ .

**Definition 2.19** Let  $n \in \mathbb{N}$ . Define the  **$n$ th  $K$ -group of  $A$**  by  $K_n(A) := K_0(S^n A)$ . In particular  $K_1(A) := K_0(SA)$ .

**Exercise 2.46** Show that  $SM_n(A) \cong M_n(SA)$ .

**Exercise 2.47** Let  $A$  and  $B$  be two  $C^*$ -algebras and let  $\phi : A \rightarrow B$  be a  $*$ -homomorphism. Define a map  $\tilde{\phi} : SA \rightarrow SB$  by

$$\tilde{\phi}f(t) = \phi(f(t)), \quad t \in [0, 1].$$

Show that  $\tilde{\phi}$  is a  $*$ -homomorphism from  $SA$  to  $SB$ .

(we will normally denote this map  $\tilde{\phi}$  by  $S(\phi)$  or  $\phi_s$ )

**Exercise 2.48** Let

$$0 \longrightarrow J \xrightarrow{\phi} A \xrightarrow{\pi} A/J \longrightarrow 0$$

be a short exact sequence of  $C^*$ -algebras. Then the sequence

$$0 \longrightarrow SJ \xrightarrow{S(\phi)} SA \xrightarrow{S(\pi)} S(A/J) \longrightarrow 0$$

is exact.

If the sequence

$$0 \longrightarrow J \longrightarrow A \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{\lambda} \end{array} A/J \longrightarrow 0$$

is split exact, then so is the sequence

$$0 \longrightarrow SJ \longrightarrow SA \begin{array}{c} \xrightarrow{\pi_s} \\ \xleftarrow{\lambda_s} \end{array} S(A/J) \longrightarrow 0.$$

**Exercise 2.49** Let  $A$  and  $B$  be  $C^*$ -algebras. Show that  $S(A \oplus B) = SA \oplus SB$ .

**Exercise 2.50** Let  $B$  be a  $C^*$ -algebra. Show that  $S(\mathcal{K} \otimes B) \cong \mathcal{K} \otimes SB$ .

**Proposition 2.20** *Let  $A$  and  $B$  be two  $C^*$ -algebras. Then*

1.  $K_1(M_n(A)) = K_1(A)$ ,
2.  $K_1(A \oplus B) = K_1(A) \oplus K_1(B)$ ,
3.  $K_1(\mathcal{K} \otimes A) = K_1(A)$ ,
4.  $K_1$  is half exact and carries split exact sequences to split exact sequences.



We have the following split exact sequences:

$$0 \longrightarrow A \longrightarrow A^\dagger \begin{array}{c} \xrightarrow{\pi} \\ \xleftarrow{\lambda} \end{array} \mathbb{C} \longrightarrow 0$$

$$0 \longrightarrow SA \longrightarrow (SA)^\dagger \begin{array}{c} \xrightarrow{\pi_s} \\ \xleftarrow{\lambda_s} \end{array} \mathbb{C} \longrightarrow 0.$$

Recall that

$$\begin{aligned} (SA)^\dagger &= \{f \in C([0, 1], A^\dagger) : f(0) = f(1) = \lambda \in \mathbb{C}, \pi(f(t)) = \lambda \text{ for all } t\}, \\ M_n((SA)^\dagger) &= \{f \in C([0, 1], M_n(A^\dagger)) : f(0) = f(1) = \lambda \in M_n(\mathbb{C}), \pi(f(t)) = \lambda \text{ for all } t\}, \\ Proj(M_n((SA)^\dagger)) &= \{f \in C([0, 1], M_n(A^\dagger)) : f(0) = f(1) = \lambda \in M_n(\mathbb{C}), \pi(f(t)) = \lambda \text{ for all } t, \\ &\quad \text{each } f(t) \text{ is a projection}\}, \\ K_0((SA)^\dagger) &= \{[p] - [q] : p, q \in \cup_n Proj(M_n((SA)^\dagger))\} \\ &= \cup_n \{[p] - [q] : p, q \in Proj(M_n((SA)^\dagger))\}. \end{aligned}$$

If  $[p] - [q] \in \ker K_0(\pi_s)$ , then  $[\pi_s(p)] - [\pi_s(q)] = 0$ , i.e.  $[p(0)] - [q(0)] = 0$ . But this equality takes place in the Grothendieck group of  $V(\mathbb{C}) = \mathbb{N}$  where cancellation holds. So  $p(0) \sim q(0)$ . So there is a unitary  $u \in M_{2n}(\mathbb{C})$  such that

$$u \begin{pmatrix} p(0) & 0 \\ 0 & 0 \end{pmatrix} u^* = \begin{pmatrix} q(0) & 0 \\ 0 & 0 \end{pmatrix}.$$

Define

$$p'(t) = \begin{pmatrix} p(t) & 0 \\ 0 & 0 \end{pmatrix}, \quad q'(t) = \begin{pmatrix} q(t) & 0 \\ 0 & 0 \end{pmatrix}, \quad u(t) = u.$$

Then  $p', q' \in Proj(M_{2n}((SA)^\dagger))$ ,  $u$  is unitary in  $M_{2n}((SA)^\dagger)$ . So  $p \sim p' \sim up'u^*$  and  $q \sim q'$ . Therefore  $[p] - [q] = [up'u^*] - [q']$  and

$$up'u^*(0) = u \begin{pmatrix} p(0) & 0 \\ 0 & 0 \end{pmatrix} u^* = q'(0).$$

Thus

$$K_0(SA) \subseteq \cup_n \{[p] - [q] : p, q \in Proj(M_n((SA)^\dagger)), p(0) = q(0)\}.$$

The opposite inclusion is clear. So we have

$$K_0(SA) = \cup_n \{[p] - [q] : p, q \in Proj(M_n((SA)^\dagger)), p(0) = q(0)\}.$$

### 2.3.2 Homotopies of unitaries and invertibles

Define

$$GL_n^\dagger(A) = \{a \in GL_n(A^\dagger) : \pi(a) = 1_n\}, \quad U_n^\dagger(A) = \{a \in U_n(A^\dagger) : \pi(a) = 1_n\}$$

**Exercise 2.51** Show that

1. if  $A$  is unital, then  $GL_n^\dagger(A) = \{a \oplus 1_n : a \in GL_n(A)\}$ ,
2.  $z \in GL_n(A^\dagger)$  implies  $z\pi(z^{-1}) \in GL_n^\dagger(A)$ ,
3.  $u \in U_n(A^\dagger)$  implies  $u\pi(u^*) \in U_n^\dagger(A)$ .

**Exercise 2.52** Let  $A$  be unital and let  $x \in GL_n(A)$ ,  $y \in M_n(A)$  satisfy

$$\|x - y\| < \frac{1}{\|x^{-1}\|}.$$

Then the path  $t \mapsto tx + (1 - t)y$ ,  $t \in [0, 1]$  lies in  $GL_n(A)$ .

Show that every path component of  $GL_n(A)$  is open, so that every connected component coincides with a path component.

**Exercise 2.53** Let  $A$  be a unital  $C^*$ -algebra and  $u$  be a unitary in  $A$  with  $\sigma(u) \neq S^1$ . Then there a continuous path of unitaries in  $A$  connecting  $u$  to the identity. (Hint: Get a self-adjoint element  $a \in A$  such that  $u = \exp(ia)$ )

**Exercise 2.54** Show that any unitary in  $M_n(\mathbb{C})$  can be connected to the identity through a continuous path of unitaries.

**Lemma 2.21** Let  $z \in GL_1(A)$ . Then  $u = z|z|^{-1} \in \mathcal{U}(A)$  and  $u \sim_h z$ .

*Proof:* Let  $z_t = u \exp(t \log |z|)$ . This gives a homotopy between  $u$  and  $z$ . □

**Lemma 2.22** Let  $u, v \in \mathcal{U}(A)$  with  $\|u - v\| < 2$ . Then  $u \sim_h v$ .

*Proof:* Since  $\|u - v\| < 2$ , we have  $\|uv^* - 1\| < 2$ , so that  $\sigma(uv^*) \subseteq S^1 - \{-1\}$ . Therefore  $uv^* \sim_h 1$ , which implies that  $u \sim_h v$ . □

**Proposition 2.23** Let  $A$  be a unital  $C^*$ -algebra and let  $u \in A$  be a unitary. Then

1.  $\begin{pmatrix} u & 0 \\ 0 & 1 \end{pmatrix} \sim_h \begin{pmatrix} 1 & 0 \\ 0 & u \end{pmatrix}$ ,
2.  $\begin{pmatrix} u & 0 \\ 0 & v \end{pmatrix} \sim_h \begin{pmatrix} uv & 0 \\ 0 & 1 \end{pmatrix} \sim_h \begin{pmatrix} vu & 0 \\ 0 & 1 \end{pmatrix}$ ,
3.  $\begin{pmatrix} u & 0 \\ 0 & u^* \end{pmatrix} \sim_h \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

*Proof:* Define

$$V(t) = \begin{pmatrix} \cos(\frac{\pi}{2}t) & \sin(\frac{\pi}{2}t) \\ -\sin(\frac{\pi}{2}t) & \cos(\frac{\pi}{2}t) \end{pmatrix}, \quad t \in [0, 1].$$

Then  $u_t : t \mapsto V(t) \begin{pmatrix} u & 0 \\ 0 & 1 \end{pmatrix} V(t)^*$  gives a homotopy from  $\begin{pmatrix} u & 0 \\ 0 & 1 \end{pmatrix}$  to  $\begin{pmatrix} 1 & 0 \\ 0 & u \end{pmatrix}$ .

The other two parts are immediate corollaries of part 1. □

**Remark 2.24** If  $u$  and  $v$  are in  $A^\dagger$  with  $s(u) = 1 = s(v)$  in the above proposition, then the homotopies  $u_t$  etc constructed are such that  $u_t \in M_2(A^\dagger)$  and  $s(u_t) = 1$  for all  $t$ .

**Proposition 2.25**  $GL_n^\dagger(A)/GL_n^\dagger(A)_0 \cong U_n^\dagger(A)/U_n^\dagger(A)_0$ .

*Proof:* Let  $\pi_1$  and  $\pi_2$  be the quotient maps from  $GL_n^\dagger(A)$  to  $GL_n^\dagger(A)/GL_n^\dagger(A)_0$  and from  $U_n^\dagger(A)$  to  $U_n^\dagger(A)/U_n^\dagger(A)_0$  respectively. Define  $\phi : GL_n^\dagger(A) \rightarrow U_n^\dagger(A)/U_n^\dagger(A)_0$  by

$$\phi(z) = \pi_2(z|z|^{-1}).$$

Clearly  $\phi$  is surjective.

**Exercise 2.55** If  $x_t$  is a homotopy between  $z$  and  $w$ , then  $t \mapsto x_t|x_t|^{-1}$  gives a homotopy between  $z|z|^{-1}$  and  $w|w|^{-1}$ .

Thus  $\phi$  lifts to a map  $\tilde{\phi}$  from  $GL_n^\dagger(A)/GL_n^\dagger(A)_0$  to  $U_n^\dagger(A)/U_n^\dagger(A)_0$ .

**Exercise 2.56** Show that  $\tilde{\phi}$  is injective and is a group homomorphism.

This completes the proof! □

**Proposition 2.26**  $GL_n(A^\dagger)/GL_n(A^\dagger)_0 \cong GL_n^\dagger(A)/GL_n^\dagger(A)_0$ .

*Proof:* Use the map  $z \mapsto z\pi(z^{-1})$  ( $\pi$  is the projection  $GL_n(A^\dagger) \rightarrow GL_n(\mathbb{C})$ ). □

**Proposition 2.27**  $U_n(A^\dagger)/U_n(A^\dagger)_0 \cong U_n^\dagger(A)/U_n^\dagger(A)_0$ .

*Proof:* Use the map  $u \mapsto u\pi(u^*)$  from  $U_n(A^\dagger)$  to  $U_n^\dagger(A)$  ( $\pi$  is the projection  $U_n(A^\dagger) \rightarrow U_n(\mathbb{C})$ ). □

Let us now define the group  $\tilde{K}_1(A)$ . Take the disjoint union  $\sqcup_n U_n^\dagger(A)$ . Suppose  $u \in U_n^\dagger(A)$  and  $v \in U_k^\dagger(A)$ . Declare them to be equivalent ( $u \sim v$ ) if there are integers  $r, s \in \mathbb{N}$  such that  $n + r = k + s$  and

$$\begin{pmatrix} u & 0 \\ 0 & 1_r \end{pmatrix} \sim_h \begin{pmatrix} v & 0 \\ 0 & 1_s \end{pmatrix}$$

in  $U_{n+r}^\dagger(A)$ . On the quotient  $\sqcup_n U_n^\dagger(A)/\sim$ , define

$$[u] + [v] := \left[ \begin{pmatrix} u & 0 \\ 0 & v \end{pmatrix} \right].$$

This turns it into an abelian group which we denote by  $\tilde{K}_1(A)$ .

**Proposition 2.28**  $\tilde{K}_1(A) = \lim U_n^\dagger(A)/U_n^\dagger(A)_0 = \lim GL_n^\dagger(A)/GL_n^\dagger(A)_0 = \lim U_n(A^\dagger)/U_n(A^\dagger)_0 = \lim GL_n(A^\dagger)/GL_n(A^\dagger)_0$ .

### 2.3.3 Equivalence of the two pictures

**Theorem 2.29** *Let  $A$  be a  $C^*$ -algebra. Then  $\tilde{K}_1(A) \cong K_0(SA)$ .*

*Proof:* Let us first define a map  $\phi : \tilde{K}_1(A) \rightarrow K_0(S(A))$ .

Take  $v \in \mathcal{U}(M_n(A^\dagger))$  with  $s(v) = 1_n$ . Let  $u(t)$  be a path of unitaries such that

$$u(0) = \begin{pmatrix} v & 0 \\ 0 & v^* \end{pmatrix}, \quad u(1) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad s(u(t)) = 1_{2n}, \quad t \in [0, 1].$$

Next let

$$p(t) = u(t) \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} u(t)^*, \quad q(t) = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}.$$

**Exercise 2.57** Show that  $[p] - [q]$  gives an element of  $K_0(SA)$ .

(  $s(p(t)) = 1_n$ , i.e.  $p(t) - 1_n \in M_{2n}(A)$  for all  $t$ . This means  $t \mapsto p_{ij}(t) \in (SA)^\dagger$ , which in turn implies that  $p \in M_{2n}((SA)^\dagger)$ . Thus  $[p] - [q] \in K_0((SA)^\dagger)$ . Since  $p(t) - 1_n \in M_{2n}(A)$  for all  $t$  and  $p(0) - 1_n = 0 = p(1) - 1_n$ , it follows that  $p - q \in SM_{2n}(A) = M_{2n}(SA)$ . Thus  $\pi(p) = \pi(q)$  so that  $[p] - [q] \in K_0(SA)$ .)

**Exercise 2.58** If  $v'$  is a unitary homotopic to  $v$ ,  $u'$  is a homotopy of unitaries connecting  $\begin{pmatrix} v' & 0 \\ 0 & v'^* \end{pmatrix}$  and

$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  and  $p' = u'(t) \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} u'(t)^*$ , then show that  $[p] - [q] = [p'] - [q]$ .

(Let  $t \mapsto w_t$  be a homotopy from  $v$  to  $v'$ . Define

$$z(t) = u(t) \begin{pmatrix} v^* w_t & 0 \\ 0 & v w_t^* \end{pmatrix} u(t)^*.$$

Now show that  $z \in \mathcal{U}_{2n}^\dagger(SA)$  and  $z p' z^* = p$ .)

**Exercise 2.59** Let  $v' = \begin{pmatrix} v & 0 \\ 0 & 1_m \end{pmatrix}$ ,  $u'$  is a homotopy of unitaries connecting  $\begin{pmatrix} v' & 0 \\ 0 & v'^* \end{pmatrix}$  and  $1_{2m+2n}$  and  $p' = u'(t) \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} u'(t)^*$ . Show that  $[p] - [q] = [p'] - [q]$ .

Define  $\phi([v]) = [p] - [q]$ . We will show that it is an isomorphism.

COMPLETE THE PROOF. □

**Remark 2.30** The inverse map  $\psi : K_0(S(A)) \rightarrow \tilde{K}_1(A)$  is given as follows.

Take a  $p \in Proj(M_n(S(A)^\dagger))$ . Then  $p$  can be viewed as a projection valued map on  $[0, 1]$  such that  $p(0) = p(1) \in M_n(\mathbb{C})$ . Assume that  $p(0) = p(1) = \begin{pmatrix} 1_m & 0 \\ 0 & 0 \end{pmatrix}$ . Now there is a path of unitaries  $u(t)$  with  $u(1) = 1$  such that  $p(t) = u(t)p(1)u(t)^*$ . Since  $p(0) = p(1) = \begin{pmatrix} 1_m & 0 \\ 0 & 0 \end{pmatrix}$ , it follows that  $u(0)$  is of the form  $\begin{pmatrix} v & 0 \\ 0 & w \end{pmatrix}$ . Define  $\psi([p]) = [v]$ .

**Exercise 2.60** Show the following:

1.  $K_1(\mathbb{C}) = 0$ , 2.  $K_1(\mathcal{L}(L_2(\mathbb{N}))) = 0$ , 3.  $K_1(Q(L_2(\mathbb{N}))) = \mathbb{Z}$ , 4.  $K_0(C(S^1)) = \mathbb{Z}$ .

**Exercise 2.61** Let  $(A_i, \phi_{jk})$  be an inductive system of  $C^*$ -algebras. Show that

$$K_1(\lim(A_i, \phi_{jk})) = \lim(K_1(A_i), K_1(\phi_{jk})).$$

### 3 Computational tools

#### 3.1 Six term exact sequence

##### 3.1.1 Lifting of homotopies

**Proposition 3.1** *Suppose we have a short exact sequence*

$$0 \longrightarrow J \longrightarrow A \xrightarrow{\pi} A/J \longrightarrow 0$$

*If  $u_t$  is a path of unitaries in  $A/J$  and  $v_0$  is a unitary in  $A$  such that  $\pi(v_0) = u_0$ , then there is a continuous path of unitaries  $v_t$  in  $A$  such that  $\pi(v_t) = u_t$  for  $t \in [0, 1]$ .*

*Proof:* For each  $t \in [0, 1]$ , there is an open interval  $N(t)$  around  $t$  such that  $\|u_s - u_{s'}\| < 2$  for all  $s, s' \in N(t)$ . By compactness of  $[0, 1]$ , there are  $t_1, \dots, t_k$  such that  $[0, 1] \subseteq \cup N(t_i)$ . It is now enough to prove that a lifting exists on each  $N(t_i)$ . In other words, without loss in generality we can assume that  $\|u_t - u_s\| < 2$  for all  $t, s$ .

Since  $\|u_0^* u_t - 1\| < 2$ , the spectrum  $\sigma(u_0^* u_t)$  does not contain the point  $-1$  for all  $t$ . So there is a continuous path of self adjoint elements  $x_t$  such that  $\exp(ix_t) = u_0^* u_t$ .

**Exercise 3.1** Show that  $x_t$  admits a lift to a continuous path  $y_t$  of self adjoint elements in  $A$ .

Define  $v_t = v_0 \exp(iy_t)$ . Then  $v_t$  gives a required lifting. □

**Exercise 3.2** If  $p_t$  is a path of projections in  $A/J$  and  $q_0$  is a projection in  $A$  such that  $\pi(q_0) = p_0$ , then there is a continuous path of unitaries  $q_t$  in  $A$  such that  $\pi(q_t) = p_t$  for  $t \in [0, 1]$ .

##### 3.1.2 Fredholm operators

Let  $\pi$  denote the projection map from  $\mathcal{L}(\mathcal{H})$  onto  $Q(\mathcal{H}) = \mathcal{L}(\mathcal{H})/\mathcal{K}(\mathcal{H})$ . An operator  $T \in \mathcal{L}(\mathcal{H})$  is called **Fredholm** if  $\ker T$  and  $\text{coker } T$  are finite dimensional. If  $T$  is a Fredholm operator, then the range of  $T$  is closed.

1. **Theorem (Atkinson):**  $T$  is Fredholm if and only if  $\pi(T)$  is invertible in  $Q(\mathcal{H})$ .
2. Define  $\text{index}(T) := \dim \ker T - \dim \ker T^*$ . If  $S$  and  $T$  are both Fredholm, then  $ST$  and  $T^*$  are also Fredholm and one has  $\text{index}(ST) = \text{index}(S) + \text{index}(T)$  and  $\text{index}(T^*) = -\text{index}(T)$ .
3. If  $T$  is Fredholm and  $K$  is compact, then  $T+K$  is Fredholm and  $\text{index}(T+K) = \text{index}(T)$ .
4. If  $T$  is Fredholm and  $\text{index}(T) = 0$ , then there is a finite rank operator  $F$  such that  $T+F$  is invertible.
5. The map  $T \mapsto \text{index}(T)$  is continuous.

6.  $\text{index}(T) = \text{index}(S)$  if and only if  $S$  and  $T$  are homotopic.

**Exercise 3.3** Use the fact that  $\mathcal{L}(\mathcal{H})$  and  $Q(\mathcal{H})$  are properly infinite  $C^*$ -algebras to show that  $K_1(Q(\mathcal{H})) = \{[T] : T \text{ Fredholm}\}$ , where  $[T]$  stands for the homotopy class for  $T$ .

Use the above facts to show that  $\text{index} : K_1(Q(\mathcal{H})) \rightarrow \mathbb{Z}$  is a group isomorphism.

We will next see that the above map ( $T \mapsto \text{index}(T)$ ) can be looked upon as a map from  $K_1(Q(\mathcal{H}))$  to  $K_0(\mathcal{K}(\mathcal{H}))$ .

Take an operator  $T \in \mathcal{L}(\mathcal{H})$ ,  $T$  Fredholm. Then  $z := \pi(T)$  is invertible in  $Q(\mathcal{H})$ . Let  $T = V|T|$  and  $\pi(T) = u|z|$  be the polar decompositions of  $T$  and  $z$  respectively. Then  $\pi(V) = u$ , i.e.  $V$  is a lift of  $u$  in  $\mathcal{L}(\mathcal{H})$ . Now

$$\text{range } V = \text{range } T = (\ker T^*)^\perp, \quad \ker V = (\text{range } |T|)^\perp = \ker |T| = \ker T.$$

Therefore  $1 - VV^*$  is the projection onto  $\ker T^*$  and  $1 - V^*V$  is the projection onto  $\ker T$ . For  $p \in \text{Proj}(\mathcal{K}(\mathcal{H}))$ ,  $p \mapsto \dim p$  gives the natural inclusion of  $V(\mathcal{K}(\mathcal{H}))$  in  $K_0(\mathcal{K}(\mathcal{H})) = \mathbb{Z}$ . Thus the number  $\dim \ker T - \dim \ker T^*$  corresponds to the element  $[1 - V^*V] - [1 - VV^*]$  in  $K_0(\mathcal{K}(\mathcal{H}))$ .

### 3.1.3 The index map

Suppose we have a short exact sequence

$$0 \longrightarrow J \xrightarrow{\phi} A \xrightarrow{\pi} A/J \longrightarrow 0.$$

We have already seen that in such a case one has the following two exact sequences:

$$K_0(J) \xrightarrow{K_0(\phi)} K_0(A) \xrightarrow{K_0(\pi)} K_0(A/J)$$

$$K_1(A/J) \xleftarrow{K_1(\pi)} K_1(A) \xleftarrow{K_1(\phi)} K_1(J)$$

We will now define a map  $\partial : K_1(A/J) \rightarrow K_0(J)$  such that the following sequence is exact:

$$\begin{array}{ccccc} K_0(J) & \xrightarrow{K_0(\phi)} & K_0(A) & \xrightarrow{K_0(\pi)} & K_0(A/J) \\ \partial \uparrow & & & & \\ K_1(A/J) & \xleftarrow{K_1(\pi)} & K_1(A) & \xleftarrow{K_1(\phi)} & K_1(J) \end{array} \quad (3.1)$$

For a  $C^*$ -algebra  $A$ , define the **cone over**  $A$  to be the  $C^*$ -algebra  $\text{Cone}(A) := \{f \in C([0, 1], A) : f(0) = 0\}$ .

**Exercise 3.4** Show that  $\text{Cone}(A)$  is contractive and hence  $K_0(\text{Cone}(A)) = 0$ .

The **mapping cone**  $Cone(A, A/J)$  of  $\pi$  is the  $C^*$ -algebra

$$\{(a, f) : a \in A, f \in C([0, 1], A/J), f(1) = 0, f(0) = \pi(a)\}.$$

**Theorem 3.2** Let  $\phi : J \rightarrow Cone(A, A/J)$  be given by  $\phi(a) = (a, 0)$ . Then  $K_0(\phi)$  gives an isomorphism between  $K_0(J)$  and  $K_0(Cone(A, A/J))$ .

*Proof:* Let  $\phi : J \rightarrow Cone(A, A/J)$  be given by  $\phi(a) = (a, 0)$ . Then we have a short exact sequence

$$0 \longrightarrow J \xrightarrow{\phi} Cone(A, A/J) \longrightarrow Cone(A/J) \longrightarrow 0.$$

Therefore

$$K_0(J) \xrightarrow{K_0(\phi)} K_0(Cone(A, A/J)) \longrightarrow K_0(Cone(A/J))$$

is exact in the middle. But  $Cone(A/J)$  is contractible, so that  $K_0(Cone(A/J)) = 0$ . So  $K_0(\phi)$  is onto.

Next, let  $B = \{f \in C([0, 1], A) : f(1) \in J\}$ .

**Exercise 3.5** Let  $\theta_1 : J \rightarrow B$  be given by  $\theta_1(a) =$  the map  $t \mapsto a$  and  $\theta_2 : B \rightarrow J$  be given by  $\theta_2(f) = f(1)$ . Show that these give homotopy equivalence between  $J$  and  $B$ .

**Exercise 3.6** Show that there is a short exact sequence

$$0 \longrightarrow C_0((0, 1], J) \longrightarrow B \xrightarrow{\psi} Cone(A, A/J) \longrightarrow 0.$$

Since  $K_0(C_0((0, 1], J)) = 0$ , by half exactness,  $K_0(\psi)$  is injective. Since the diagram

$$\begin{array}{ccc} B & \xrightarrow{\psi} & Cone(A, A/J) \\ \uparrow \theta_1 & \nearrow \phi & \\ A & & \end{array}$$

commutes, we have  $K_0(\phi) = K_0(\psi) \circ K_0(\theta_1)$ . But  $K_0(\theta_1)$  is an isomorphism. So  $K_0(\phi)$  is injective.  $\square$

**Exercise 3.7** Show that the map  $(a, f) \mapsto a$  gives rise to a short exact sequence

$$0 \longrightarrow S(A/J) \longrightarrow Cone(A, A/J) \longrightarrow A \longrightarrow 0.$$

By half-exactness of  $K_0$ , the sequence

$$K_0(S(A/J)) \longrightarrow K_0(Cone(A, A/J)) \longrightarrow K_0(A)$$

is exact in the middle. View the map on the left as a map  $\partial$  from  $K_1(A/J)$  to  $K_0(J)$ . This is called the **index map** for the short exact sequence

$$0 \longrightarrow J \longrightarrow A \xrightarrow{\pi} A/J \longrightarrow 0.$$

**Exercise 3.8** Show that the map  $(a, f) \mapsto a$  gives rise to a short exact sequence

$$0 \longrightarrow SA \longrightarrow \text{Cone}(\text{Cone}(A, A/J), A) \longrightarrow \text{Cone}(A, A/J) \longrightarrow 0.$$

Again by half exactness,

$$K_0(SA) \longrightarrow K_0(\text{Cone}(\text{Cone}(A, A/J), A)) \longrightarrow K_0(\text{Cone}(A, A/J))$$

is exact in the middle. But  $K_0(\text{Cone}(A, A/J)) = K_0(J)$  and  $K_0(\text{Cone}(\text{Cone}(A, A/J), A)) = K_0(S(A/J)) = K_1(A/J)$ . Thus we have a sequence

$$K_0(SA) \longrightarrow K_1(A/J) \longrightarrow K_0(J)$$

that is exact at  $K_1(A/J)$ .

**Exercise 3.9** Verify that the map on the left is  $K_1(\pi)$  and the one on the right is  $\partial$ .

Thus the sequence

$$\begin{array}{ccccc} K_0(J) & \xrightarrow{K_0(\phi)} & K_0(A) & \xrightarrow{K_0(\pi)} & K_0(A/J) \\ \partial \uparrow & & & & \\ K_1(A/J) & \xleftarrow{K_1(\pi)} & K_1(A) & \xleftarrow{K_1(\phi)} & K_1(J) \end{array}$$

is exact and repeating the procedure we get the following long exact sequence

$$\longrightarrow K_{n+1}(J) \longrightarrow K_{n+1}(A) \longrightarrow K_{n+1}(A/J) \xrightarrow{\partial_{n+1}} K_n(J) \longrightarrow K_n(A) \longrightarrow K_n(A/J) \longrightarrow$$

where  $\partial_{n+1}$  is the index map for the exact sequence

$$0 \longrightarrow S^n J \xrightarrow{\phi} S^n A \xrightarrow{\pi} S^n A/S^n J \longrightarrow 0.$$

### 3.1.4 Computation of the index map

Assume that  $A$  is unital. We will derive a computable formula for the index map now.

Let  $p \in \text{Proj}(M_n((S(A/J))^\dagger))$ . Then  $p(0) \in \text{Proj}M_n(\mathbb{C})$  so that it admits a lift to a projection  $P$  in  $\text{Proj}M_n(A^\dagger)$ . Since  $\pi(P) = p(0) \in M_n(\mathbb{C})$ , we have  $s \circ \pi(P) = \pi(P)$ . Therefore one has  $P \in \text{Proj}M_n(J^\dagger) \subseteq \text{Proj}M_n(A^\dagger)$ . By lifting property of homotopy of projections, there is a path  $P(t)$  of projections in  $M_n(A^\dagger)$  with  $P(0) = P$ .

**Lemma 3.3** Suppose  $P(t)$  and  $P'(t)$  are two such liftings, so that  $P(0) = P'(0) = P$ . Then  $P(1)$  and  $P'(1)$  are unitarily equivalent in  $M_n(J^\dagger)$ .

*Proof:* Exercise!

**Exercise 3.10** Show that  $[P(1)] - [P(0)] \in K_0(J)$ .



**Proposition 3.4** Let  $p, q \in \text{Proj}M_n((S(A/J))^\dagger)$  with  $p(0) = q(0)$ , let  $P \in \text{Proj}M_n(A^\dagger)$  be a lifting of  $p(0)$  and let  $P(t)$  and  $Q(t)$  be the liftings of  $p$  and  $q$  respectively with  $P(0) = Q(0) = P$ . Then

$$\partial([p] - [q]) = ([P(1)] - [P(0)]) - ([Q(1)] - [Q(0)]). \quad (3.2)$$

*Proof:*

**Exercise 3.11** Assuming  $A$  is unital, show that

$$\text{Cone}(A, A/J)^\dagger = \{(a, f) : a \in A, f \in C([0, 1], A/J), f(0) = \pi(a), f(1) \in \mathbb{C}\}.$$

Recall that we have an exact sequence

$$0 \longrightarrow S(A/J) \xrightarrow{\phi} \text{Cone}(A, A/J) \longrightarrow A \longrightarrow 0,$$

and the index map  $\partial$  is the map  $K_0(\phi)$ . Therefore

$$\partial([p] - [q]) = K_0(\phi)([p] - [q]) = [(P(0), p)] - [(Q(0), q)].$$

On the other hand, we have the inclusion  $\psi : J \rightarrow \text{Cone}(A, A/J)$  given by  $\psi(a) = (a, 0)$ .  $K_0(\psi)$  gives an isomorphism from  $K_0(J)$  to  $K_0(\text{Cone}(A, A/J))$  and we have to check that the image under  $K_0(\psi)$  of the right hand side coincides with the above.

**Exercise 3.12** Show that the unique extension  $\psi^\dagger : J^\dagger \rightarrow \text{Cone}(A, A/J)^\dagger$  of  $\psi$  is given by

$$\psi^\dagger(a) = (a, s(a)),$$

where  $s(a)$  is the constant loop  $t \mapsto s(a)$ .

Now,

$$K_0(\psi)(([P(1)] - [P(0)]) - ([Q(1)] - [Q(0)])) = [(P(1), p(1))] - [(Q(1), q(1))].$$

Therefore it is enough to show that

$$(P(0), p) \sim_h (P(1), p(1)) \quad \text{in } \text{Cone}(A, A/J)^\dagger.$$

For this, take the homotopy  $\tilde{P}(t) = (P(1-t), p_t)$ , where  $p_t(s) = p(1-t(1-s))$ . □

**Exercise 3.13** Let  $u$  be a unitary element of  $M_n((A/J)^\dagger)$ . Show that there is an  $a \in M_n(A^\dagger)$  such that  $\|a\| = 1$  and  $\pi(a) = u$ .

**Exercise 3.14** Show that  $w := \begin{pmatrix} a & -(1-aa^*)^{\frac{1}{2}} \\ (1-a^*a)^{\frac{1}{2}} & a^* \end{pmatrix}$  is unitary and  $\pi(w) = \begin{pmatrix} u & 0 \\ 0 & u^* \end{pmatrix}$ .

Let  $a(t) = ta + 1 - t$ ,  $t \in [0, 1]$ . Then

$$w(t) := \begin{pmatrix} a(t) & -(1 - a(t)a(t)^*)^{\frac{1}{2}} \\ (1 - a(t)^*a(t))^{\frac{1}{2}} & a(t)^* \end{pmatrix}$$

is a path of unitaries that connect  $w$  to  $1_{2n}$ . Write  $v(t) = \pi(w(t))$ . Define  $p(t) = v(t)1_nv(t)^*$  and  $q(t) = 1_n$ . Then  $p, q \in M_{2n}((S(A/J))^\dagger)$  and  $p(0) = q(0) = 1_n$ . Therefore  $[p] - [q]$  gives the element of  $K_0(S(A/J))$  corresponding to the element  $[u]$  in  $K_1(A/J)$ .

Since  $w(t)1_nv(t)^*$  is a lifting of  $p$  with  $w(0)1_nv(0)^* = 1_n$  and the constant loop  $t \mapsto 1_n$  is a lifting of  $q$ , by the previous proposition, we have

$$\partial([u]) = \partial([p] - [q]) = [w(1)1_nv(1)^*] - [1_n] = \left[ \begin{pmatrix} aa^* & a(1 - a^*a)^{\frac{1}{2}} \\ (1 - a^*a)^{\frac{1}{2}}a^* & 1 - a^*a \end{pmatrix} \right] - [1_n]. \quad (3.3)$$

If  $a$  happens to be a partial isometry so that  $a(1 - a^*a)^{\frac{1}{2}} = 0$ , then

$$\partial([u]) = \left[ \begin{pmatrix} aa^* & 0 \\ 0 & 1 - a^*a \end{pmatrix} \right] - [1_n] = [1 - a^*a] - [1 - aa^*]. \quad (3.4)$$

### 3.1.5 Bott periodicity

**Toeplitz algebra.** Let  $S$  be the unilateral shift  $e_n \mapsto e_{n+1}$  in  $L_2(\mathbb{N})$ . The  $C^*$ -subalgebra  $\mathcal{T}$  of  $\mathcal{L}(L_2(\mathbb{N}))$  generated by the operator  $S$  is called the Toeplitz algebra.

**Exercise 3.15** Show that

1.  $\mathcal{K} \subseteq \mathcal{T}$ ,
2. if  $\pi$  is the projection of  $\mathcal{T}$  onto  $\mathcal{T}/\mathcal{K}$ , then the element  $\pi(S)$  is a unitary in  $\mathcal{T}/\mathcal{K}$  and has spectrum  $S^1$ , so that there is a short exact sequence

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{T} \xrightarrow{\pi} C(S^1) \longrightarrow 0.$$

3. if  $\phi : \mathcal{T} \rightarrow \mathbb{C}$  is the morphism given by  $\phi = ev_1 \circ \pi$  ( $ev_1$  is evaluation at 1) so that  $\phi(S) = 1$ , then  $\mathcal{T}_0 := \ker \phi$  is the  $C^*$ -subalgebra of  $\mathcal{T}$  generated by the operator  $1 - S$ , and one has the following split exact sequence

$$0 \longrightarrow \mathcal{T}_0 \longrightarrow \mathcal{T} \begin{array}{c} \xrightarrow{\pi_0} \\ \xleftarrow{j} \end{array} \mathbb{C} \longrightarrow 0,$$

where  $j$  is the map  $t \mapsto t \cdot 1$ .

4. there is a short exact sequence

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{T}_0 \xrightarrow{\pi} C_0(\mathbb{R}) \longrightarrow 0.$$

**Theorem 3.5** *Let  $\mathcal{T}$  be the Toeplitz algebra. Then there exists a canonical surjection  $\pi_0 : \mathcal{T} \rightarrow \mathbb{C}$  such that  $K_0(\pi_0)$  gives an isomorphism between  $K_0(\mathcal{T})$  and  $K_0(\mathbb{C}) = \mathbb{Z}$ .*

*Proof:* From split exactness of the sequence

$$0 \longrightarrow \mathcal{T}_0 \longrightarrow \mathcal{T} \begin{array}{c} \xrightarrow{\pi_0} \\ \xleftarrow{j} \end{array} \mathbb{C} \longrightarrow 0.$$

we conclude that the sequence

$$0 \longrightarrow K_0(\mathcal{T}_0) \longrightarrow K_0(\mathcal{T}) \begin{array}{c} \xrightarrow{K_0(\pi_0)} \\ \xleftarrow{K_0(j)} \end{array} \mathbb{Z} \longrightarrow 0$$

is split exact, so that  $K_0(\pi_0) \circ K_0(j) = id$ . We will now show that  $K_0(j) \circ K_0(\pi_0) = id_{K_0(\mathcal{T})}$ .

**Exercise 3.16** Let  $\sigma : \mathcal{T} \rightarrow \mathcal{K} \otimes \mathcal{T}$  be the embedding  $a \mapsto (I - SS^*) \otimes a$ . Show that  $K_0(\sigma)$  is an isomorphism.

since  $K_0(\sigma)$  is an isomorphism, it is enough to show that

$$K_0(\sigma) \circ K_0(j) \circ K_0(\pi_0) = K_0(\sigma).$$

Let  $\mathcal{T}'$  be the  $C^*$ -subalgebra of  $\mathcal{T} \otimes \mathcal{T}$  generated by  $\mathcal{K} \otimes \mathcal{T}$  and  $\mathcal{T} \otimes 1$ .

**Exercise 3.17** Show that  $\mathcal{K} \otimes \mathcal{T}$  is an ideal in  $\mathcal{T}'$  and  $\mathcal{T}'/(\mathcal{K} \otimes \mathcal{T}) \cong C(S^1)$ .

Denote by  $\pi'$  the projection of  $\mathcal{T}'$  onto  $C(S^1)$ . Let  $\widetilde{\mathcal{T}}$  be the join of  $\mathcal{T}'$  and  $\mathcal{T}$  along  $C(S^1)$ , i.e.

$$\widetilde{\mathcal{T}} = \{a \oplus b \in \mathcal{T}' \oplus \mathcal{T} : \pi'(a) = \pi(b)\}.$$

Define maps  $i : \mathcal{K} \otimes \mathcal{T} \rightarrow \widetilde{\mathcal{T}}$ ,  $\tilde{\pi} : \widetilde{\mathcal{T}} \rightarrow \mathcal{T}$  and  $\gamma : \mathcal{T} \rightarrow \widetilde{\mathcal{T}}$  by

$$i(a) = a \oplus 0, \quad \tilde{\pi}(a \oplus b) = b, \quad \gamma(b) = (b \otimes 1) \oplus b.$$

Then one has the split exact sequence

$$0 \longrightarrow \mathcal{K} \otimes \mathcal{T} \xrightarrow{i} \widetilde{\mathcal{T}} \begin{array}{c} \xrightarrow{\tilde{\pi}} \\ \xleftarrow{\gamma} \end{array} \mathcal{T} \longrightarrow 0.$$

Since  $K_0$  is split exact, it follows that  $K_0(i)$  is injective. Therefore it is now enough to show that

$$K_0(i) \circ K_0(\sigma) \circ K_0(j) \circ K_0(\pi_0) = K_0(i) \circ K_0(\sigma).$$

We have  $i \circ \sigma(S) = (1 - SS^*) \otimes S \oplus 0$  and  $i \circ \sigma \circ j \circ \pi_0(S) = (1 - SS^*) \otimes 1 \oplus 0$ .

**Exercise 3.18** Write

$$P = 1 - SS^*, \quad V = S \otimes 1, \quad Q = P \otimes 1, \quad W = P \otimes S, \quad R = P \otimes P.$$

Let

$$u_0 = V(1 - Q)V^* + WV^* + VW^* + R, \quad u_1 = V(1 - Q)V^* + QV^* + VQ.$$

Show that  $u_0$  and  $u_1$  are self-adjoint unitaries.

It follows that there is a homotopy of unitaries  $u_t$  connecting  $u_0$  and  $u_1$ . Define  $\phi_t : \mathcal{T} \rightarrow \mathcal{T}'$  by  $\phi_t(S) = u_t(S \otimes 1)$ . This gives a homotopy of morphisms. Next, define  $\psi_t(S) = \phi_t(S) \oplus S$ .

**Exercise 3.19** Show that  $\psi_t$  is a homotopy of morphisms from  $\mathcal{T}$  to  $\widetilde{\mathcal{T}}$ .

**Exercise 3.20** Define  $\psi(S) = (S^2 S^* \otimes 1) \oplus S$ . Show that  $\psi$  extends to a morphism from  $\mathcal{T}$  to  $\tilde{\mathcal{T}}$ .

Show that

$$\psi_0 - \psi = i \circ \sigma, \quad \psi_1 - \psi = i \circ \sigma \circ j \circ \pi_0.$$

Show that  $K_0(\psi_0) = K_0(\psi) + K_0(i \circ \sigma)$  and  $K_0(\psi_1) = K_0(\psi) + K_0(i \circ \sigma \circ j \circ \pi_0)$ .

The required equality follows. □

**Theorem 3.6** For any  $C^*$ -algebra  $A$ , one has a natural isomorphism between  $K_0(A)$  and  $K_0(S^2 A)$ .

*Proof:* [Cuntz]

From the short exact sequence

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{T}_0 \longrightarrow C_0(\mathbb{R}) \longrightarrow 0.$$

we get, by tensoring with  $A$ ,

$$0 \longrightarrow \mathcal{K} \otimes A \longrightarrow \mathcal{T}_0 \otimes A \longrightarrow SA \longrightarrow 0.$$

Therefore we now have the long exact sequence

$$\longrightarrow K_1(\mathcal{K} \otimes A) \longrightarrow K_1(\mathcal{T}_0 \otimes A) \longrightarrow K_1(SA) \longrightarrow K_0(\mathcal{K} \otimes A) \longrightarrow K_0(\mathcal{T}_0 \otimes A) \longrightarrow K_0(SA) \longrightarrow$$

Since  $K_0(\mathcal{K} \otimes A) = K_0(A)$  and  $K_1(SA) = K_0(S^2 A)$ , if we can now prove that  $K_1(\mathcal{T}_0 \otimes A) = 0 = K_0(\mathcal{T}_0 \otimes A)$ , then we are through. Since  $K_1(\mathcal{T}_0 \otimes A) = K_0(\mathcal{T}_0 \otimes SA)$ , it is enough to show that for any  $C^*$ -algebra  $B$ , we have  $K_0(\mathcal{T}_0 \otimes B) = 0$ .

**Exercise 3.21** Show that one has the following split exact sequence:

$$0 \longrightarrow \mathcal{T}_0 \otimes B \longrightarrow \mathcal{T} \otimes B \longrightarrow B \longrightarrow 0.$$

Prove that  $K_0(\mathcal{T}_0 \otimes B) = 0$ .

The proof is thus complete. □

**The Bott map.** Assume  $A$  is unital. Denote by  $z$  the map  $w \mapsto w$  from  $S^1$  to  $\mathbb{C}$ . Let  $p \in M_n(A)$  be a projection. Then  $pz + 1 - p : w \mapsto pw + 1 - p$  is an element in  $\mathcal{U}(M_n((SA)^\dagger))$ .

**Exercise 3.22** If  $p \in Proj(M_n(A))$  and  $q \in Proj(M_k(A))$  are homotopic, then  $pz + 1 - p$  and  $qz + 1 - q$  can be connected by a homotopy of unitaries.

The map  $\beta : [p] \mapsto [pz + 1 - p]$  from  $K_0(A)$  to  $K_1(SA) \cong K_0(S^2 A)$  is called **the Bott map**.

### 3.1.6 Computation of $K$ -groups

#### Stable multiplier algebra.

**Lemma 3.7** For any  $C^*$ -algebra  $A$ , one has  $K_0(M(\mathcal{K} \otimes A)) = 0$ .

*Proof:* Let  $p \in \text{Proj}M(\mathcal{K} \otimes A)$ . Choose isometries  $v_1, v_2, \dots$  in  $\mathcal{L}(\mathcal{H})$  with  $v_i^*v_j = 0$  for  $i \neq j$ .

Define

$$q = \sum (v_i \otimes 1)p(v_i^* \otimes 1), \quad a = \sum v_{i+1}v_i^* \otimes 1.$$

**Exercise 3.23** Show that both the above series converge in the strict topology in  $M(\mathcal{K} \otimes A)$ .

Now define

$$w = \begin{pmatrix} 0 & 0 \\ v_1 \otimes 1 & \sum v_{i+1}v_i^* \otimes 1 \end{pmatrix} \begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ (v_1 \otimes 1)p & (v_{i+1} \otimes 1)p(v_i^* \otimes 1) \end{pmatrix}.$$

Then

$$w^*w = \begin{pmatrix} p & 0 \\ 0 & q \end{pmatrix}, \quad ww^* = \begin{pmatrix} 0 & 0 \\ 0 & q \end{pmatrix}.$$

Thus  $[p] + [q] = [q]$ . Since  $M(\mathcal{K} \otimes A)$  is properly infinite, so that  $K_0(M(\mathcal{K} \otimes A)) = \{[p] : p \in \text{Proj}M(\mathcal{K} \otimes A)\}$ , it follows that  $K_0(M(\mathcal{K} \otimes A)) = 0$ .  $\square$

**Exercise 3.24** Show that for any unital  $C^*$ -algebra  $B$ ,  $K_0(M(\mathcal{K} \otimes A) \otimes B) = 0$ . Use this to prove that  $K_1(M(\mathcal{K} \otimes A)) = 0$  for any  $C^*$ -algebra  $A$ .

**Proposition 3.8**  $K_i(Q(\mathcal{K} \otimes A)) = K_{1-i}(A)$ ,  $i = 0, 1$ .

*Proof:* From the short exact sequence

$$0 \longrightarrow \mathcal{K} \otimes A \longrightarrow M(\mathcal{K} \otimes A) \longrightarrow Q(\mathcal{K} \otimes A) \longrightarrow 0,$$

we have the following six-term exact sequence:

$$\begin{array}{ccccc} K_0(\mathcal{K} \otimes A) & \longrightarrow & K_0(M(\mathcal{K} \otimes A)) & \longrightarrow & K_0(Q(\mathcal{K} \otimes A)) \\ \uparrow & & & & \downarrow \\ K_1(Q(\mathcal{K} \otimes A)) & \longleftarrow & K_1(M(\mathcal{K} \otimes A)) & \longleftarrow & K_1(\mathcal{K} \otimes A) \end{array}$$

Since  $K_i(M(\mathcal{K} \otimes A)) = 0$ , the result follows.  $\square$

**Toeplitz algebra.**

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{T} \longrightarrow C(S^1) \longrightarrow 0.$$

$$\begin{array}{ccccc} K_0(\mathcal{K}) = \mathbb{Z} & \longrightarrow & K_0(\mathcal{T}) & \xrightarrow{K_0(\sigma)} & K_0(C(S^1)) = \mathbb{Z} \\ \uparrow \partial & & & & \downarrow \\ K_1(C(S^1)) = \mathbb{Z} & \xleftarrow{K_1(\sigma)} & K_1(\mathcal{T}) & \xleftarrow{} & K_1(\mathcal{K}) = 0 \end{array}$$

**Quantum  $SU(2)$ .** The  $C^*$ -algebra  $A$  associated with the quantum  $SU(2)$  group is defined to be the universal  $C^*$ -algebra generated by two elements  $\alpha$  and  $\beta$  satisfying the following relations:

$$\begin{aligned} \alpha^* \alpha + \beta^* \beta &= 1, & \alpha \alpha^* + q^2 \beta \beta^* &= 1, \\ \alpha \beta - q \beta \alpha &= 0, & \alpha \beta^* - q \beta^* \alpha &= 0, \\ \beta^* \beta &= \beta \beta^*. \end{aligned}$$

The  $C^*$ -algebra  $A$  has two families of irreducible representations:

$$\left. \begin{array}{l} \mathcal{H} = L_2(\mathbb{N}) \\ \alpha \mapsto S^* \sqrt{1 - q^{2N}} \\ \beta \mapsto z q^N. \end{array} \right\} z \in S^1, \quad \left. \begin{array}{l} \mathcal{H} = \mathbb{C} \\ \alpha \mapsto z, \\ \beta \mapsto 0. \end{array} \right\} z \in S^1.$$

The following representation gives a faithful representation of  $A$ :

$$\pi : \left\{ \begin{array}{l} \mathcal{H} = L_2(\mathbb{N}) \otimes L_2(\mathbb{Z}), \\ \alpha \mapsto S^* \sqrt{1 - q^{2N}} \otimes 1, \\ \beta \mapsto q^{2N} \otimes \ell. \end{array} \right.$$

One can thus identify  $A$  with the  $C^*$ -subalgebra of  $\mathcal{L}(\mathcal{H})$  generated by  $\pi(\alpha)$  and  $\pi(\beta)$ .

**Exercise 3.25** Show that the map given by  $\alpha \mapsto 1$  and  $\beta \mapsto 0$  gives rise to the following short exact sequence:

$$0 \longrightarrow \mathcal{K} \otimes C(S^1) \longrightarrow C(SU_q(2)) \xrightarrow{\sigma} C(S^1) \longrightarrow 0.$$

$$\begin{array}{ccccc} K_0(\mathcal{K} \otimes C(S^1)) = \mathbb{Z} & \longrightarrow & K_0(C(SU_q(2))) & \xrightarrow{K_0(\sigma)} & K_0(C(S^1)) = \mathbb{Z} \\ \uparrow \partial & & & & \downarrow \\ K_1(C(S^1)) = \mathbb{Z} & \xleftarrow{} & K_1(C(SU_q(2))) & \xleftarrow{} & K_1(\mathcal{K} \otimes C(S^1)) = \mathbb{Z} \end{array}$$

**Exercise 3.26** Show that

1.  $\partial$  is one-one and onto.
2.  $K_0(\sigma)$  is onto.
3.  $K_0(C(SU_q(2))) = \mathbb{Z} = K_1(C(SU_q(2)))$ .

**Podles spheres**  $S_{qc}^2$ ,  $c > 0$ . This is the universal C\*-algebra, denoted by  $C(S_{qc}^2)$ , generated by two elements  $A$  and  $B$  subject to the following relations:

$$\begin{aligned} A^* &= A, & B^*B &= A - A^2 + cI, \\ BA &= q^2AB, & BB^* &= q^2A - q^4 + cI. \end{aligned}$$

Here the deformation parameters  $q$  and  $c$  satisfy  $|q| < 1, c > 0$ . Let  $\mathcal{H}_+ = l^2(\mathbb{N}), \mathcal{H}_- = \mathcal{H}_+$ . Define  $\pi_{\pm}(A), \pi_{\pm}(B) : \mathcal{H}_{\pm} \rightarrow \mathcal{H}_{\pm}$  by

$$\begin{aligned} \pi_{\pm}(A)(e_n) &= \lambda_{\pm} q^{2n} e_n & \text{where} & \quad \lambda_{\pm} = \frac{1}{2} \pm (c + \frac{1}{4})^{1/2}, \\ \pi_{\pm}(B)(e_n) &= c_{\pm}(n)^{1/2} e_{n-1} & \text{where} & \quad c_{\pm}(n) = \lambda_{\pm} q^{2n} - (\lambda_{\pm} q^{2n})^2 + c. \end{aligned}$$

**Exercise 3.27**  $\pi_{\pm}$  are irreducible and the direct sum  $\pi_+ \oplus \pi_-$  is faithful.

Since  $\pi = \pi_+ \oplus \pi_-$  is a faithful representation, an immediate corollary follows.

**Proposition 3.9 (Sheu)** (i)  $C(S_{qc}^2) \cong \mathcal{T} \oplus_{\sigma} \mathcal{T} := \{(x, y) : x, y \in \mathcal{T}, \sigma(x) = \sigma(y)\}$  where  $\mathcal{T}$  is the Toeplitz algebra and  $\sigma : \mathcal{T} \rightarrow C(S^1)$  is the symbol homomorphism.

(ii) We have a short exact sequence

$$0 \longrightarrow \mathcal{K} \xrightarrow{i} C(S_{qc}^2) \xrightarrow{\alpha} \mathcal{T} \longrightarrow 0 \quad (3.5)$$

*Proof:* (i) An explicit isomorphism is given by  $x \mapsto (\pi_+(x), \pi_-(x))$ .

(ii) Define  $\alpha((x, y)) = x$ . Then  $\ker \alpha = \mathcal{K}$ . □

**Exercise 3.28** Show that the sequence (3.5) above is split exact. Conclude that  $K_0(C(S_{qc}^2)) = \mathbb{Z} \oplus \mathbb{Z}$  and  $K_1(C(S_{qc}^2)) = 0$ .

Another way to compute the  $K$ -groups for the Podleš sphere is to prove that one has the following short exact sequence:

$$0 \longrightarrow \mathcal{K} \oplus \mathcal{K} \longrightarrow C(S_{qc}^2) \xrightarrow{\sigma} C(S^1) \longrightarrow 0.$$

so that one has the six term sequence:

$$\begin{array}{ccccc} K_0(\mathcal{K} \oplus \mathcal{K}) = \mathbb{Z} \oplus \mathbb{Z} & \longrightarrow & K_0(C(S_{qc}^2)) & \xrightarrow{K_0(\sigma)} & K_0(C(S^1)) = \mathbb{Z} \\ \uparrow \partial & & & & \downarrow \\ K_1(C(S^1)) = \mathbb{Z} & \xleftarrow{K_1(\sigma)} & K_1(C(S_{qc}^2)) & \xleftarrow{} & K_1(\mathcal{K} \oplus \mathcal{K}) = 0 \end{array}$$

**Exercise 3.29** Show that

1.  $\partial$  is one-one.
2.  $K_0(\sigma)$  is onto.
3.  $K_0(C(S_{qc}^2)) = \mathbb{Z} \oplus \mathbb{Z}, K_1(C(S_{qc}^2)) = 0$ .

## 3.2 $K$ -groups of crossed products

### 3.2.1 Crossed products

### 3.2.2 Crossed products with $\mathbb{Z}$

**Theorem 3.10** *Let  $A$  be a  $C^*$ -algebra and let  $\tau$  be an action of  $\mathbb{Z}$  on  $A$ . Then there is a six-term exact sequence*

$$\begin{array}{ccccc} K_0(A) & \xrightarrow{1-K_0(\tau)} & K_0(A) & \xrightarrow{K_0(\iota)} & K_0(A \rtimes_{\tau} \mathbb{Z}) \\ \uparrow & & & & \downarrow \\ K_1(A \rtimes_{\tau} \mathbb{Z}) & \xleftarrow{K_1(\iota)} & K_1(A) & \xleftarrow{1-K_1(\tau)} & K_1(A) \end{array}$$

**The irrational rotation algebra.** Let  $\theta \in [0, 1]$  be an irrational. The irrational rotation algebra  $A_{\theta}$  is the universal  $C^*$ -algebra generated by two unitaries  $u$  and  $v$  satisfying the relation  $uv = \exp(2\pi i\theta)vu$ . The  $C^*$ -algebra  $A_{\theta}$  can be written as a crossed product as follows: let  $\alpha$  be the automorphism of  $C(S^1)$  induced by the map  $z \mapsto \exp(2\pi i\theta)z$  on  $S^1$ . Then  $A_{\theta} \cong C(S^1) \rtimes_{\alpha} \mathbb{Z}$ . Therefore we have the following Pimsner-Voiculescu exact sequence:

$$\begin{array}{ccccc} K_0(C(S^1)) = \mathbb{Z} & \longrightarrow & K_0(A_{\theta}) & \longrightarrow & K_1(C(S^1)) = \mathbb{Z} \\ \uparrow 1-K_0(\alpha) & & & & \downarrow 1-K_1(\alpha) \\ K_0(C(S^1)) = \mathbb{Z} & \longleftarrow & K_1(A_{\theta}) & \longleftarrow & K_1(C(S^1)) = \mathbb{Z} \end{array}$$

The automorphism  $\alpha$  is homotopic to the identity. Therefore both  $K_0(\alpha)$  and  $K_1(\alpha)$  are identity. Thus we have two short exact sequences

$$0 \longrightarrow \mathbb{Z} \longrightarrow K_0(A_{\theta}) \longrightarrow \mathbb{Z} \longrightarrow 0$$

$$0 \longrightarrow \mathbb{Z} \longrightarrow K_1(A_{\theta}) \longrightarrow \mathbb{Z} \longrightarrow 0$$

It follows that  $K_0(A_{\theta}) = \mathbb{Z} \oplus \mathbb{Z} = K_1(A_{\theta})$ .

### 3.2.3 Crossed products with $\mathbb{R}$

**Theorem 3.11 (Connes)** *Let  $A$  be a  $C^*$ -algebra and let  $\tau$  be an action of  $\mathbb{R}$  on  $A$ . Then one has*

$$K_n(A \rtimes_{\tau} \mathbb{R}) \cong K_{1-n}(A), \quad n = 0, 1.$$

**Exercise 3.30** Deducing Bott periodicity from the above theorem.



**Pimsner-Voiculescu sequence from Connes' theorem.** Let  $A$  be a  $C^*$ -algebra and let  $\alpha$  be an automorphism of  $A$ . Define the mapping torus  $M_\alpha$  by

$$M_\alpha = \{f \in C([0, 1], A) : f(1) = \alpha(f(0))\}.$$

Define  $\pi : M_\alpha \rightarrow A$  by  $\pi(f) = f(0)$ . It is easy to see that one has the following short exact sequence:

$$0 \longrightarrow SA \longrightarrow M_\alpha \longrightarrow A \longrightarrow 0$$

This gives rise to the following six-term exact sequence:

$$\begin{array}{ccccc} K_0(SA) & \longrightarrow & K_0(M_\alpha) & \longrightarrow & K_0(A) \\ \uparrow & & & & \downarrow \\ K_1(A) & \longleftarrow & K_1(M_\alpha) & \longleftarrow & K_1(SA) \end{array}$$

Next one shows that the connecting maps are  $1 - K_0(\alpha)$  and  $1 - K_1(\alpha)$  and using Connes-Thom isomorphism one shows that

$$K_0(M_\alpha) \cong K_1(A \rtimes_\alpha \mathbb{Z}), \quad K_1(M_\alpha) \cong K_0(A \rtimes_\alpha \mathbb{Z}).$$

### 3.3 $K$ -groups of tensor products

## 4 $K$ -groups of some $C^*$ -algebras

$C^*$ -algebra	$K_0$	$K_1$	$C^*$ -algebra	$K_0$	$K_1$	$C^*$ -algebra	$K_0$	$K_1$
$C[0, 1]$	$\mathbb{Z}$	0	$\mathbb{C}$	$\mathbb{Z}$	0	$\mathcal{F}$	$\mathbb{Z}$	0
$C_0(0, 1]$	0	0	$M_n(\mathbb{C})$	$\mathbb{Z}$	0	$A_\theta$	$\mathbb{Z}^2$	$\mathbb{Z}^2$
$C(S^{2n+1})$	$\mathbb{Z}$	$\mathbb{Z}$	$\mathcal{K}(\mathcal{H})$	$\mathbb{Z}$	0	$C(S_q^{2\ell+1})$	$\mathbb{Z}$	$\mathbb{Z}$
$C(S^{2n})$	$\mathbb{Z}^2$	0	$\mathcal{B}(\ell_2)$	0	0	$C(S_q^{2\ell})$	$\mathbb{Z}^2$	0
$C_0(\mathbb{R}^{2n})$	$\mathbb{Z}$	0	$\mathcal{B}(\ell_2)/\mathcal{K}(\ell_2)$	0	$\mathbb{Z}$	$C(SU_q(\ell + 1))$	???	???
$C_0(\mathbb{R}^{2n+1})$	0	$\mathbb{Z}$	$M(\mathcal{K} \otimes A)$	0	0	$\mathcal{O}_n$	$\mathbb{Z}_{n-1}$	0

## 5 References

### References

- [1] Blackadar, B. :
- [2] Higson/Roe
- [3] Matthes/Szymanski
- [4] Wegge-olsen